



# Part II: Introduction to Interferometric Synthetic Aperture Radar



**Zhong Lu**

US Geological Survey

Email: [lu@usgs.gov](mailto:lu@usgs.gov)

<http://volcanoes.usgs.gov/activity/methods/insar/zhong.php>

## **Acknowledgement**

- Contribution by many colleagues.
- Funding from USGS and NASA.
- Original SAR data are copyrighted ESA, CSA, JAXA , or DLR

# InSAR : How It Works

- About InSAR
- InSAR processing steps
- InSAR artifacts
- Multi-interferogram processing

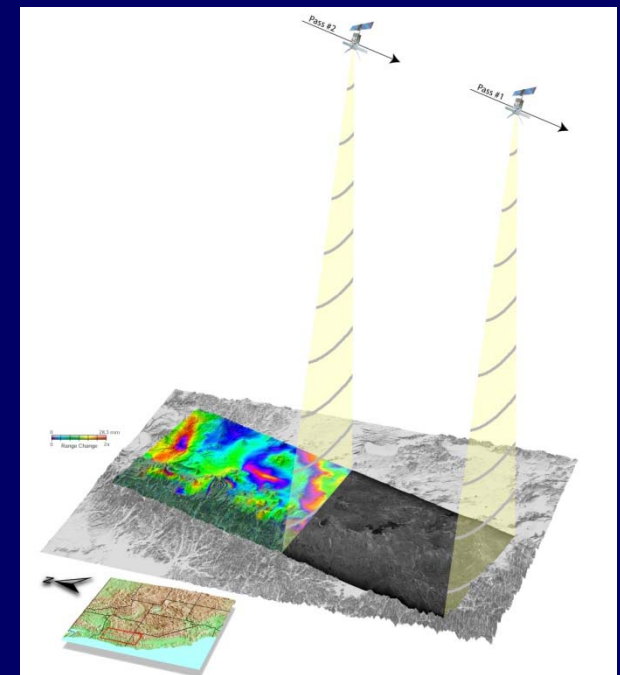
## **Synthetic aperture radar is an active microwave sensor**

- **The electromagnetic wave is transmitted from the satellite. The wave propagates through the atmosphere, interacts with the Earth surface. Part of the energy is returned back and recorded by the satellite.**
- **By sophisticated image processing technique, both the intensity and phase of the reflected (or backscattered) signal can be calculated. So, essentially, a complex-valued SAR image represents the reflectivity of the ground surface.**
- **The amplitude or intensity of the SAR image is primarily controlled by terrain slope, surface roughness, and dielectric constants, while the phase of the SAR image is primarily controlled by the distance from satellite antenna to ground targets and partially controlled by the atmospheric delays as well as the interaction of microwave with ground surface.**

# Interferometric SAR (InSAR)

4

- **InSAR** combines two or more SAR images of the same area acquired from similar vantage points at different times to produce an **interferogram**.
- The **interferogram**, depicting the changes in distance between the radar and the ground, can be further processed to
  - i) image **ground deformation** at a horizontal resolution of tens of meters over large areas with centimeter to sub-centimeter precision under favorable conditions.
  - or
  - ii) generate **digital elevation model** at a horizontal resolution of tens of meters with a few meters accuracy.



Courtesy of C. Wicks

# InSAR is all about interference fringes

Interferometric fringes are daily phenomena



Interferometric fringes on an oil film

# InSAR is all about interference fringes

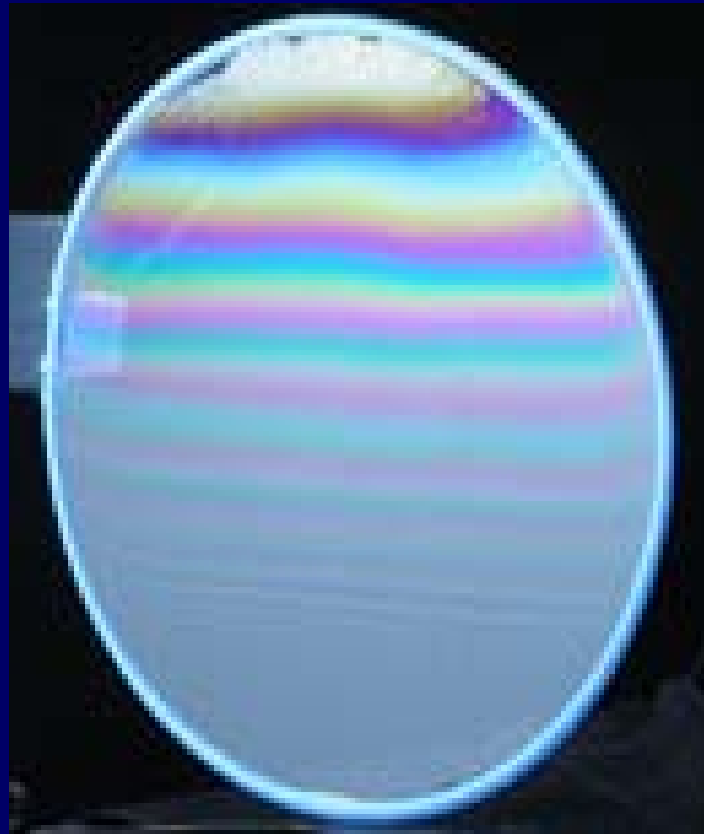
Interferometric fringes are daily phenomena



Interferometric fringes on a CD

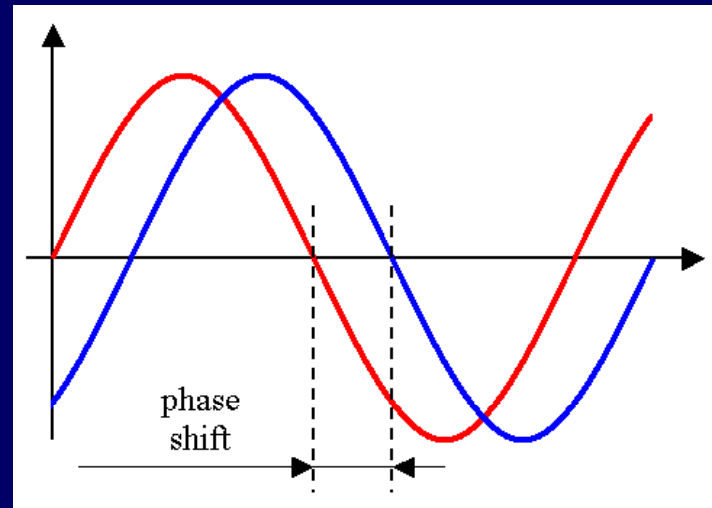
# InSAR is all about interference fringes

Interferometric fringes are daily phenomena



Interferometric fringes on a soap bubble

Thomas Young – Discovered interferometric phenomenon in 1801 and coined the term of “interference fringes” to describe the color bands



Two waves are said to be in phase when corresponding points of each reach maximum or minimum displacements at the same time. If the crests of two waves pass the same point at the same time, they are in phase for that position. If the crest of one and the trough of the other pass the same point at the same time, the phase angles differ by  $180^\circ$  and the waves are said to be of opposite phase.

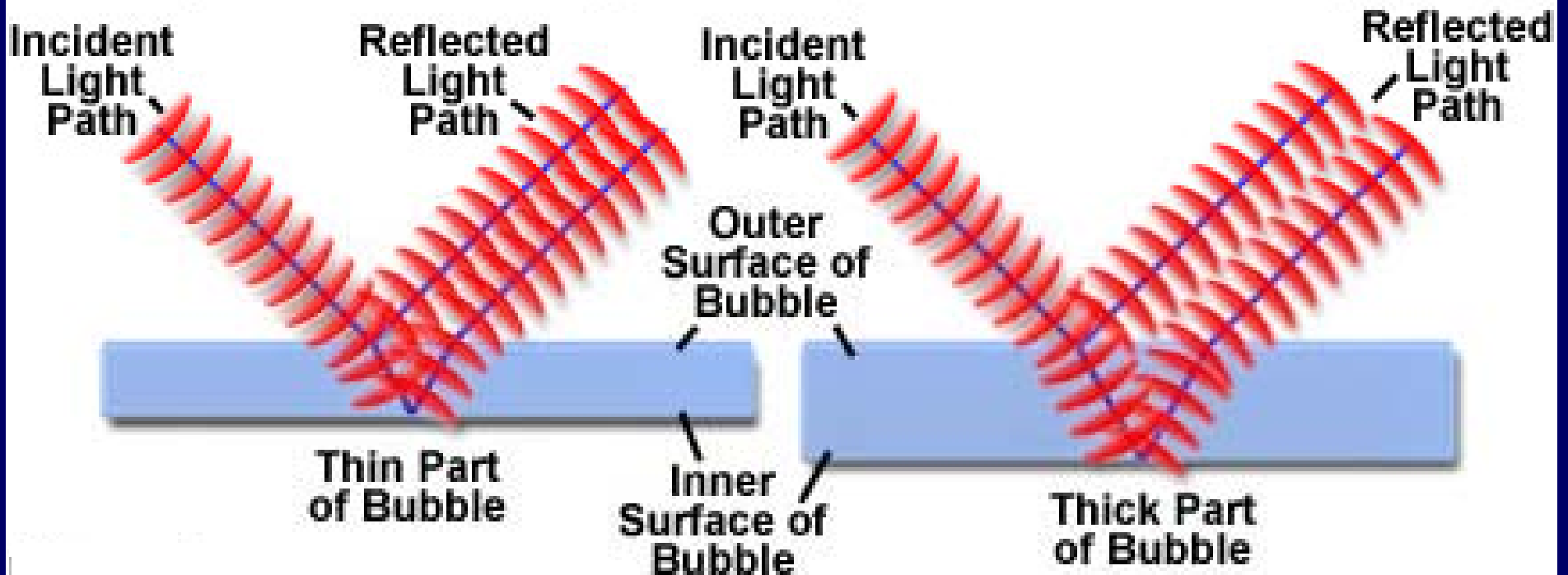


# InSAR is all about interference fringes

## Reflected Light Pathways Through Soap Bubbles

Constructive Interference  
(Wave Fronts in Step)

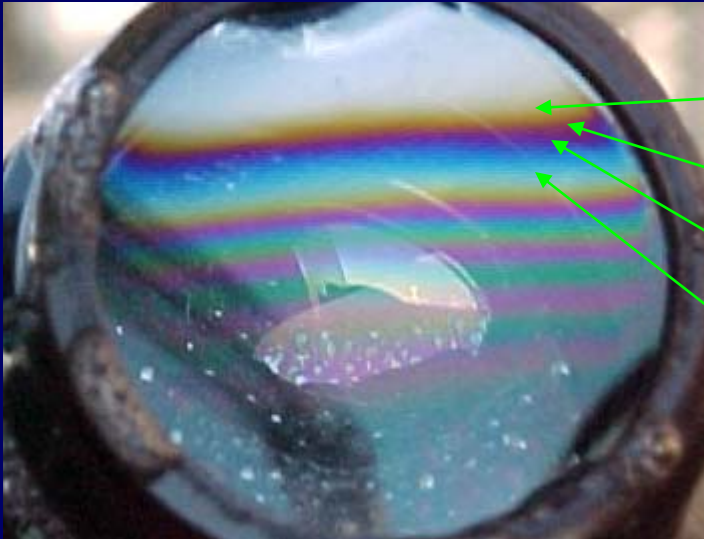
Destructive Interference  
(Wave Fronts Out of Step)



Interferometric fringes on soap bubbles

# Interference colors on a soap bubble

White light is made up of all colors of different wavelengths. If one of these colors is subtracted from white light, the complementary color is seen.

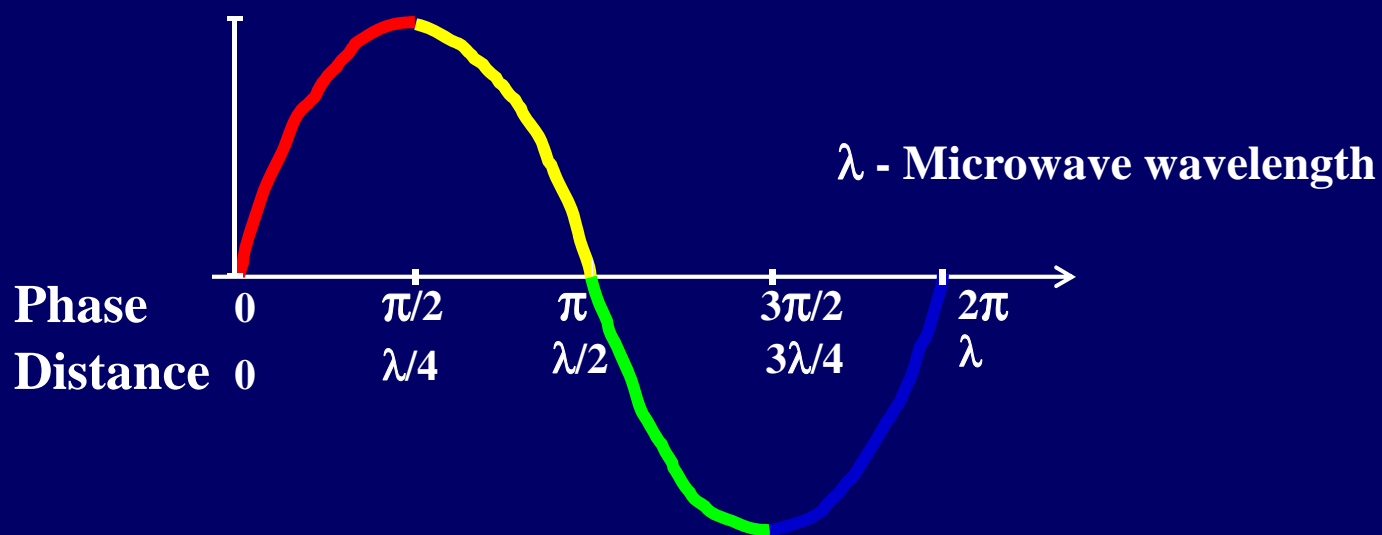


- blue is cancelled, leaving yellow
- green is cancelled, leaving magenta
- yellow is cancelled, leaving blue
- red is cancelled, leaving a blue-green

# How InSAR Works

11

- Traditionally, distance measurement is done by precise timing.
- Accuracy is several meters for spaceborne sensors.

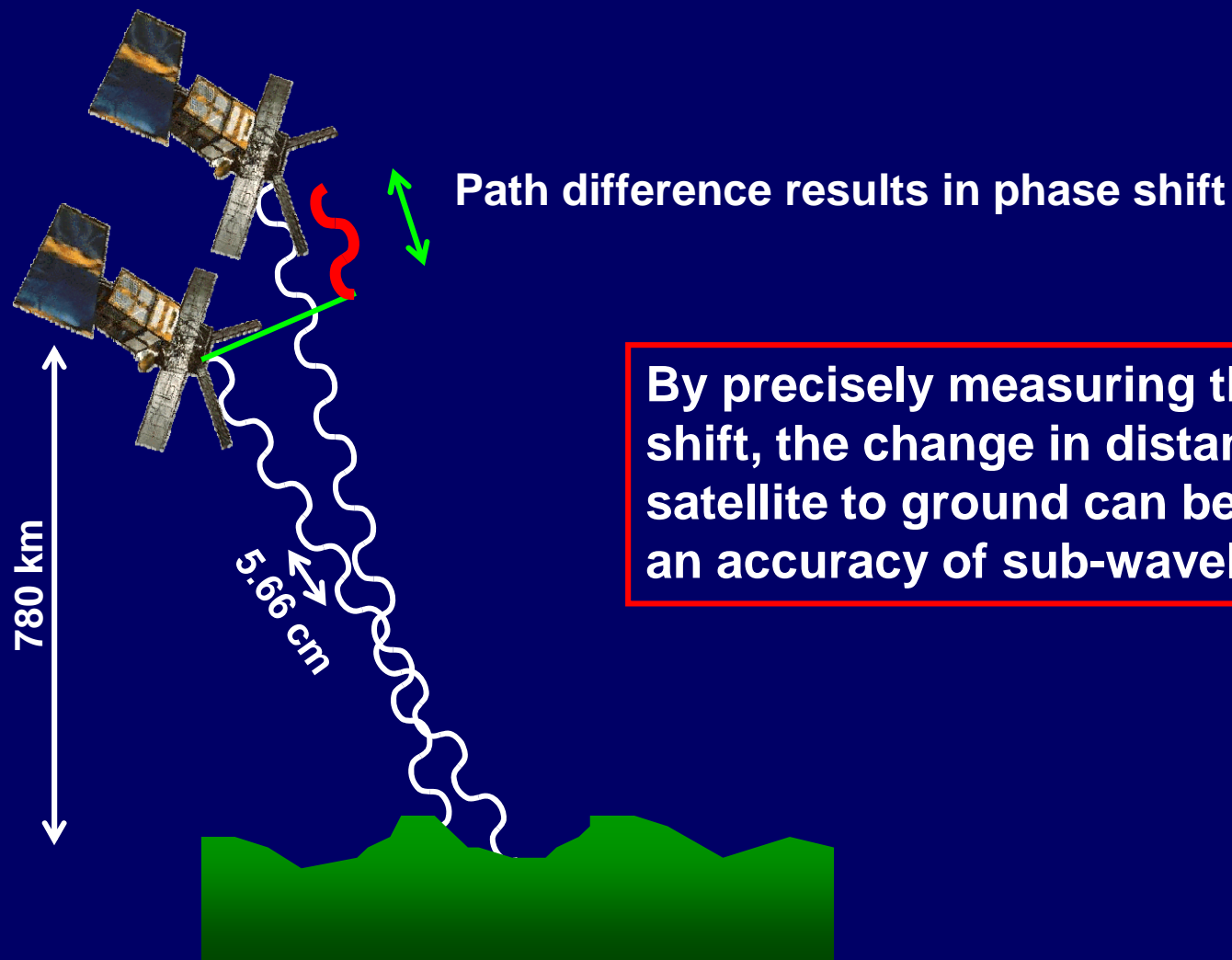


- In interferometry, the distance from the satellite to the ground is achieved by measuring the phase of the electromagnetic wave.
- Accuracy is centimeters to sub-centimeters.

# How InSAR Works

12

- InSAR utilizes phase information of radar wave to achieve high-accuracy measurement
- Phase is a function of distance from satellite to ground (range)



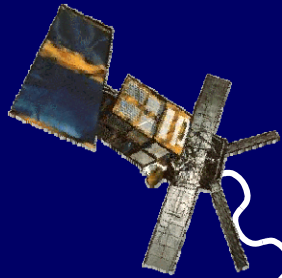
By precisely measuring the phase shift, the change in distance from satellite to ground can be calculated to an accuracy of sub-wavelength.

# How InSAR Works

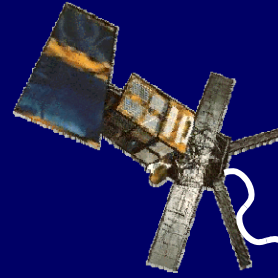
13

- InSAR utilizes phase information of radar wave to achieve high-accuracy measurement
- Phase is a function of distance from satellite to ground (range)

Pass 1

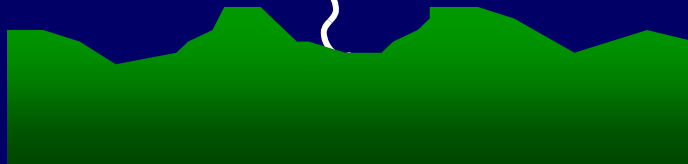


Pass 2



No phase shift

No deformation

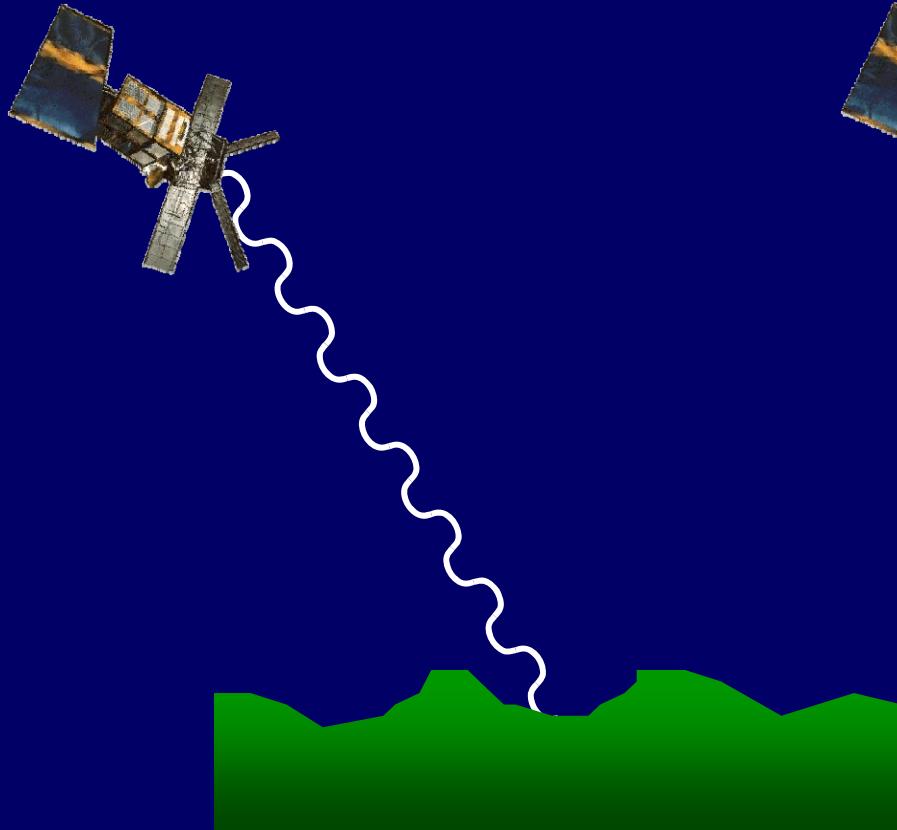


# How InSAR Works

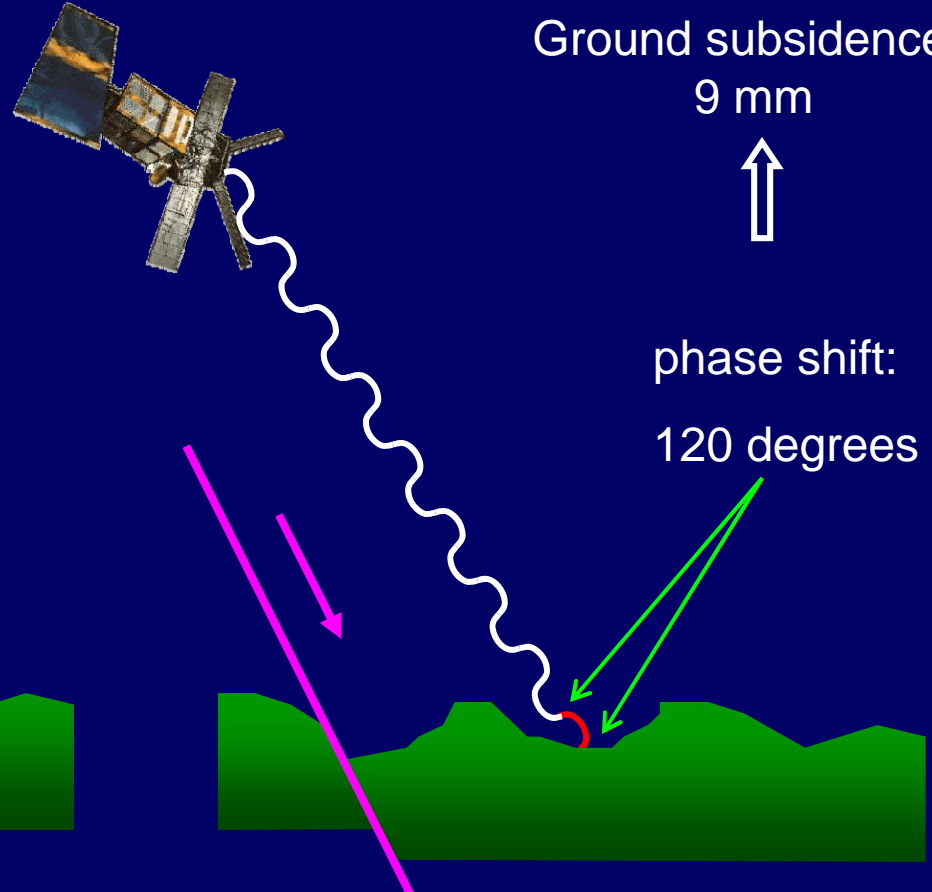
14

- InSAR utilizes phase information of radar wave to achieve high-accuracy measurement
- Phase is a function of distance from satellite to ground (range)

Pass 1



Pass 2

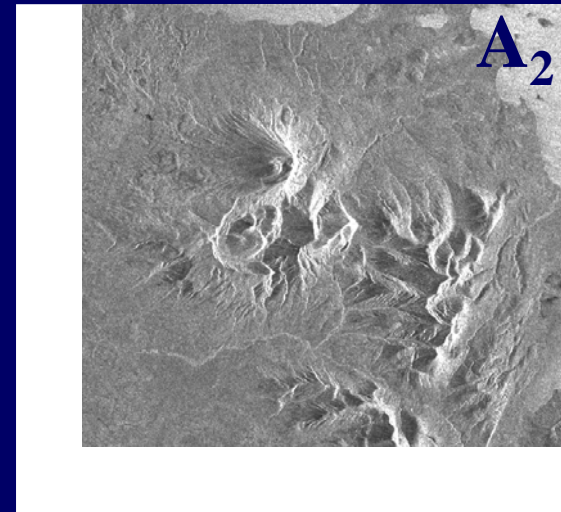
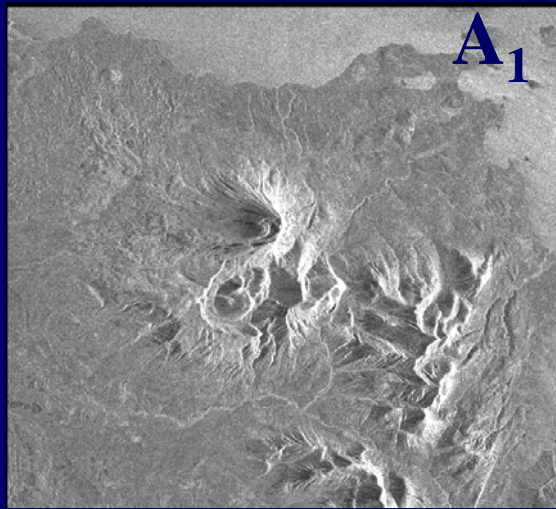


# InSAR Processing Step 1 - Two SAR images

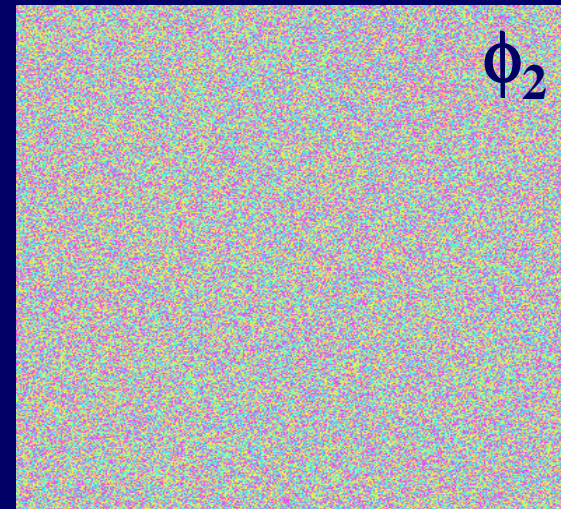
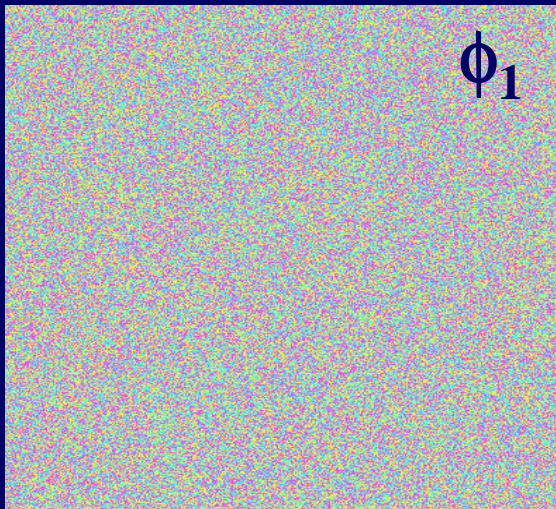
Image 1: Oct. 4, 1995

Image 2: Oct. 9, 1997

Amplitude image



Phase image



$$\phi_1 = -\frac{4\pi}{\lambda} r_1 + \epsilon_1$$

$$\phi_2 = -\frac{4\pi}{\lambda} r_2 + \epsilon_2$$

## InSAR Processing Step 1 - Two SAR images

Image 1: Oct. 4, 1995

Image 2: Oct. 9, 1997

$$\phi_1 = -\frac{4\pi}{\lambda} r_1 + \varepsilon_1$$

$$\phi_2 = -\frac{4\pi}{\lambda} r_2 + \varepsilon_2$$

- $\varepsilon$  is the sum of phase shift due to the interaction between the incident radar wave and scatterers within the resolution cell.
- Because the backscattering phase ( $\varepsilon$ ) is a randomly distributed (unknown) variable, the phase value ( $\phi$ ) in a single SAR image cannot be used to calculate the range ( $r$ ) and is of no practical use

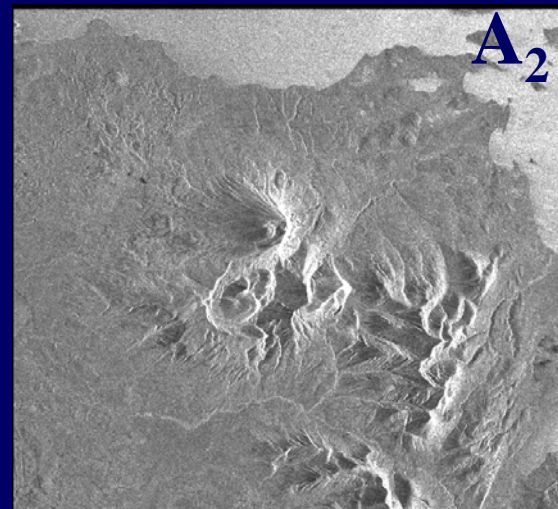
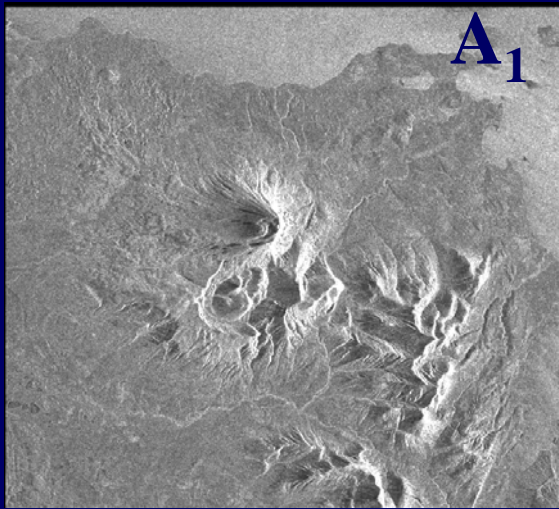


# InSAR Processing Step 2 - SAR images co-registration

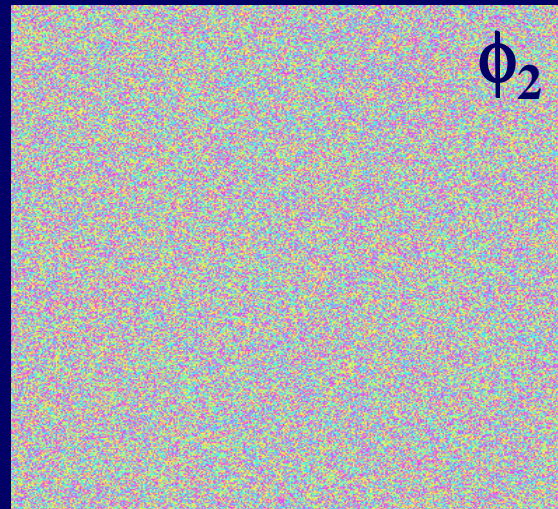
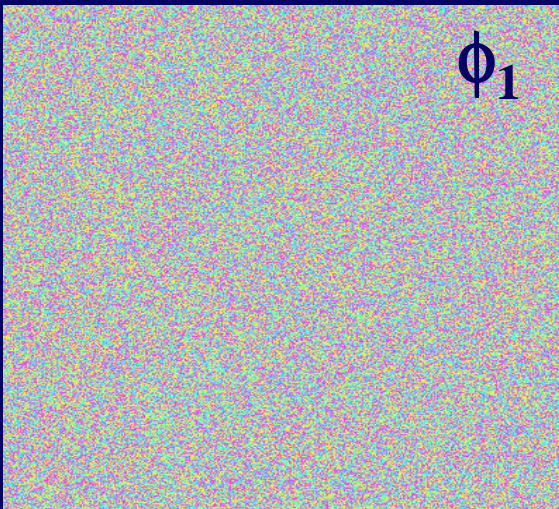
Image 1: Oct. 4, 1995

Image 2: Oct. 9, 1997

Amplitude image



Phase image



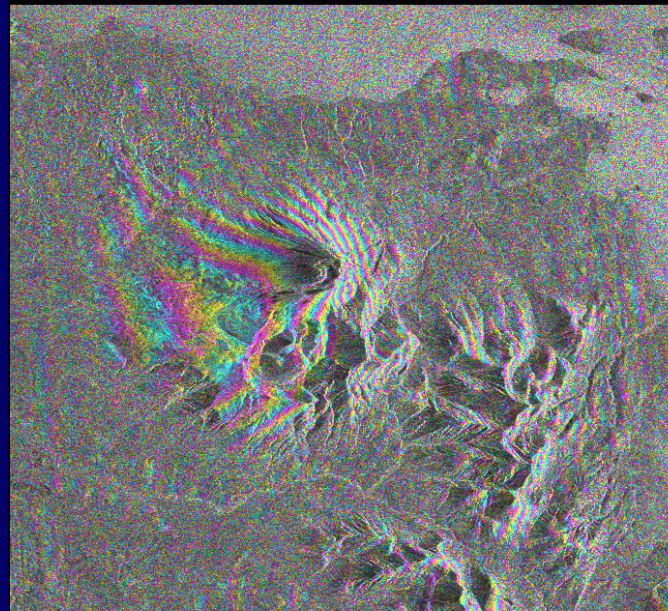
$$\phi_1 = -\frac{4\pi}{\lambda} r_1 + \epsilon_1$$

$$\phi_2 = -\frac{4\pi}{\lambda} r_2 + \epsilon_2$$

InSAR Processing Step 3 - Original interferogram:  $\Delta\phi_{\text{init}} = \phi_1 - \phi_2$

$$\phi = \phi_1 - \phi_2 = -\frac{4\pi(r_1 - r_2)}{\lambda} + (\varepsilon_1 - \varepsilon_2)$$

Original interferogram



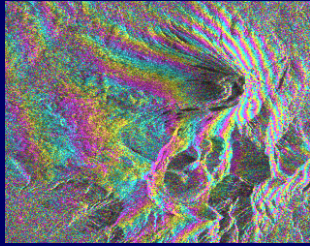
0  360°

The fundamental assumption in repeat-pass InSAR is that the scattering characteristics of the ground surface remain undisturbed.

Assume  $\varepsilon_1 \approx \varepsilon_2$

$$\phi = \phi_1 - \phi_2 = -\frac{4\pi(r_1 - r_2)}{\lambda}$$

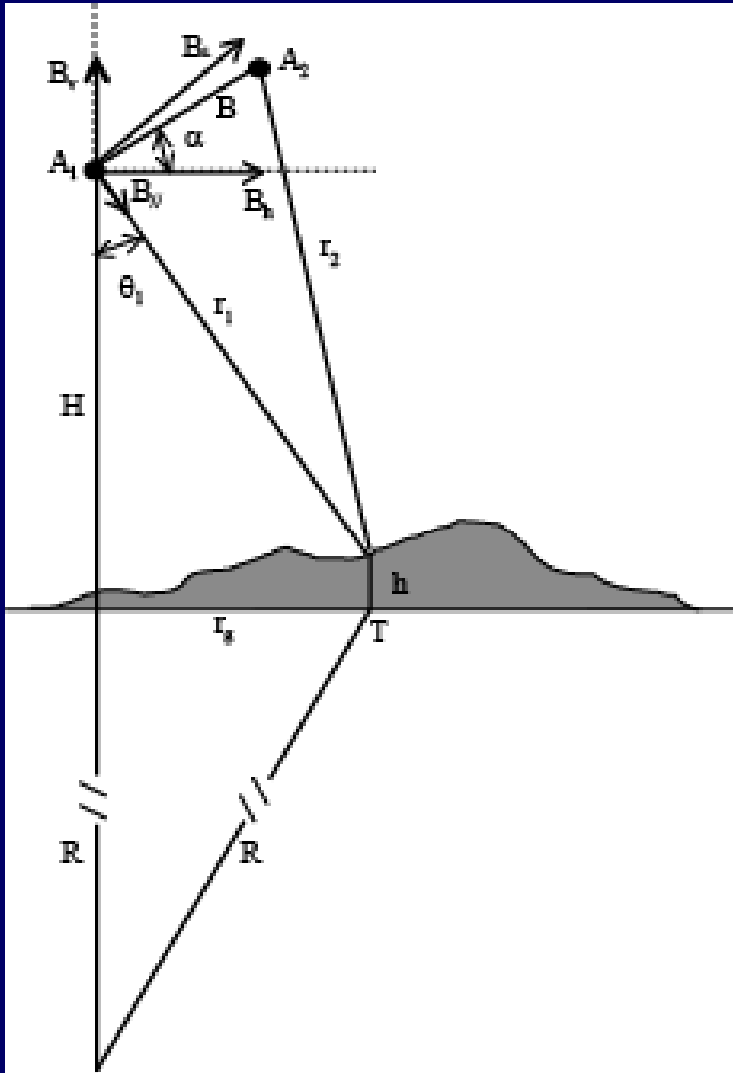
### InSAR Processing Step 3 - Original interferogram: $\Delta\phi_{\text{init}} = \phi_1 - \phi_2$



$$\phi = \phi_1 - \phi_2 = -\frac{4\pi(r_1 - r_2)}{\lambda}$$

- Nominal values for the range difference,  $(r_1 - r_2)$ , extend from a few meters to several hundred meters.
- The SAR wavelength ( $\lambda$ ) is of the order of several centimeters.
- Because the measured interferometric phase value ( $\phi$ ) is modulated by  $2\pi$ , ranging from  $-\pi$  to  $\pi$ , there is an ambiguity of many cycles (i.e., numerous  $2\pi$  values) in the interferometric phase value. Therefore, the phase value of a single pixel in an interferogram is of no practical use.
- However, the change in range difference,  $\delta(r_1 - r_2)$ , between two neighboring pixels that are a few meters apart is normally much smaller than the SAR wavelength. So the phase difference between two nearby pixels,  $\delta\phi$ , can be used to infer the range distance difference  $(r_1 - r_2)$  to a sub-wavelength precision.
- This explains how InSAR uses the phase difference to infer the change in range distance to an accuracy of centimeters or millimeters.

InSAR Processing Step 4 - Phase difference caused by difference of satellite positions <sup>20</sup>  
 over a flat earth:  $\phi_{\text{flat-earth}}$



$$r_1 = \sqrt{(H + R)^2 + (R + h)^2 - 2(H + R)(R + h)\cos\left(\frac{r_g}{R}\right)}$$

$$\theta_1 = \arccos\left[\frac{(H + R)^2 + r_1^2 - (R + h)^2}{2(H + R)r_1}\right]$$

**h = 0**

$$\phi_{\text{flat-earth}} = -\frac{4\pi}{\lambda}(r_1 - r_2) = \frac{4\pi}{\lambda}\left(\sqrt{r_1^2 - 2(B_h \sin \theta_1 - B_v \cos \theta_1)r_1 + B^2} - r_1\right)$$

InSAR Processing Step 4 - Phase difference caused by difference of satellite positions<sup>21</sup>  
over a flat earth:  $\phi_{\text{flat-earth}}$

Flat-earth interferogram

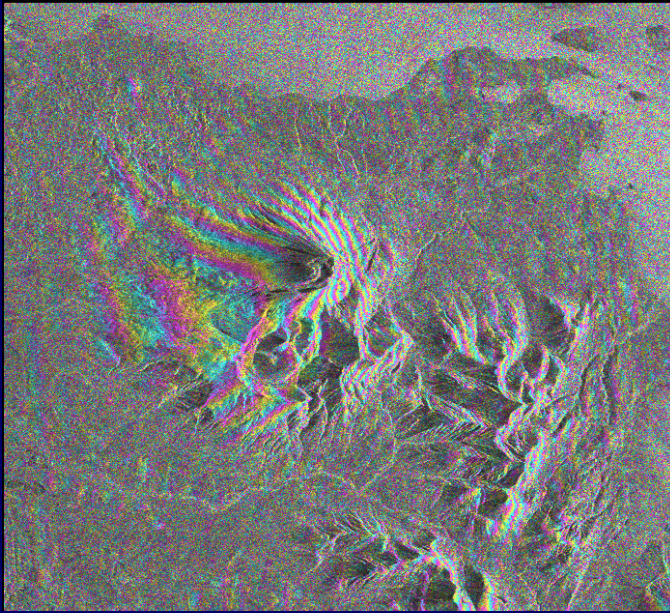


0 360°

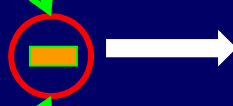
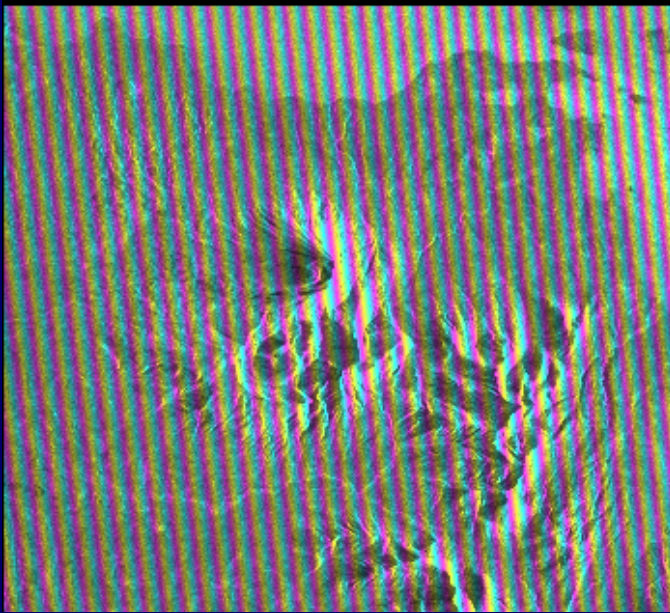
# InSAR Processing Step 5 - Flattened interferogram:

$$\Delta\phi_{\text{init}} - \phi_{\text{flat-earth}}$$

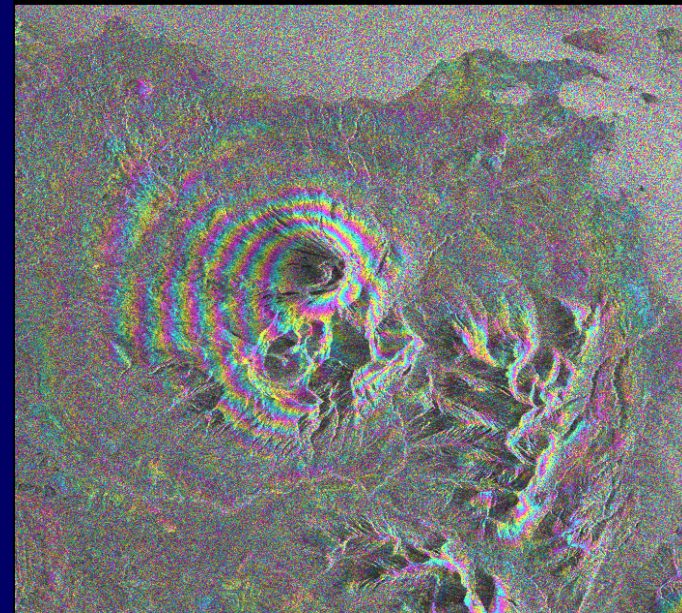
Original interferogram



Flat-earth interferogram



Flattened interferogram

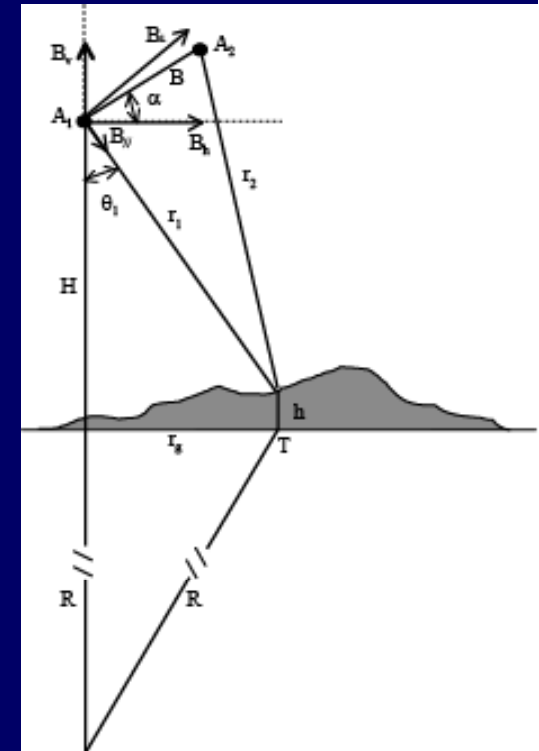
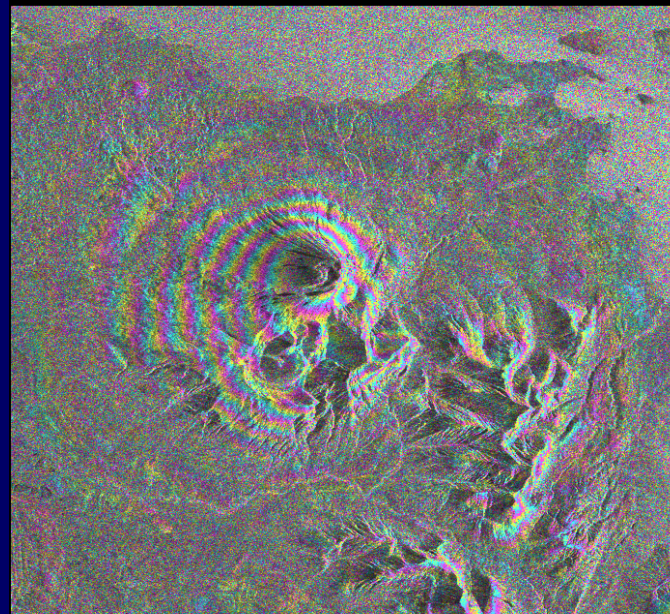


0  360°

# InSAR Processing Step 5 - Flattened interferogram:

$$\Delta\phi_{init} - \phi_{flat-earth}$$

Flattened interferogram



$$\Delta\phi_{init} - \phi_{flat-earth} = -\frac{4\pi}{\lambda} \frac{B_{\perp} \cos(\theta_1 - \alpha)}{r_1 \sin \theta_1} h + \phi_{def} = -\frac{4\pi}{\lambda} \frac{B_{\perp}}{H \tan \theta_1} h + \phi_{def}$$

For the ERS-1/-2 satellites,  $H$  is about 800 km,  $\theta_1$  is about  $23^{\circ} \pm 3^{\circ}$ ,  $\lambda$  is 5.66 cm, and  $B_{\perp}$  should be less than 1,100 m for a coherent interferogram.

$$\Delta\phi_{init} - \phi_{flat-earth} \approx -\frac{2\pi}{9600} B_{\perp} h + \phi_{def}$$

## InSAR Processing Step 5 - Flattened interferogram:

$$\Delta\phi_{init} - \phi_{flat-earth}$$

For the ERS-1/-2 satellites,  $H$  is about 800 km,  $\theta_1$  is about  $23^\circ \pm 3^\circ$ ,  $\lambda$  is 5.66 cm, and  $B_\perp$  should be less than 1,100 m for a coherent interferogram.

$$\Delta\phi_{init} - \phi_{flat-earth} \approx -\frac{2\pi}{9600} B_\perp h + \phi_{def}$$

If  $\phi_{def}$  is negligible in the above equation, the phase value can be used to calculate height  $h$ .

$$\Delta\phi_{init} - \phi_{flat-earth} \approx -\frac{2\pi}{9600} B_\perp h$$

This explains how InSAR can be used to produce an accurate DEM over with a vertical resolution of meters over a large region.



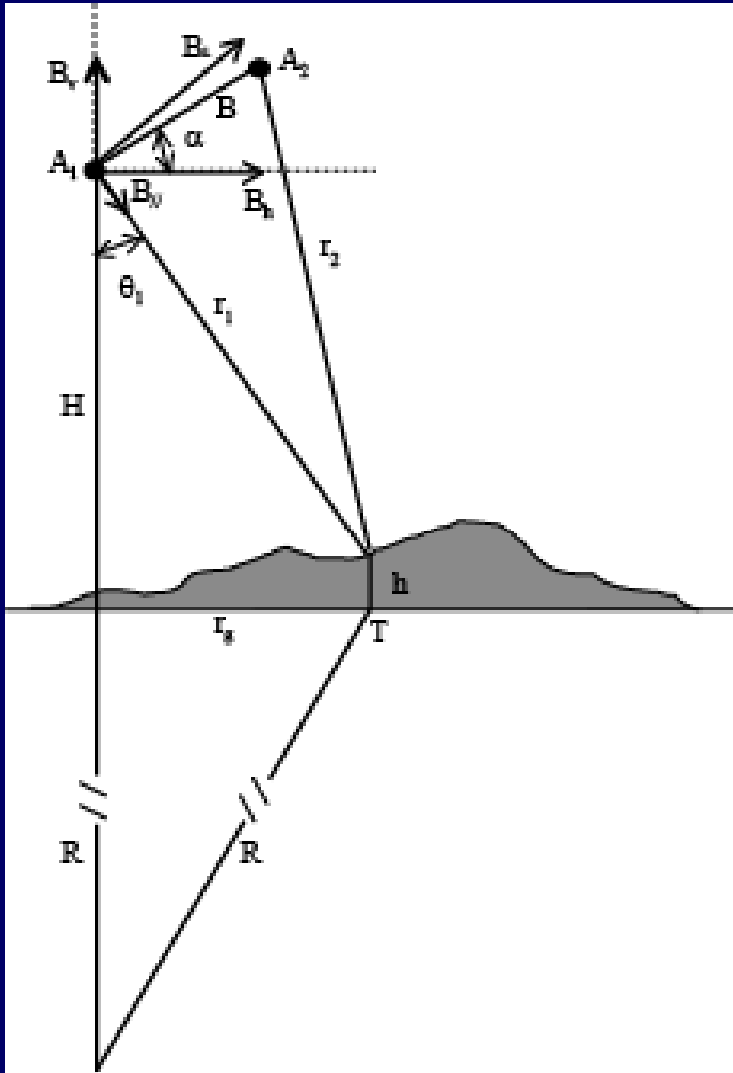
## InSAR Processing Step 5 - Flattened interferogram:

$$\Delta\phi_{init} - \phi_{flat-earth}$$

$$\Delta\phi_{init} - \phi_{flat-earth} \approx -\frac{2\pi}{9600} B_{\perp} h - \frac{4\pi}{\lambda} d_{def}$$

- For an interferogram with  $B_{\perp}$  of 100 m, 1 m of topographic relief produces a phase value of about  $4^{\circ}$ .
- However, producing the same phase value requires only 0.3 mm of surface deformation.
- Therefore, it is evident that the interferogram phase value can be much more sensitive to changes in topography (i.e., the surface deformation  $d_{def}$ ) than to the topography itself (i.e.,  $h$ ).
- This explains why repeat-pass InSAR is capable of detecting surface deformation at a theoretical accuracy of sub-centimeters.

# InSAR Processing Step 6 - Topography-only interferogram: $\phi_{topo}$



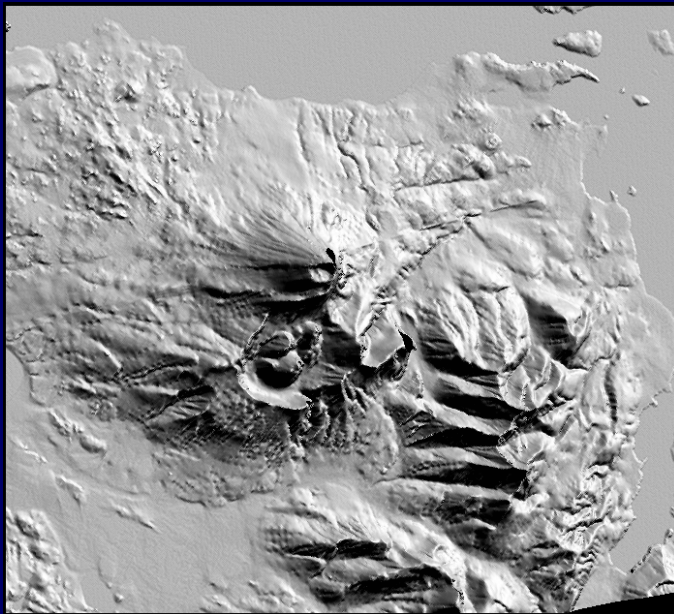
$$r_1 = \sqrt{(H + R)^2 + (R + h)^2 - 2(H + R)(R + h)\cos\left(\frac{r_g}{R}\right)}$$

$$\theta_1 = \arccos\left[\frac{(H + R)^2 + r_1^2 - (R + h)^2}{2(H + R)r_1}\right]$$

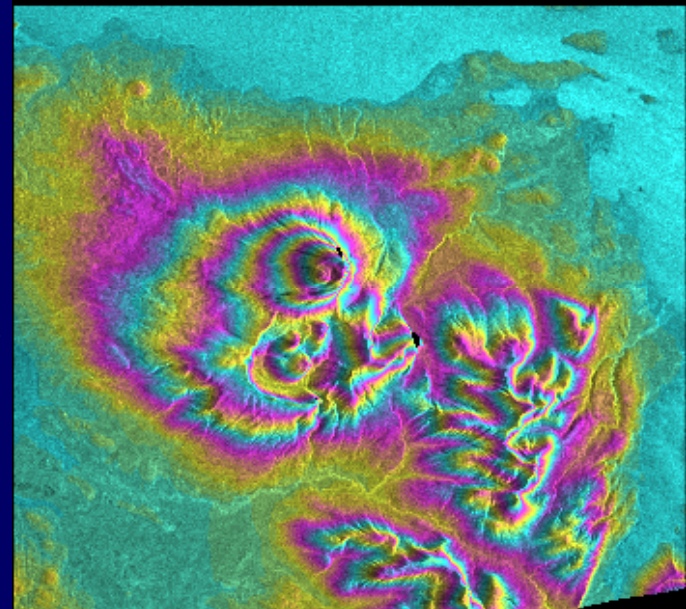
$$\phi_{topo} = -\frac{4\pi}{\lambda}(r_1 - r_2) = \frac{4\pi}{\lambda}\left(\sqrt{r_1^2 - 2(B_h \sin \theta_1 - B_v \cos \theta_1)r_1 + B^2} - r_1\right)$$

# InSAR Processing Step 6 - Topography-only interferogram: $\phi_{\text{topo}}$

DEM



InSAR Imaging  
Geometry &  
Parameters



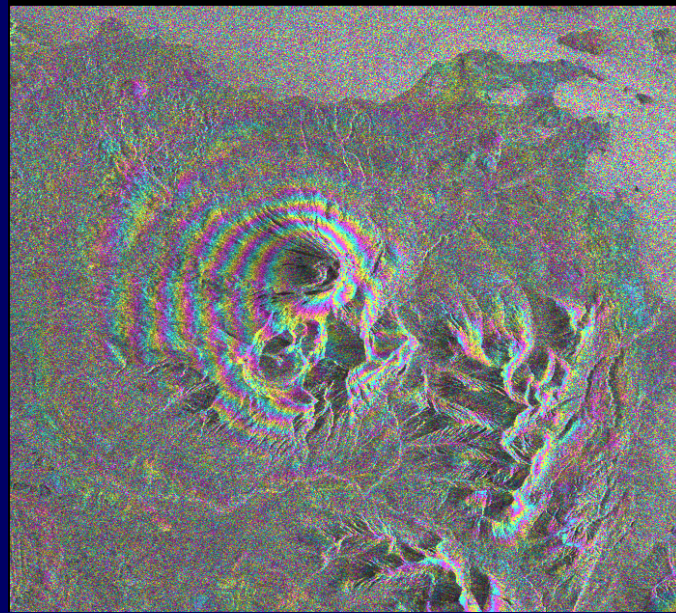
0 360°

Topography-only interferogram

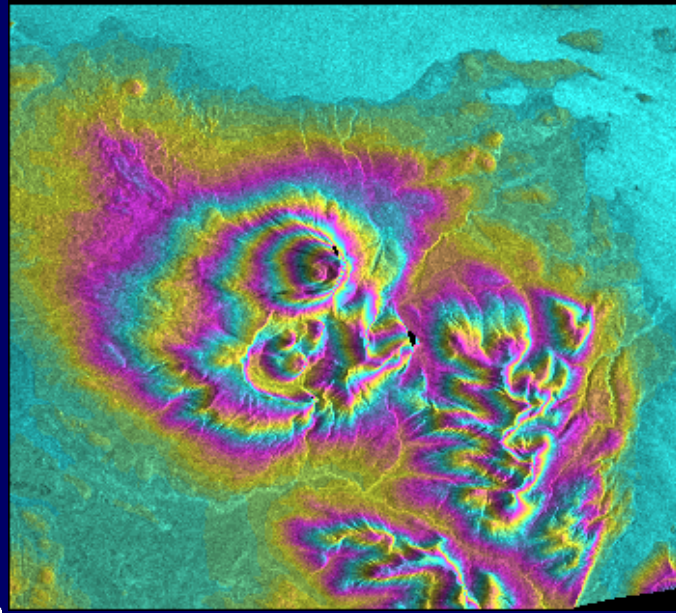
# InSAR Processing Step 7 - Deformation interferogram (+noise):

$$\Delta\phi_{\text{def}} = \Delta\phi_{\text{init}} - \phi_{\text{flat-earth}} - \phi_{\text{topo}}$$

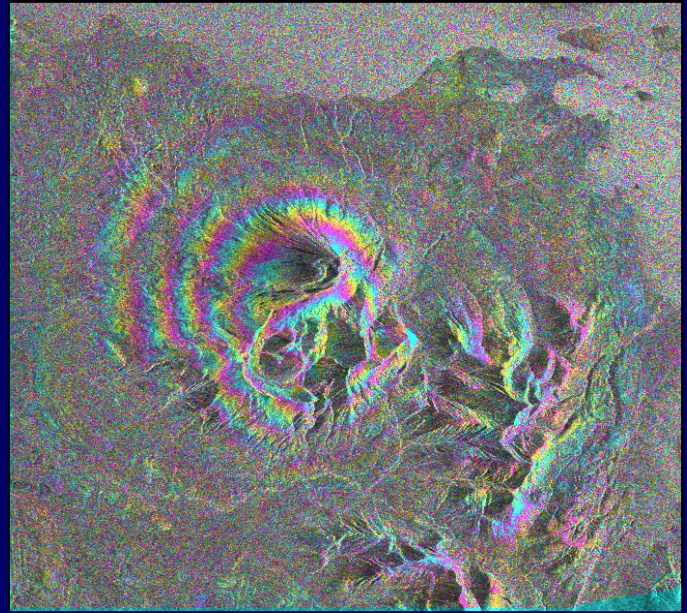
Flattened interferogram



Topography-only interferogram



Deformation interferogram

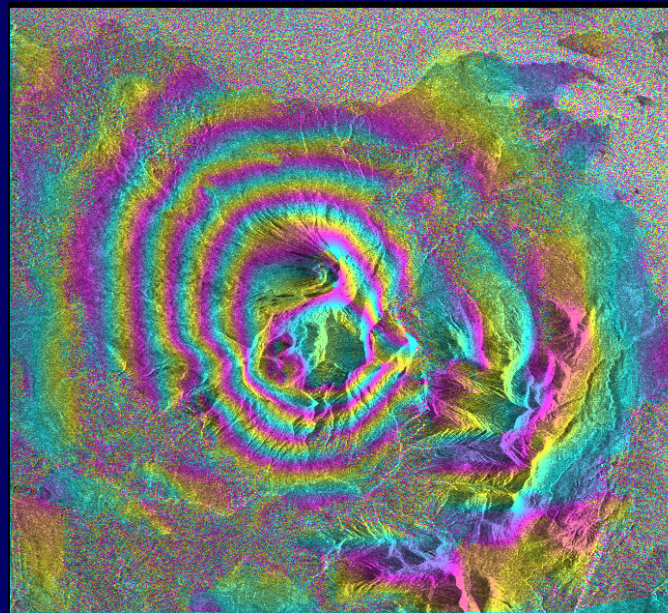


0 2.83 cm

# InSAR Processing Step 8 - Deformation interferogram with noise reduction:

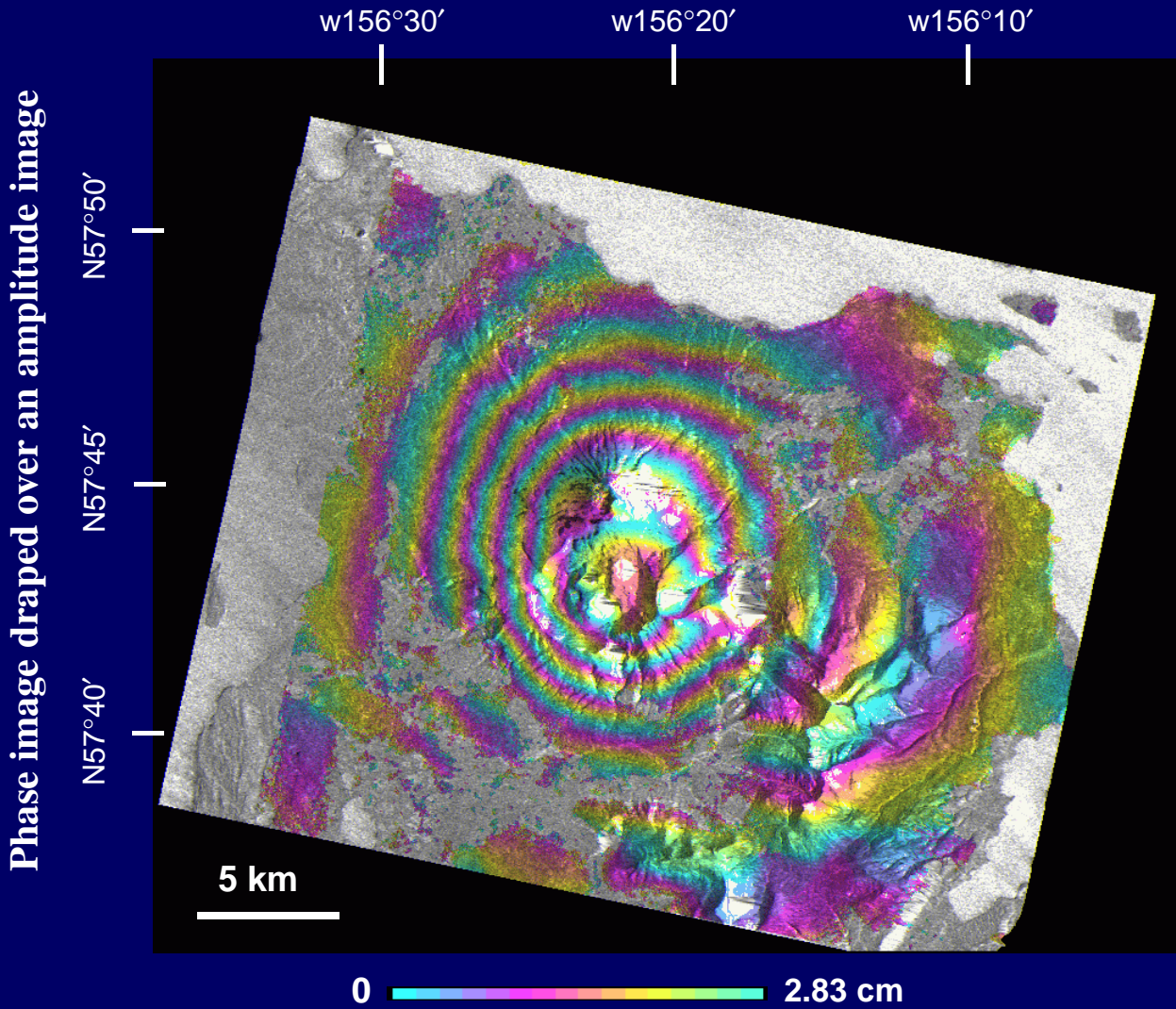
$$\Delta\phi_{\text{def}} = \Delta\phi_{\text{init}} - \Delta\phi_{\text{flat}} - \Delta\phi_{\text{topo}}$$

Filtered deformation interferogram



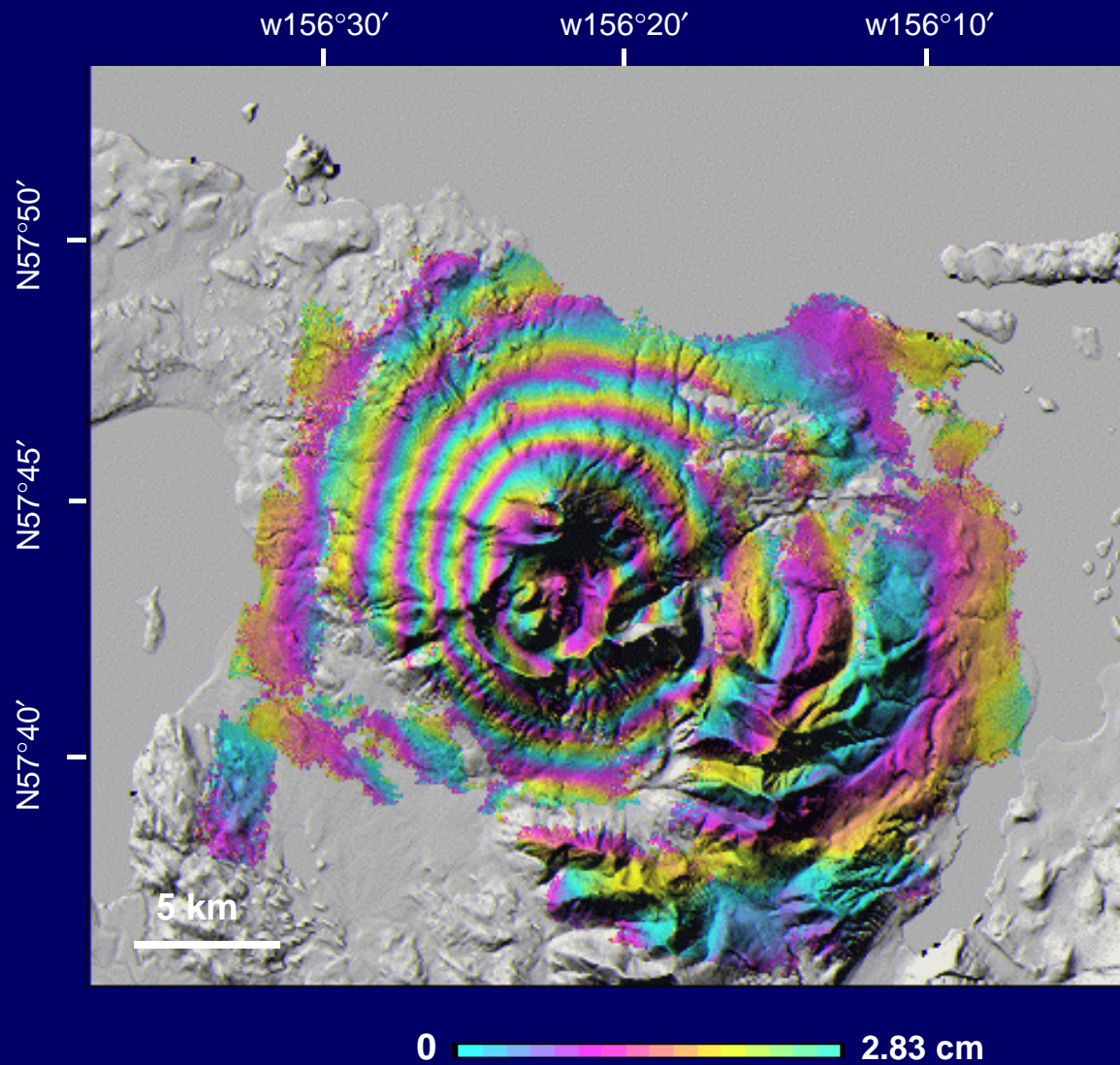
0  2.83 cm

# InSAR Processing Step 9 - Deformation interferogram in map projection (including phase unwrapping and geocoding)

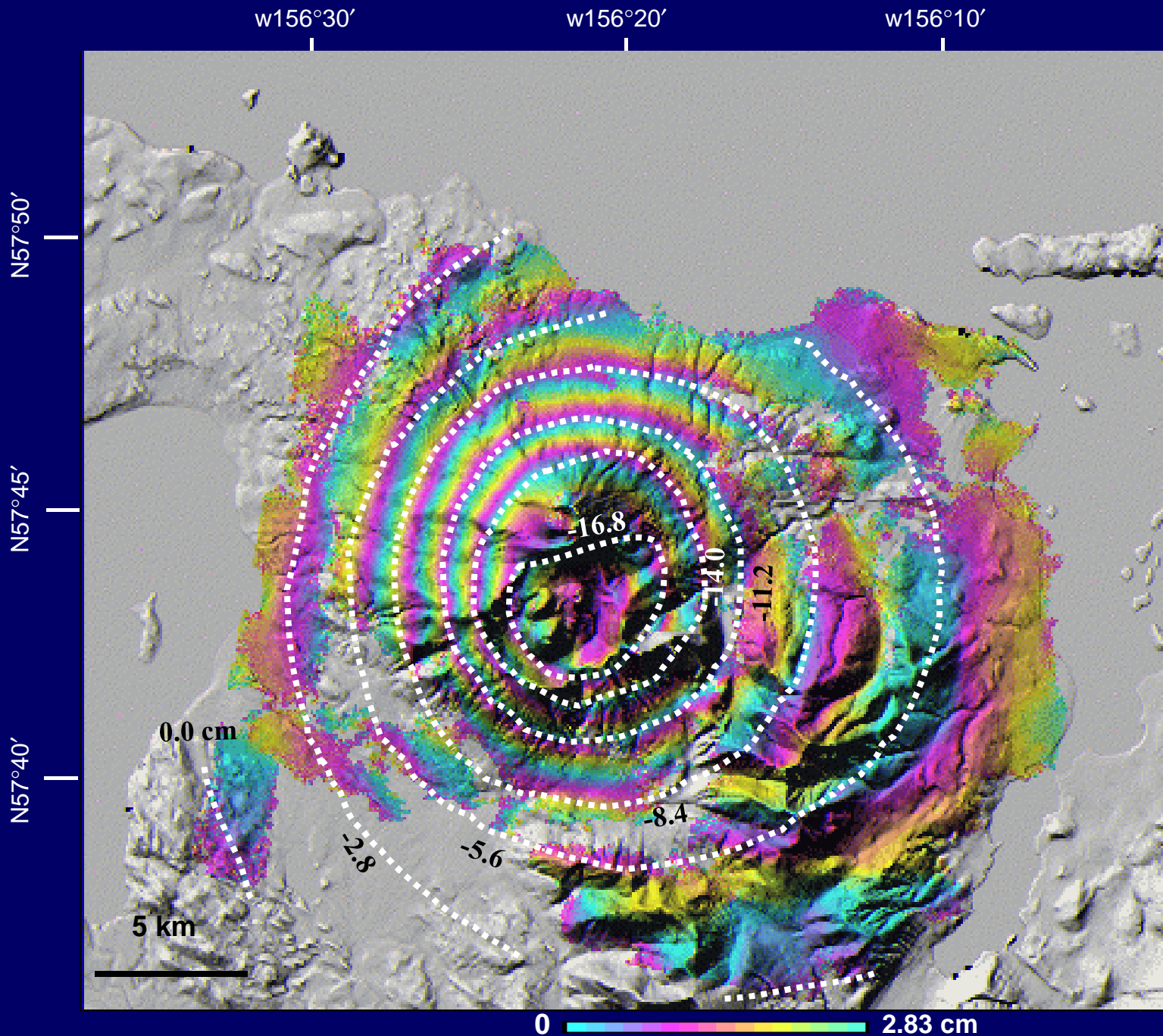


# InSAR Processing Step 9 - Deformation interferogram in map projection

Phase image draped over a DEM image



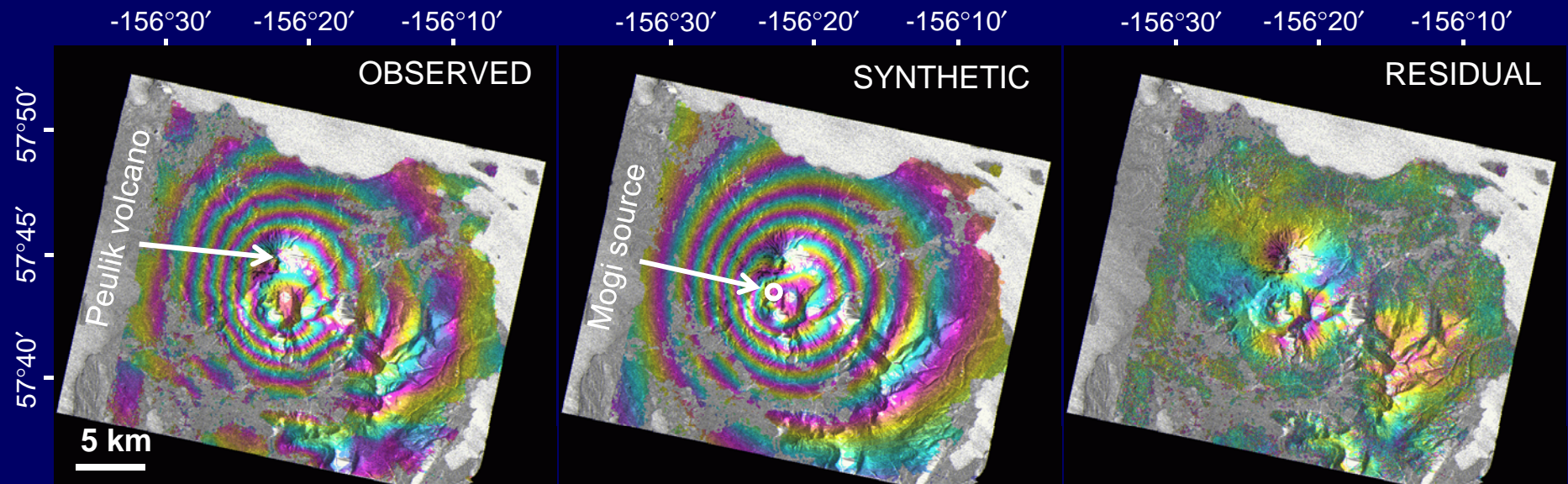
# InSAR Processing Step 10 – Deformation interpretation





# InSAR Processing Step 11 - Deformation modeling

4 Oct. 95 - 9 Oct. 97



Best-fit source parameters:

- Spherical point source (Mogi source)
- The model source is located at a depth of  $6.5 \pm 0.2$  km.
- The calculated volume change of magma reservoir is  $0.043 \pm 0.002$  km<sup>3</sup>.

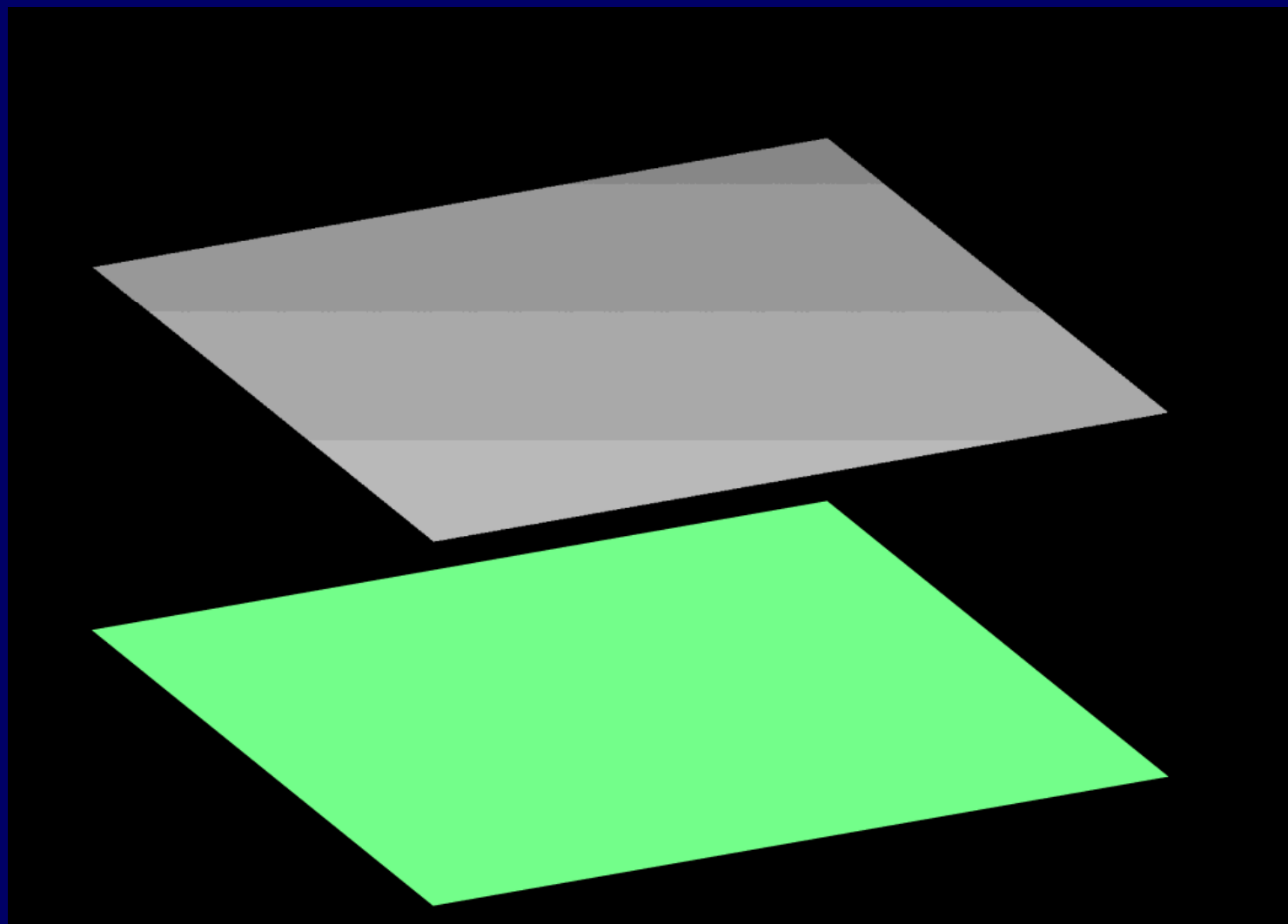
# Representation of Interferometric Data

34

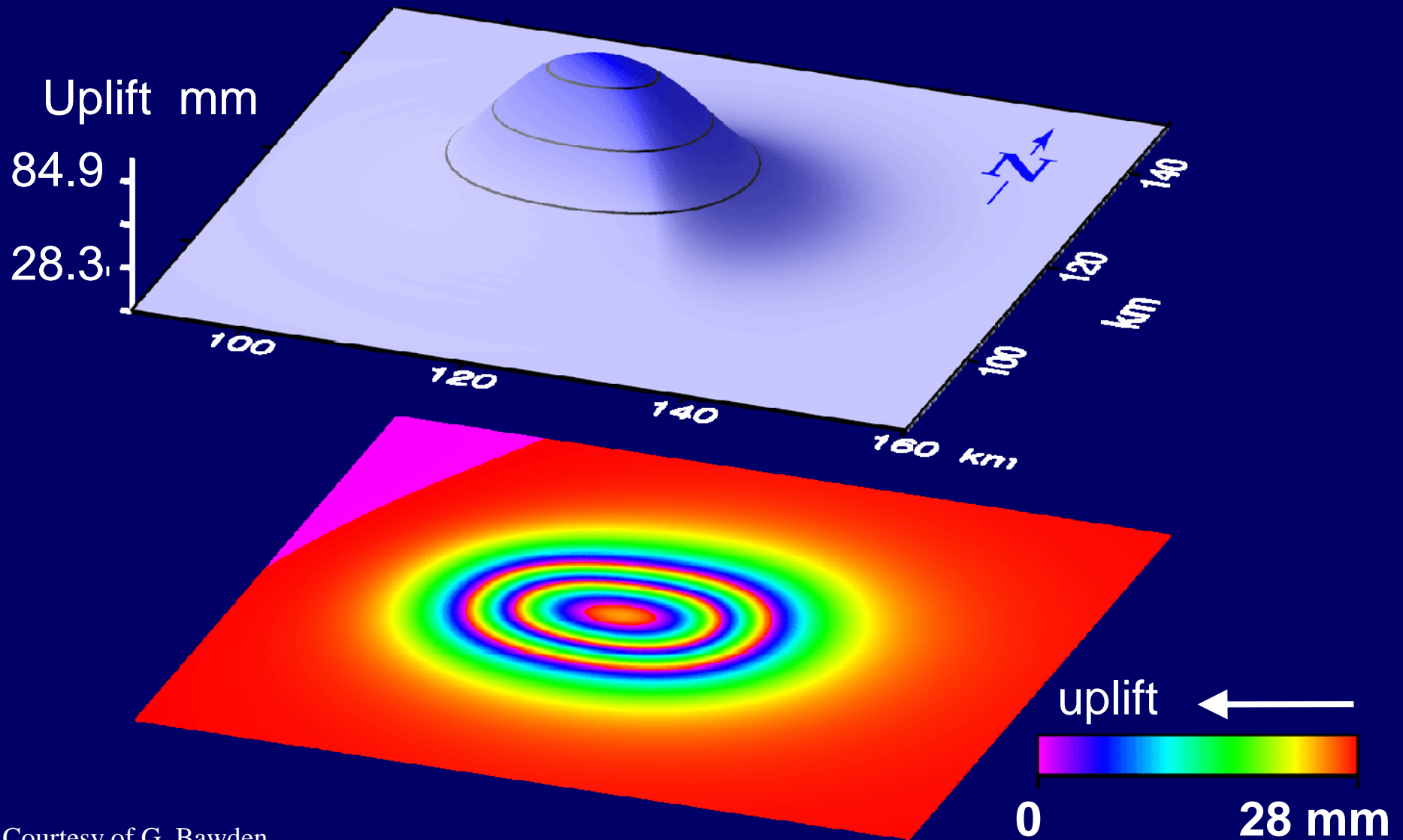
**Deflation**



**Inflation**

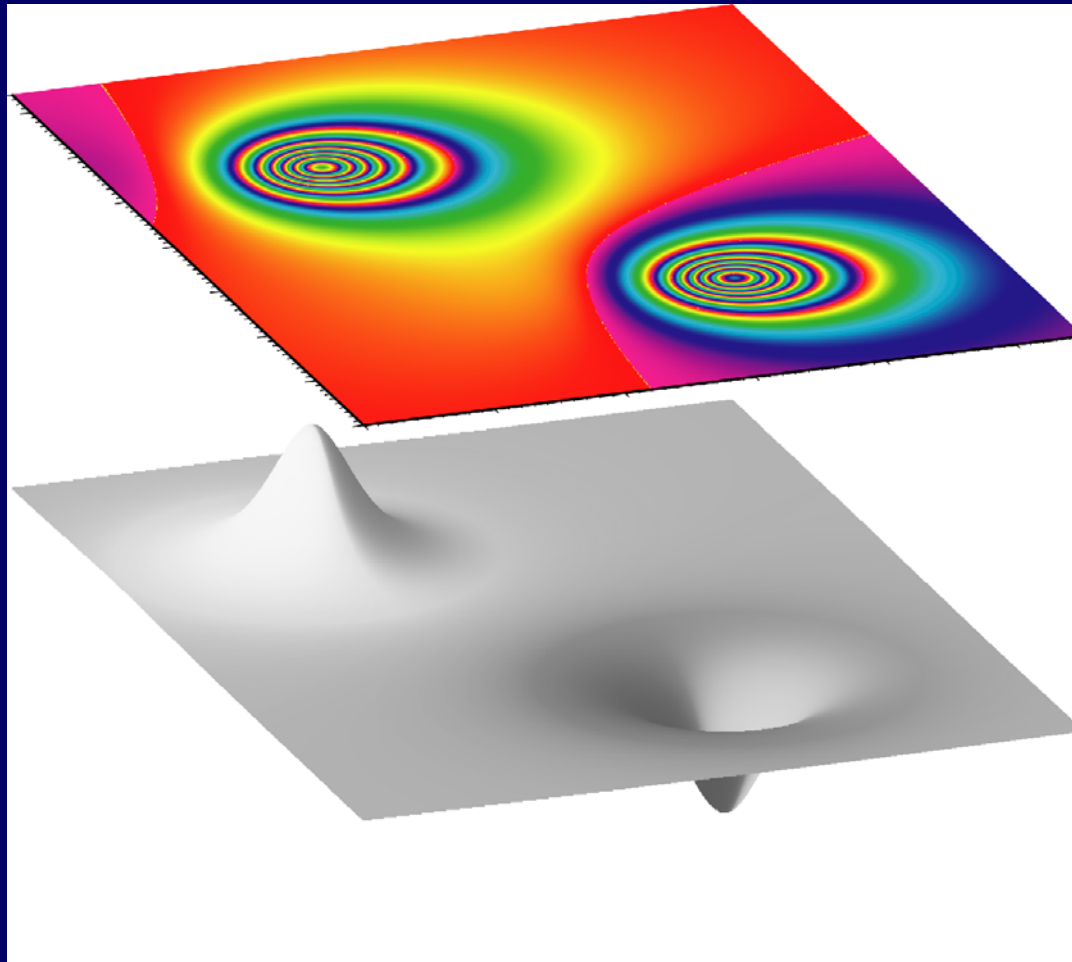


# 8.5-cm Deformation Produces ~3 Fringes (干涉条纹)<sup>35</sup>

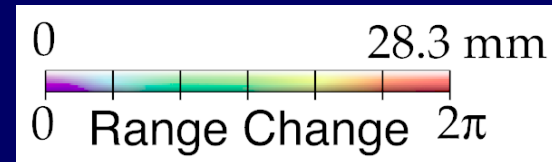


Courtesy of G. Bawden

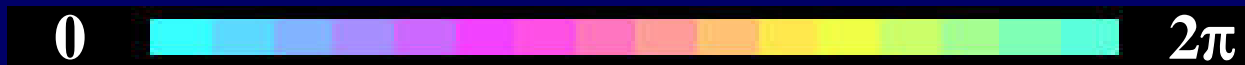
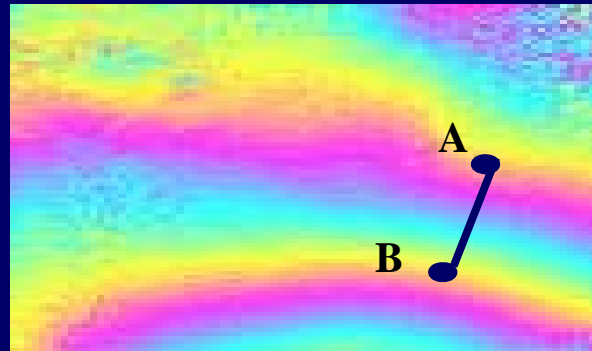
# Representation of Interferometric Data



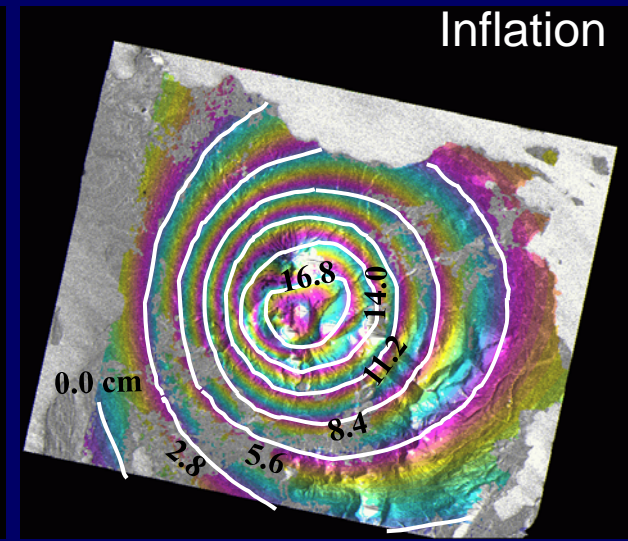
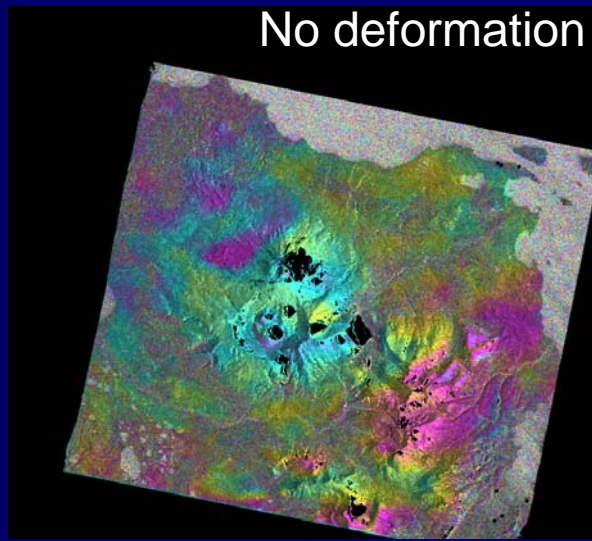
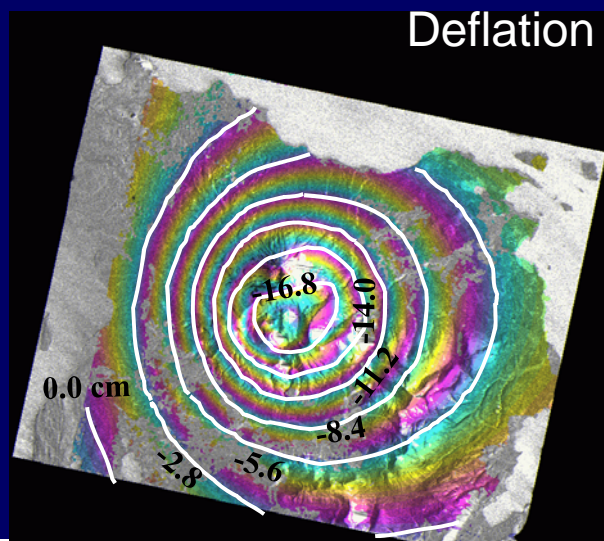
Inflation and deflation in an interferogram



# Representation of Interferometric Data



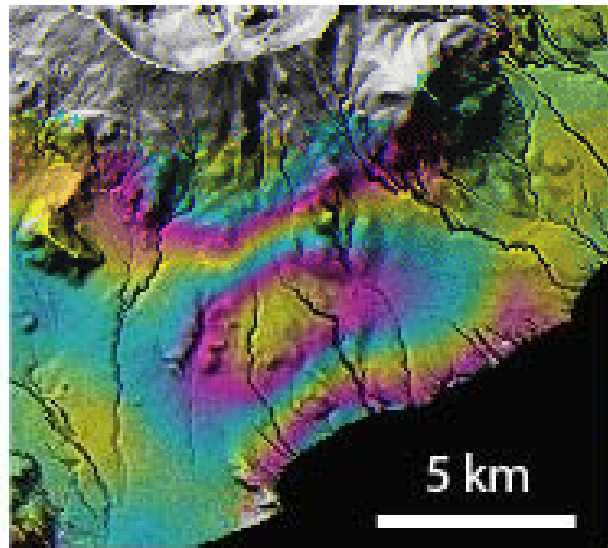
- Phase Difference from A to B:  $-2\pi$  (one fringe)
- Deformation: B inflates 2.83 cm relative to A.



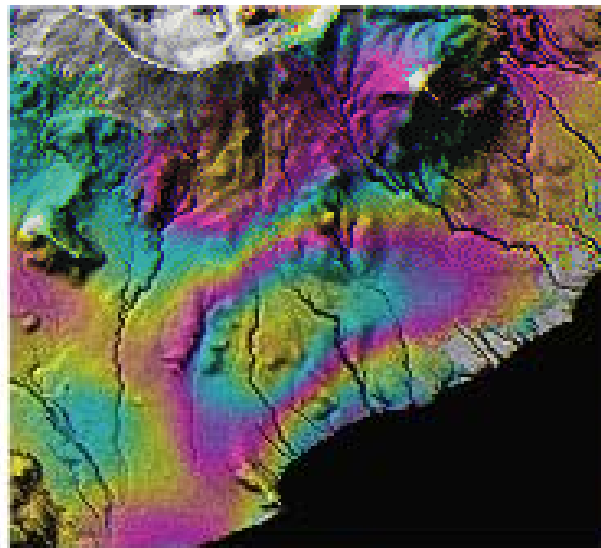
# Atmospheric Artifacts in InSAR Imagery

# InSAR Artifact: Atmospheric Delays

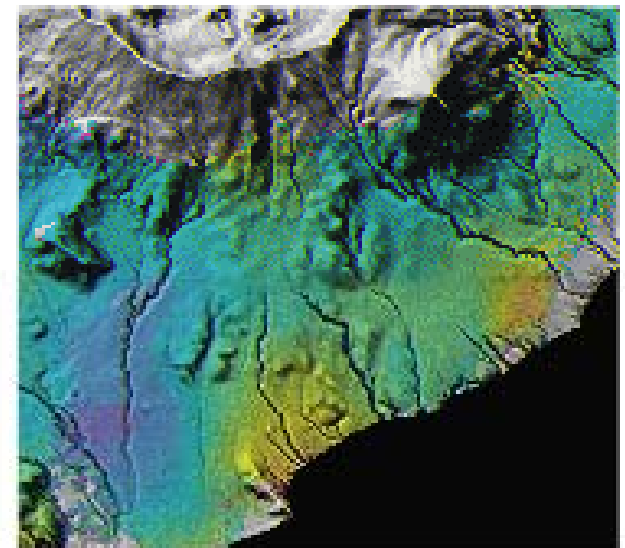
(a) May-Jul, 1997



(b) Jul-Sep, 1997



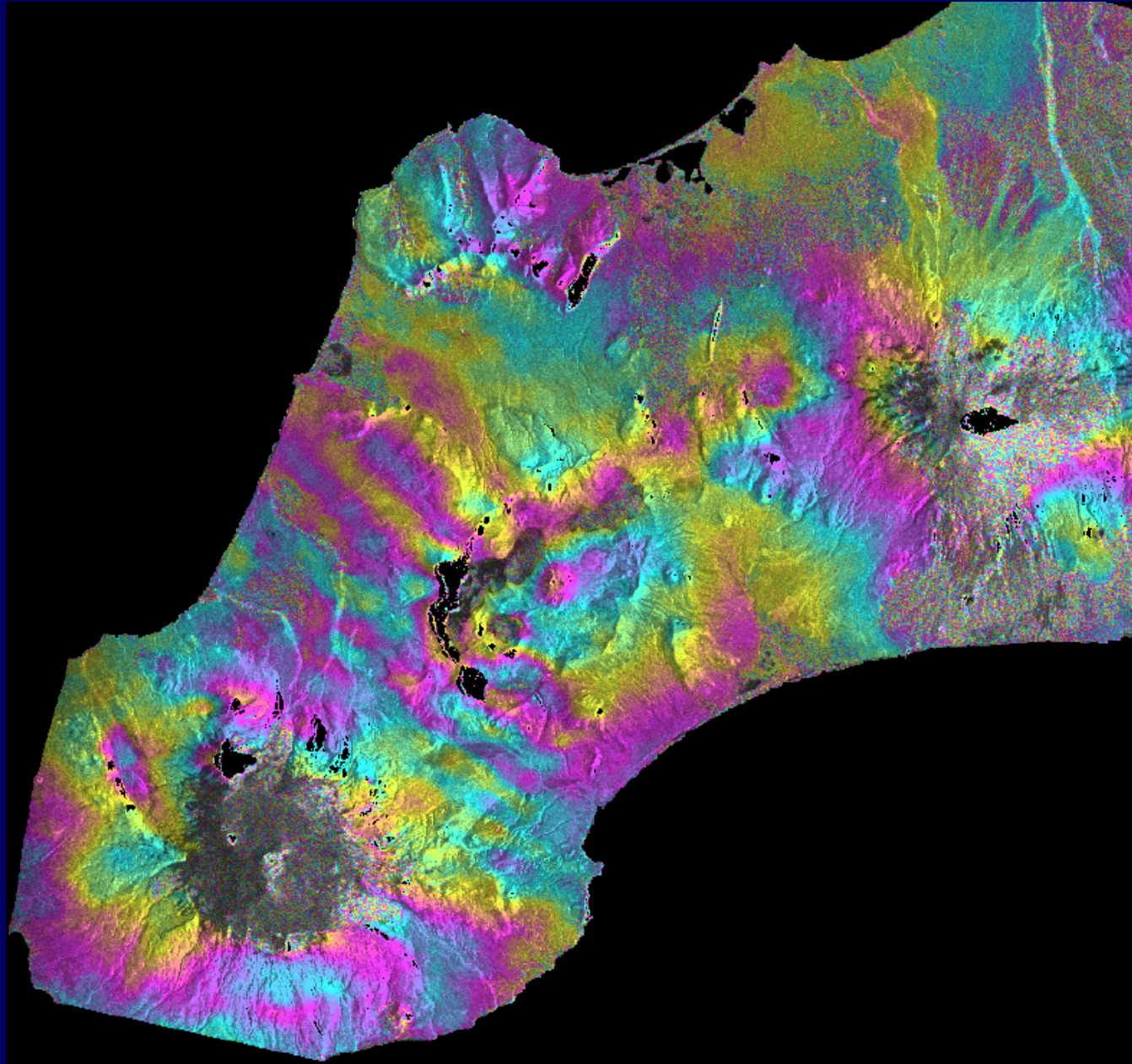
(c) May-Sep, 1997



0 2.83 cm

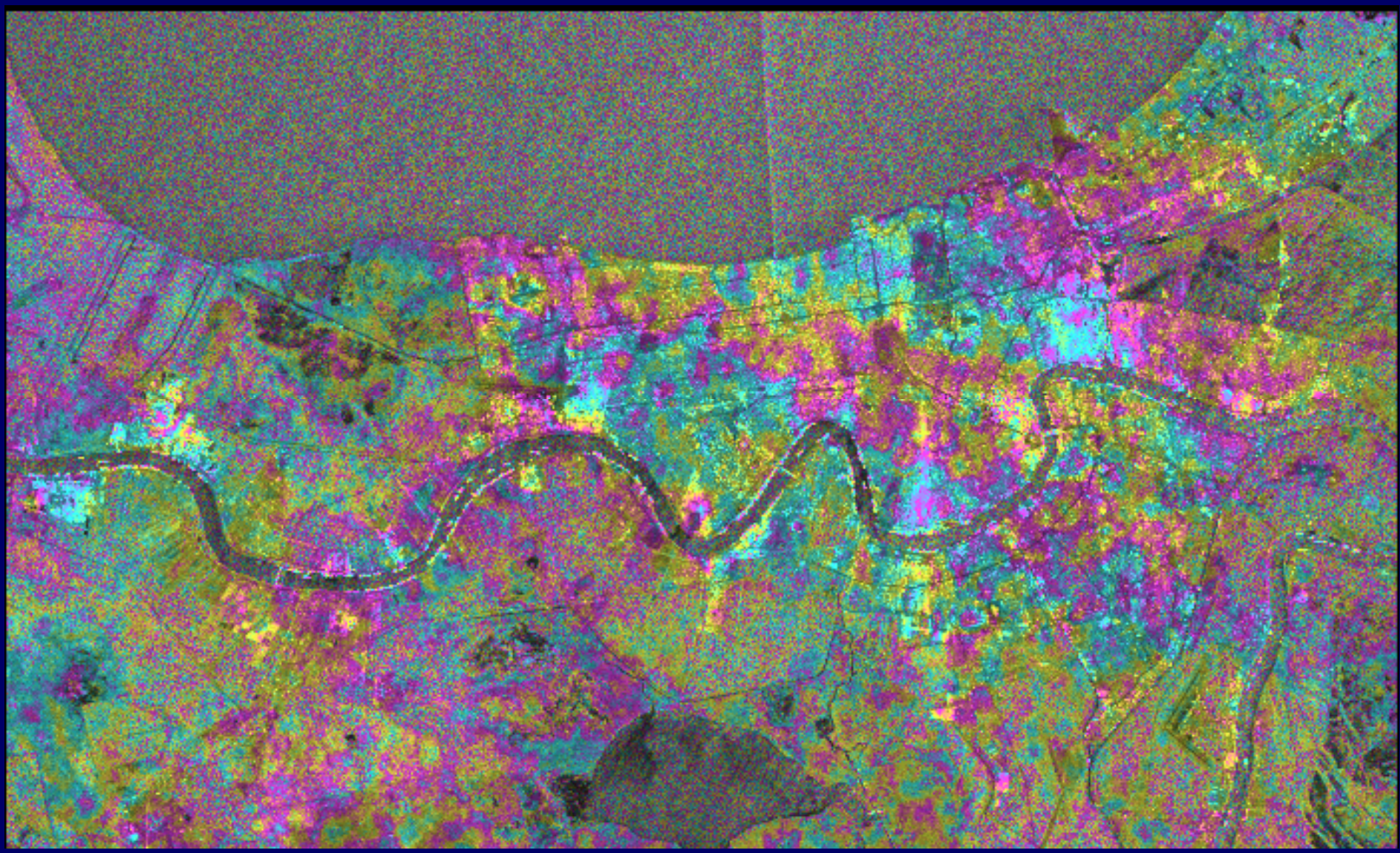
# Atmospheric Anomalies

Topography-removed interferogram, 990801-000924





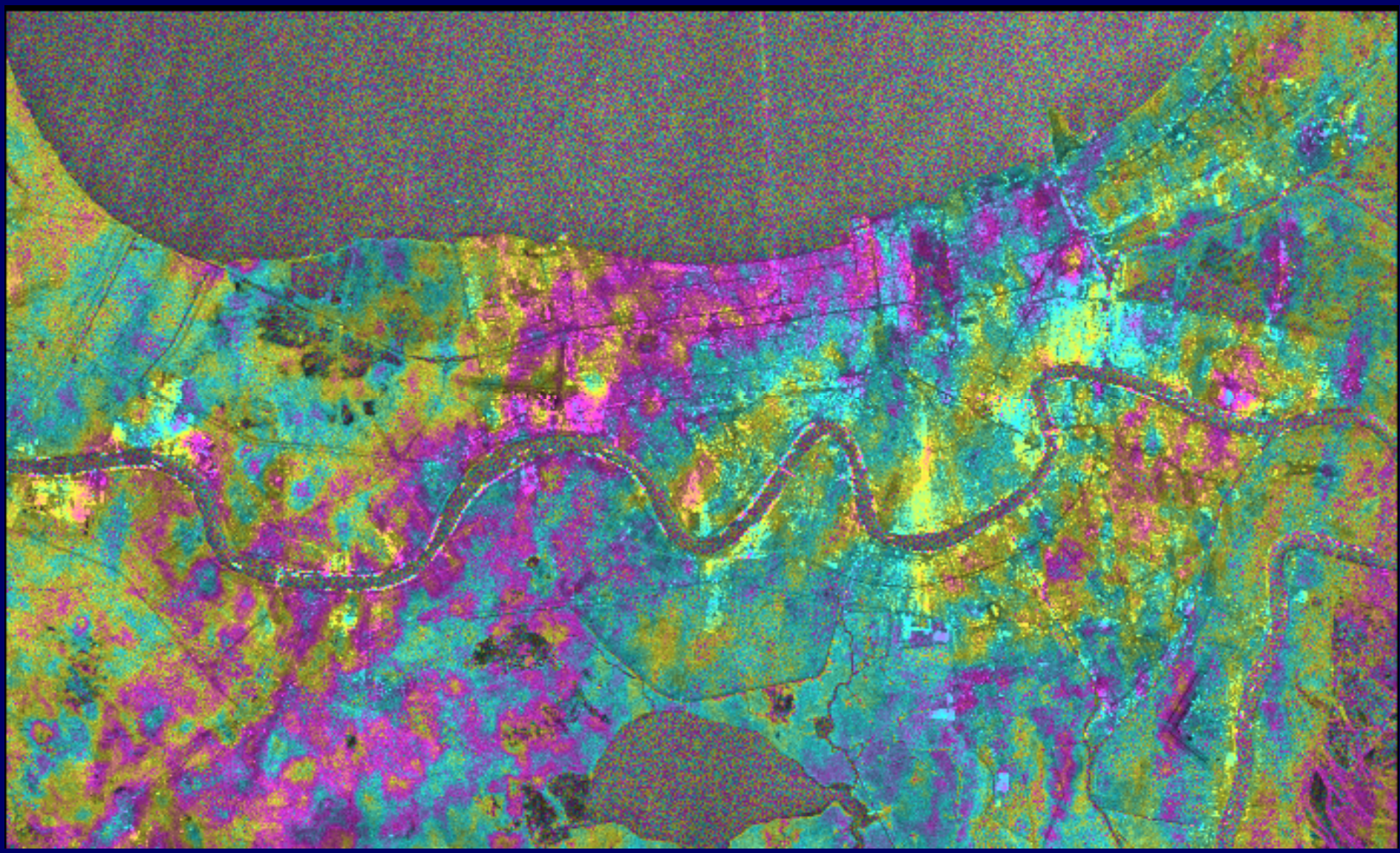
# Atmospheric artifacts



range change  
0  2.83 cm

**1995/09/18 – 1995/09/19**

# Atmospheric artifacts



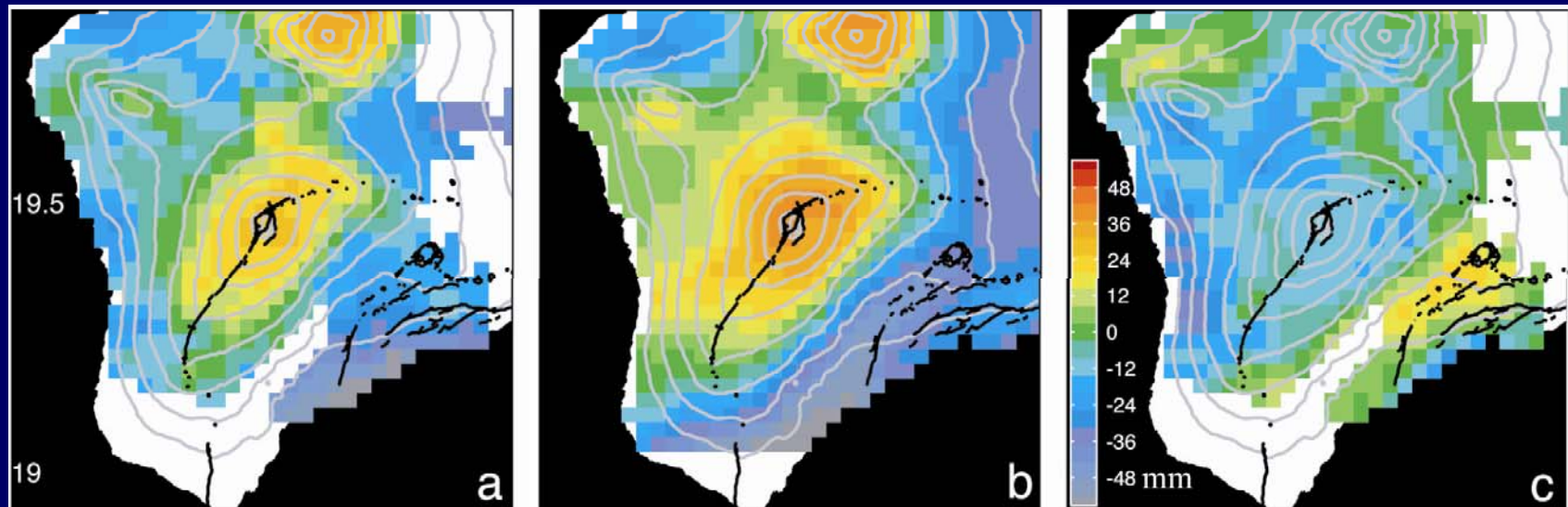
range change  
0  2.83 cm

**1995/11/27 – 1995/11/28**

# Reducing Atmospheric Artifacts

## Estimate water-vapor concentrations from

- operational weather models
- continuous GPS measurements
- optical satellite sensors (MODIS, ASTER, and MERIS)
- multi-interferogram InSAR processing



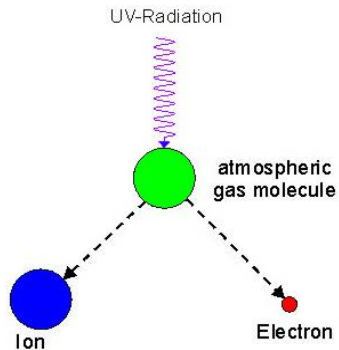
Observed  
interferogram

Weather-model derived  
interferogram

Atmosphere-reduced  
interferogram

# Ionospheric Artifacts in InSAR Imagery

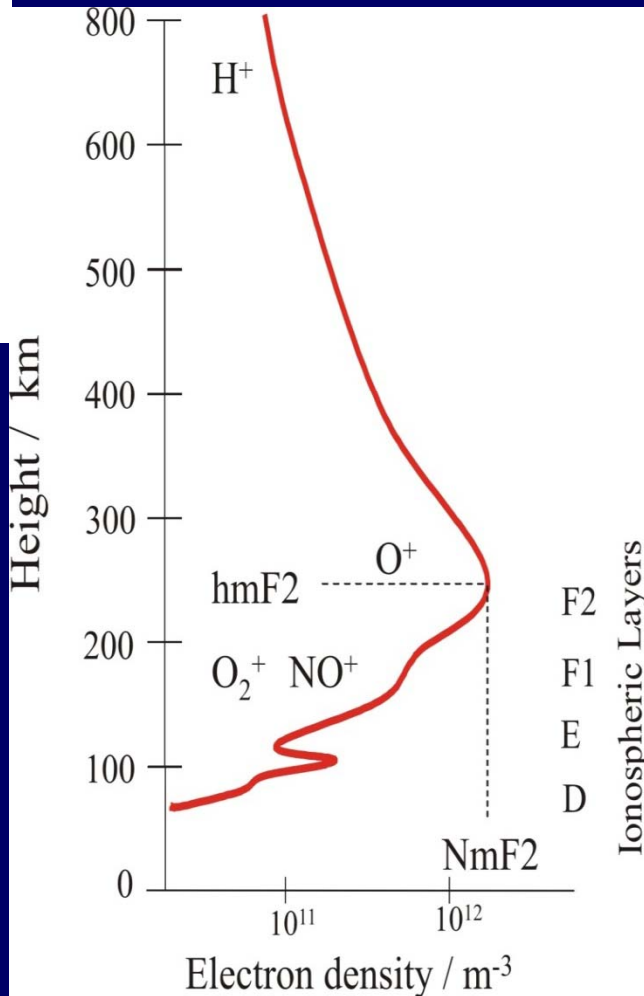
# Signal Propagation Through the Ionosphere



EUV radiation of the sun ionizes neutral atoms and molecules



Typical vertical profiles of the plasma density



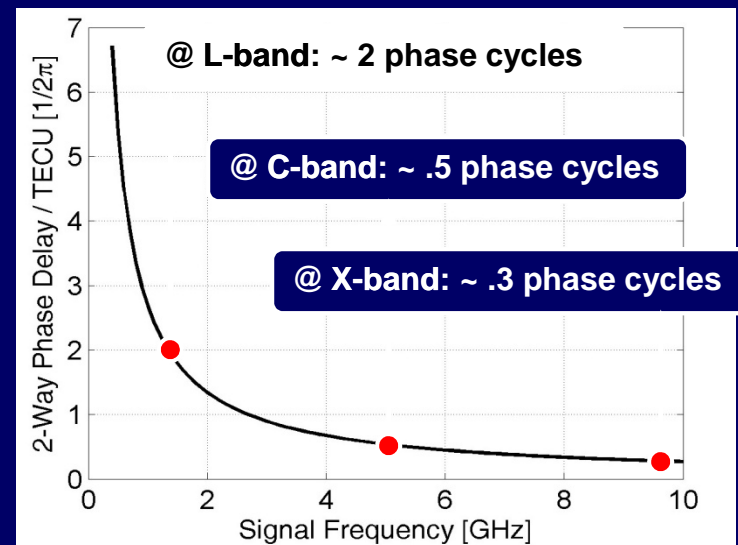
## Refractive Index:

$$N_{iono} = (n_{iono} - 1) \cdot 10^6 = -K \cdot 10^6 \frac{n_e}{f^2}$$

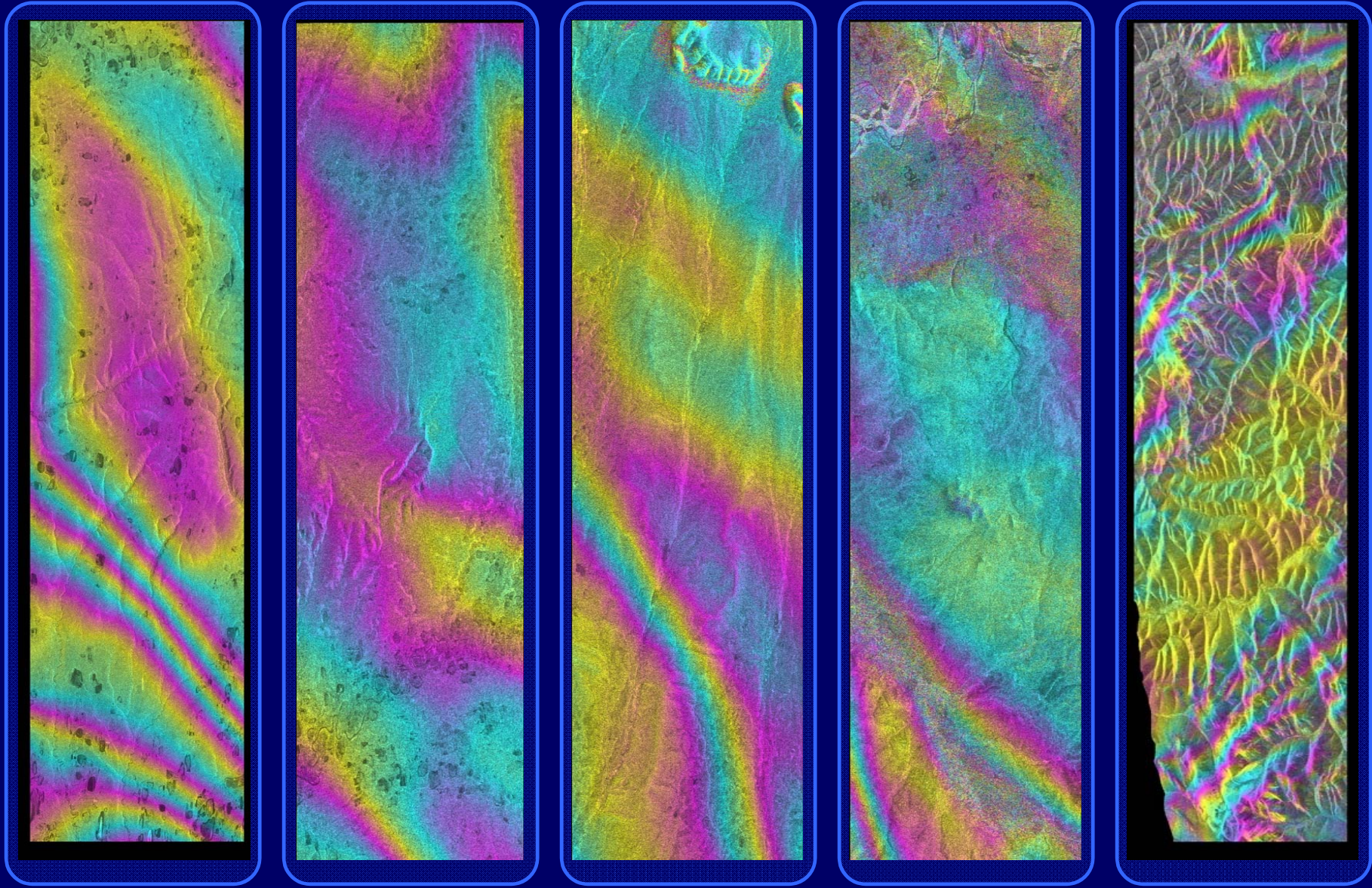
Two-way phase shift of frequency  $f$  due to the ionosphere (nadir looking Radar):

$$\phi(f) = -2\pi f \frac{2}{10^6} \int_0^H \frac{N_{iono}(f, h)}{c} dh \approx 2\pi \frac{2K}{cf} TEC$$

TEC = Total Electron Content



# Ionospheric Effects on InSAR



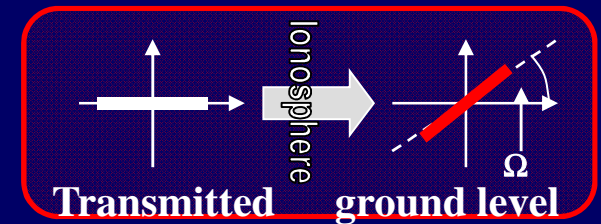
# Ionospheric Effects on SAR/InSAR

- **Potential effects on SAR**
  - ✓ Reduction of geolocation accuracy in azimuth
  - ✓ Image distortion
  - ✓ Reduction of image focus in azimuth
- **Potential effects on InSAR**
  - ✓ Phase ramps in range direction
  - ✓ Ionospheric phase screens
  - ✓ Local or global decorrelation

# Methods for Ionospheric Correction

## Faraday Rotation (FR) Based Correction

- FR estimation from quad-pol data
- FR estimation from HH-HV correlation

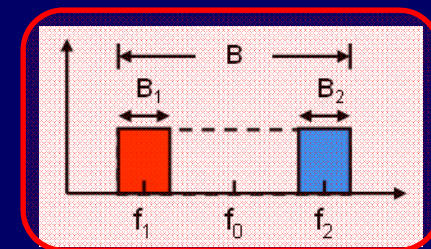


– *Freeman, 2004;*  
*Quegan, 2010*

– *Nicoll & Meyer, 2008*

## Range Split-Spectrum Based Correction

- **Distributed targets in Repeat-pass InSAR**
- **Coherent Targets in single image**
- **Amplitude correlation of sub-looks**



– *Rosen, 2009, 2010*

– *Papathanassiou, 2009*

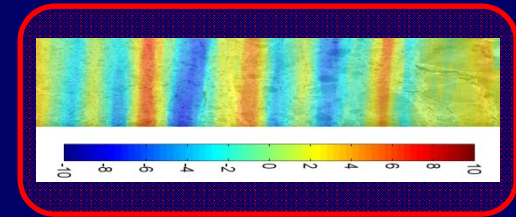
– *Meyer & Bamler, 2005*



# Methods for Ionospheric Correction

## Azimuth Autofocus Based Correction

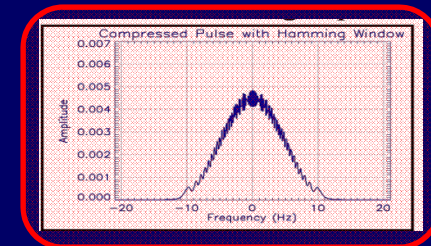
- Contrast maximization for point targets
- Coherent AF: Phase Curvature analysis
- Incoherent AF: Sub-look co-registration (MLR)



- *several authors*
- *Papathanassiou, 2008*
- *Meyer & Nicoll, 2008*

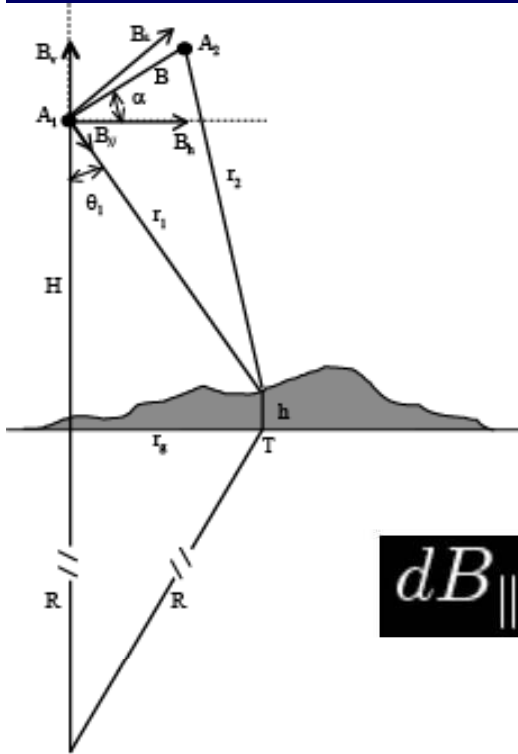
## Hybrid Methods

- **Combination of range and phase offsets in InSAR**
- **Two dimensional phase signature of point targets**
- ...

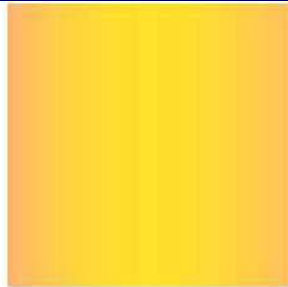


- *Meyer, 2005*
- *Papathanassiou*

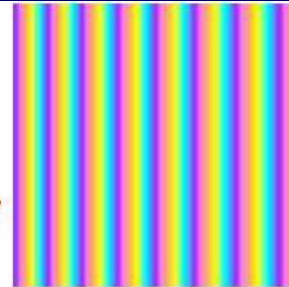
# Baseline Artifacts in InSAR Imagery



$$dB_{\parallel}$$

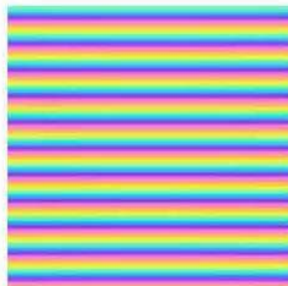


$$dB_{\perp}$$
  
 (nearly linear)



2 m

$$d\frac{\partial B_{\parallel}}{\partial t}$$
  
 (linear)

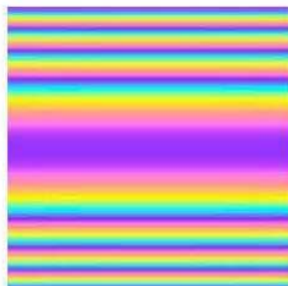


$$d\frac{\partial B_{\perp}}{\partial t}$$



2 cm/s

$$d\frac{\partial^2 B_{\parallel}}{\partial t^2}$$



$$d\frac{\partial^2 B_{\perp}}{\partial t^2}$$



2 mm/s<sup>2</sup>

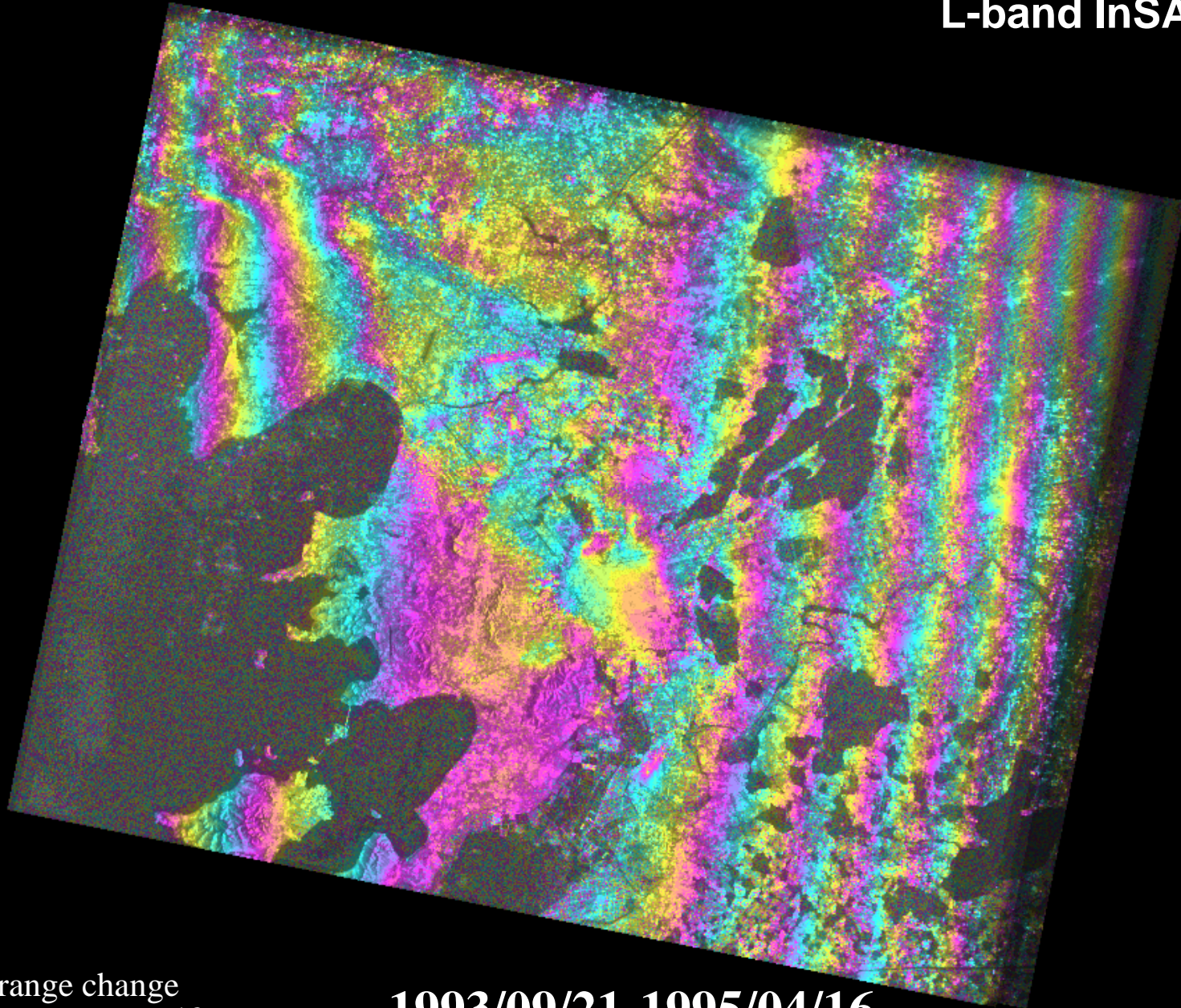
Courtesy of A. Hooper



# Artifacts due to errors in satellite positioning

j930921\_j950416.sub\_int.utm.bmp 19930921\_19950416

L-band InSAR Image



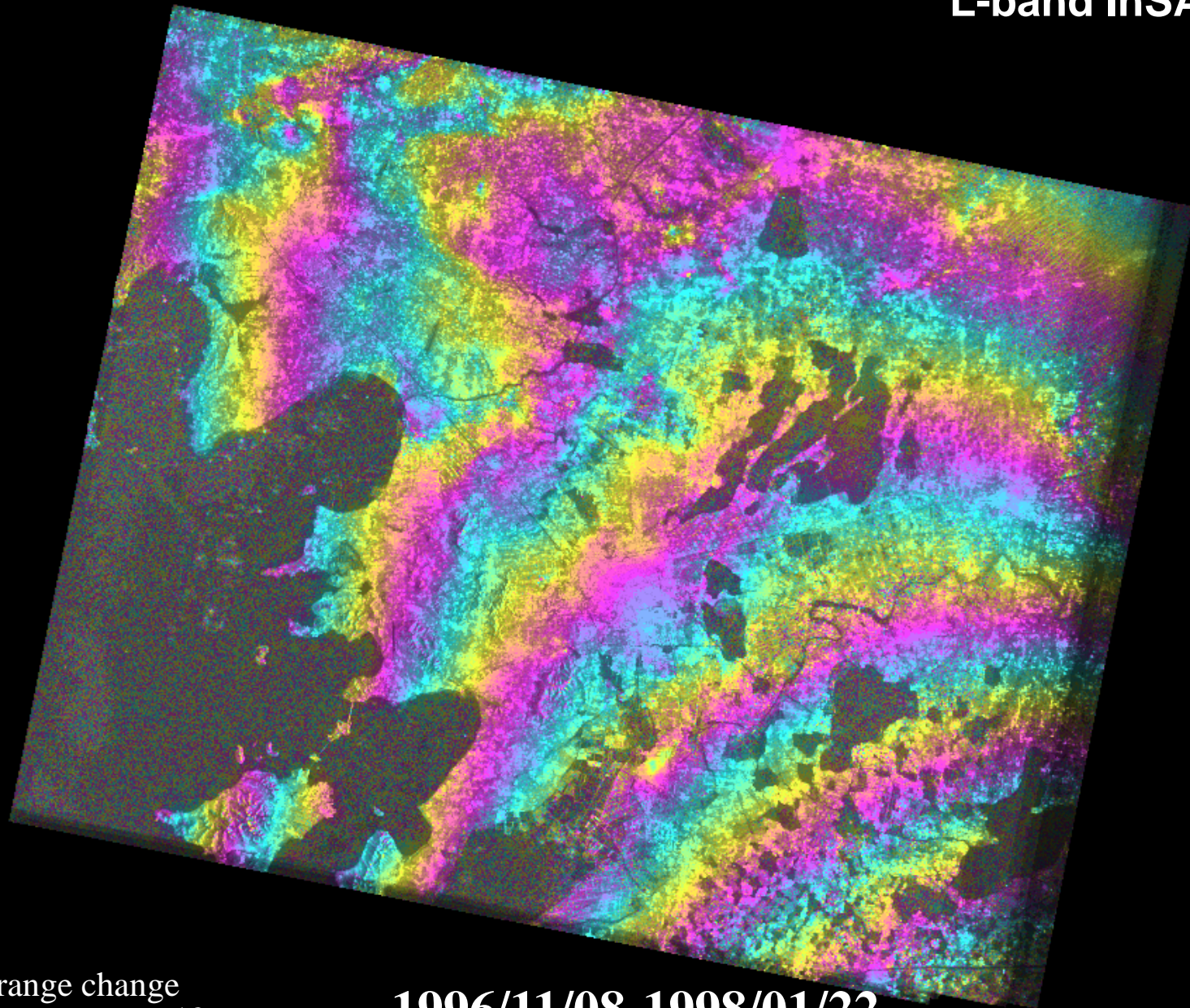
range change  
0  12 cm

**1993/09/21-1995/04/16**

# Artifacts due to errors in satellite positioning

j961108\_j980122.sub\_int.utm.bmp 19961108\_19980122

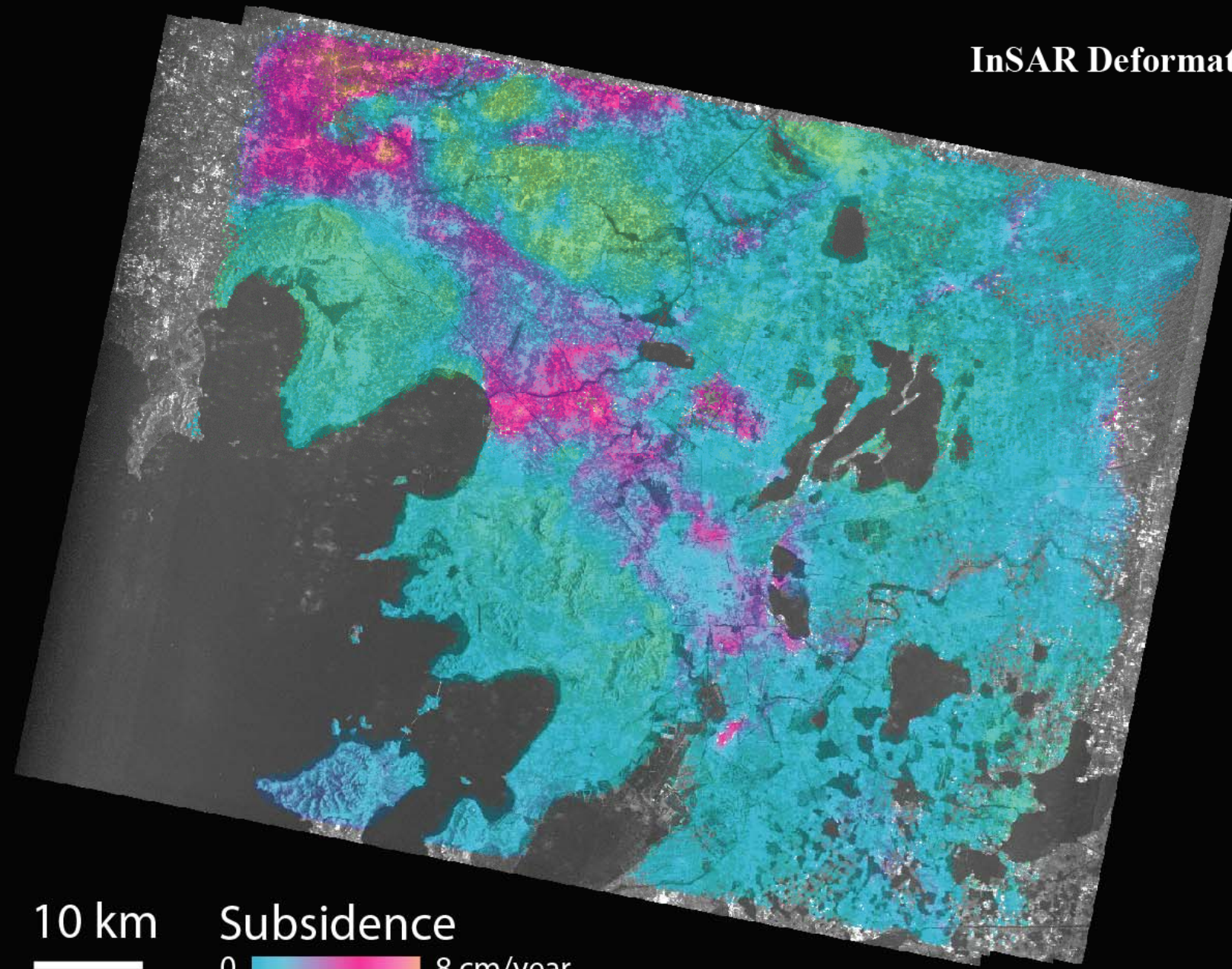
L-band InSAR Image



range change  
0  12 cm

**1996/11/08-1998/01/22**

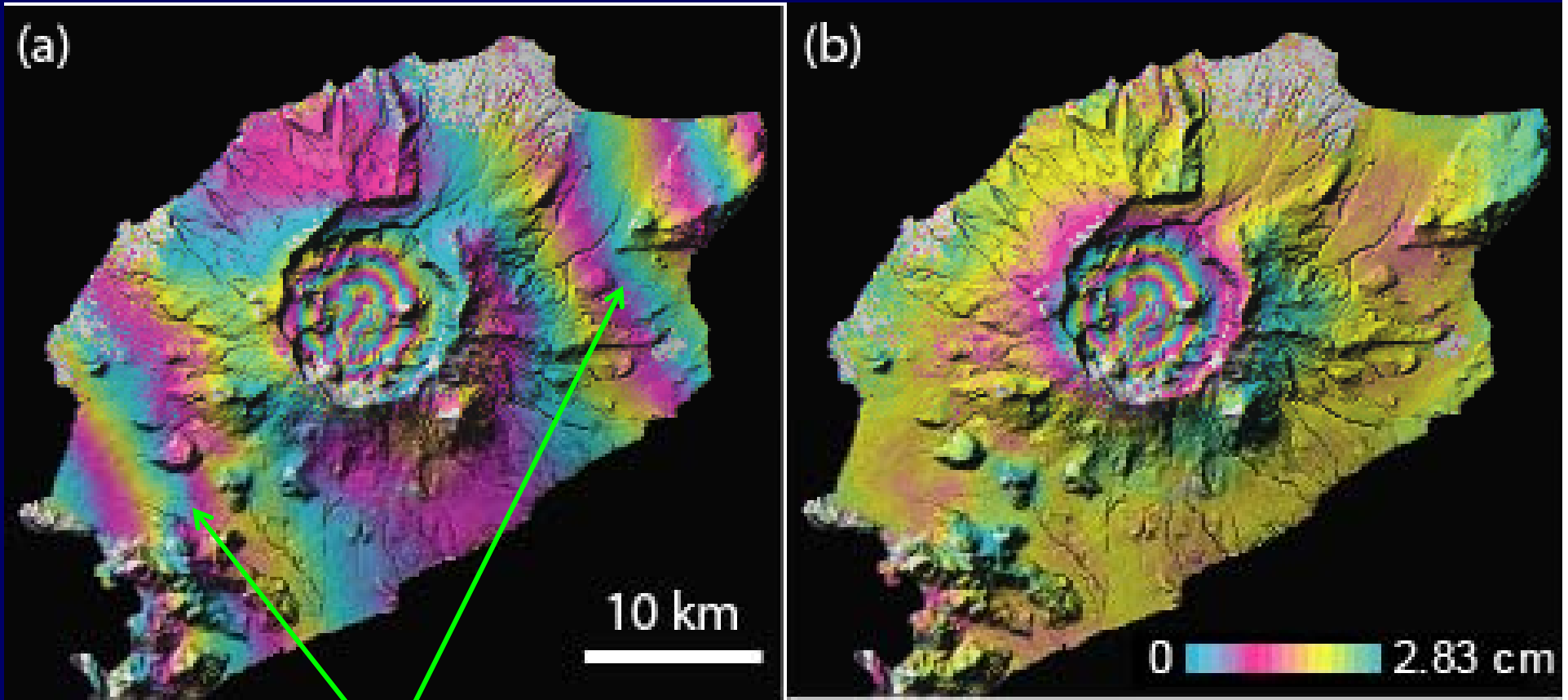
# InSAR Deformation Map



10 km  
—

Subsidence  
0  8 cm/year

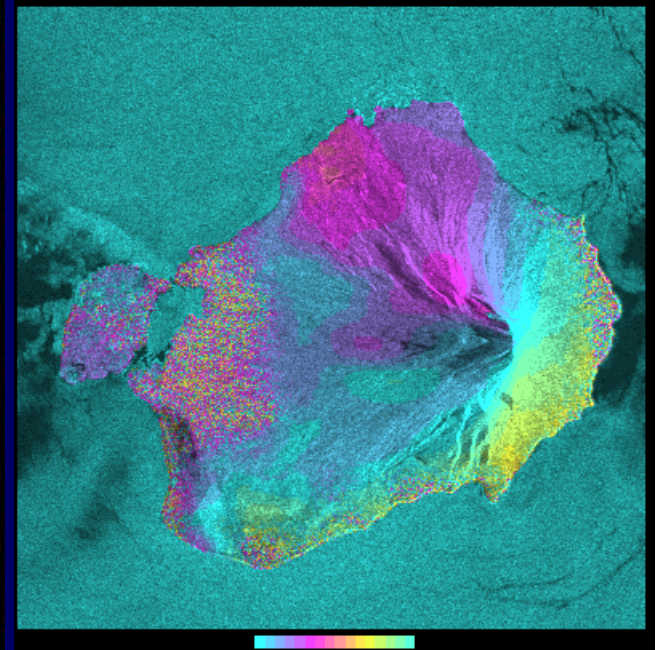
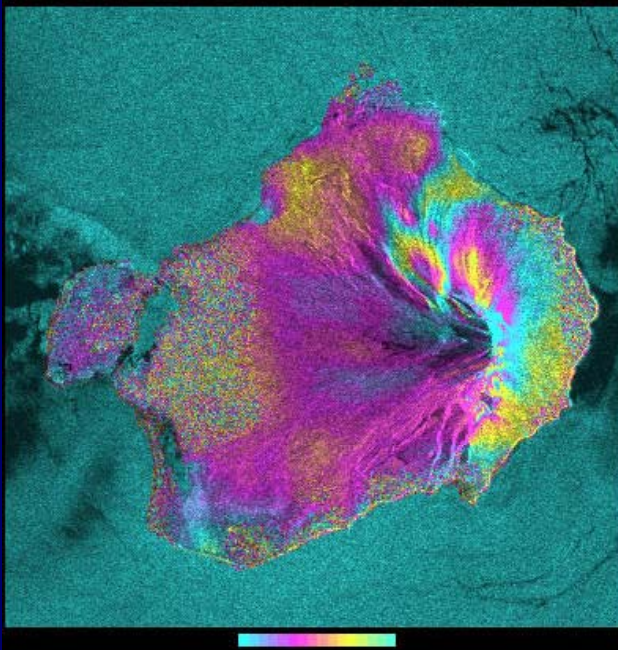
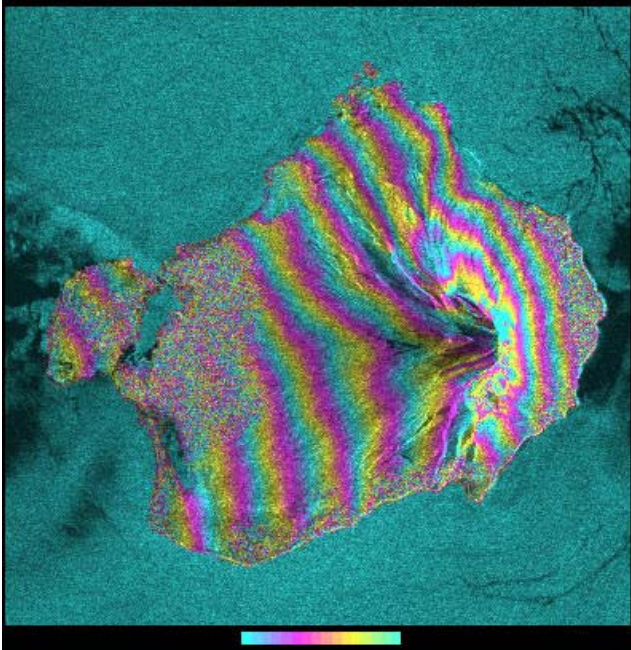
# InSAR Artifact: errors in satellite positioning



Artifacts due to baseline errors

InSAR deformation image with refined baseline

# InSAR artifact due to errors in satellite positioning & DEM



**Original Satellite  
restitute vectors +  
60-m DEM**

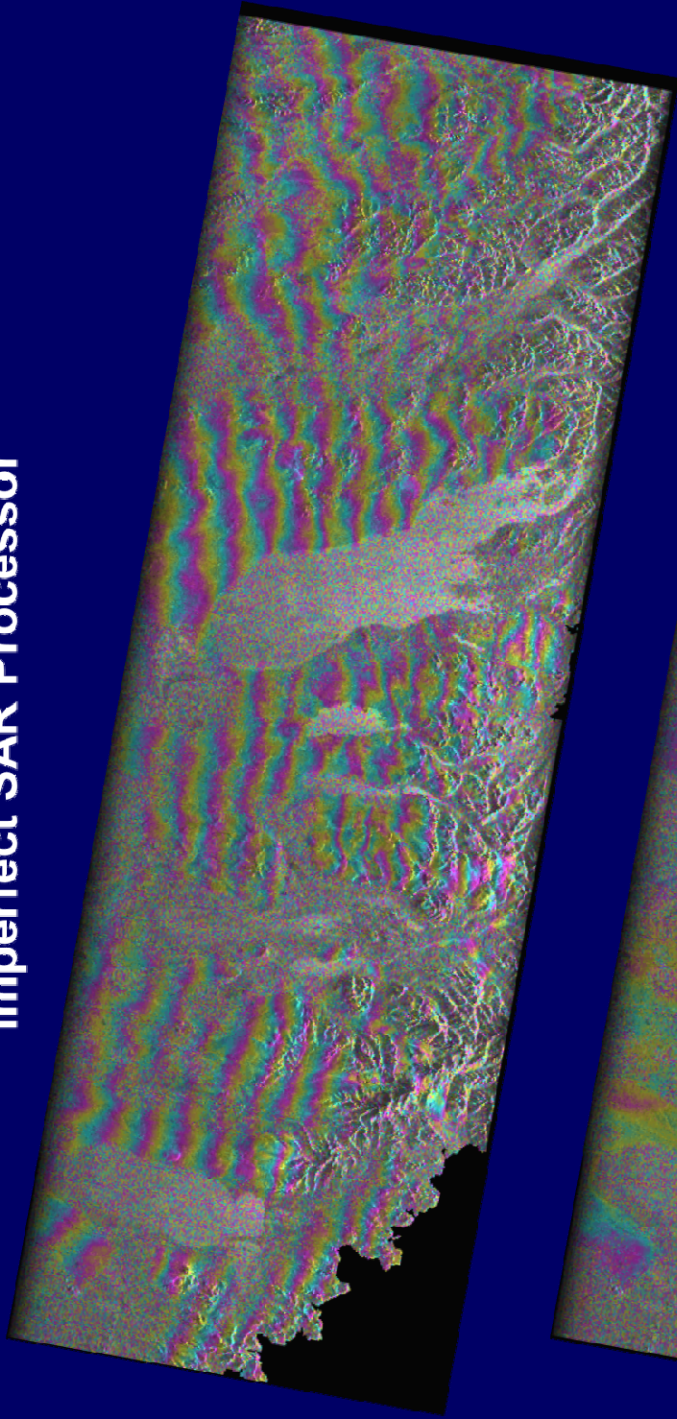
**Precision Satellite  
restitute vectors +  
60-m DEM**

**Precision Satellite  
restitute vectors +  
10-m DEM**

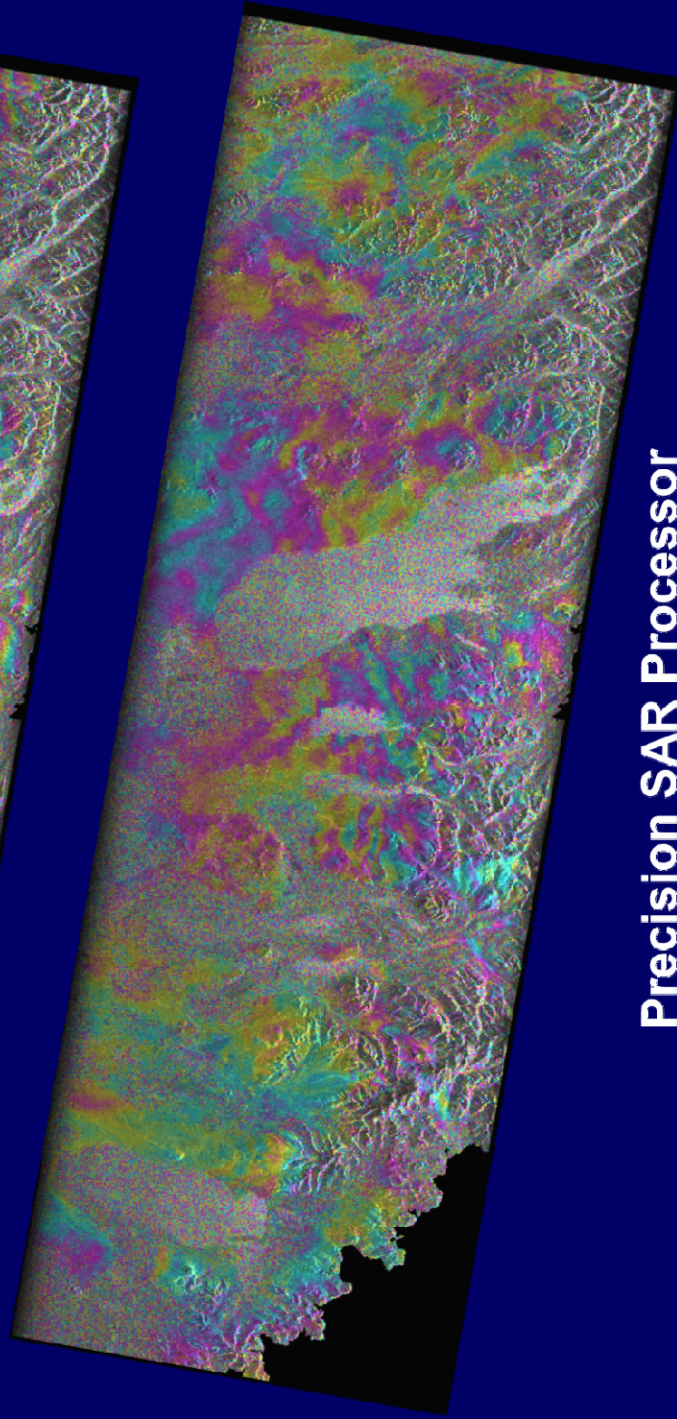


# SAR-processor Artifacts in InSAR Imagery

Imperfect SAR Processor



Precision SAR Processor



# Multi-interferogram InSAR Processing

Improve deformation measurement accuracy of conventional InSAR through the multi-interferogram approach

# Multi-interferogram InSAR processing

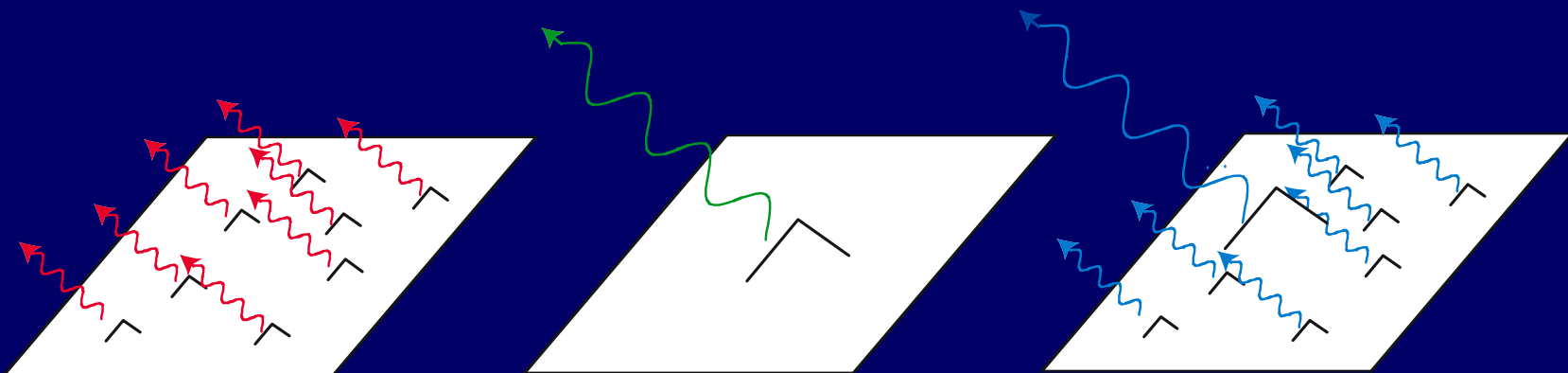
## InSAR Differential Phase Equation

For pixel  $n$  in interferogram  $i$ :

$$\phi_{n,i} = \phi_{\varepsilon,n,i} + \phi_{\text{def},n,i} + \phi_{\text{Atm},n,i} + \phi_{\text{orbit},n,i} + \sigma_{n,i}$$

The diagram illustrates the decomposition of the InSAR differential phase equation. The equation is shown at the top, with five terms:  $\phi_{\varepsilon,n,i}$ ,  $\phi_{\text{def},n,i}$ ,  $\phi_{\text{Atm},n,i}$ ,  $\phi_{\text{orbit},n,i}$ , and  $\sigma_{n,i}$ . Below the equation, five boxes are connected to their respective terms by green arrows. The boxes are: 'DEM Error Term' (pointing to  $\phi_{\varepsilon,n,i}$ ), 'Deformation' (pointing to  $\phi_{\text{def},n,i}$ ), 'Atmospheric Artifact Term' (pointing to  $\phi_{\text{Atm},n,i}$ ), 'Orbit Error Term' (pointing to  $\phi_{\text{orbit},n,i}$ ), and 'Noise' (pointing to  $\sigma_{n,i}$ ). The 'Deformation' box is highlighted in red, while the others are green.

# Persistent scatterer InSAR

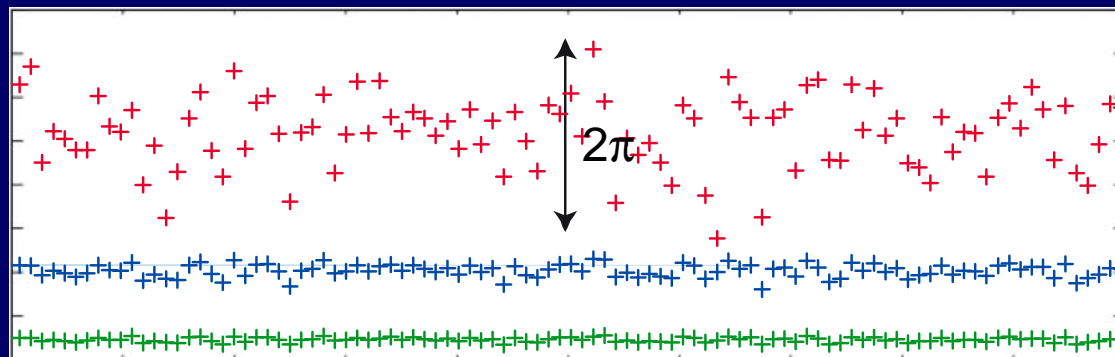


Distributed  
scatterer

Single point  
scatterer

Dominant  
scatterer

Pixel  
phase



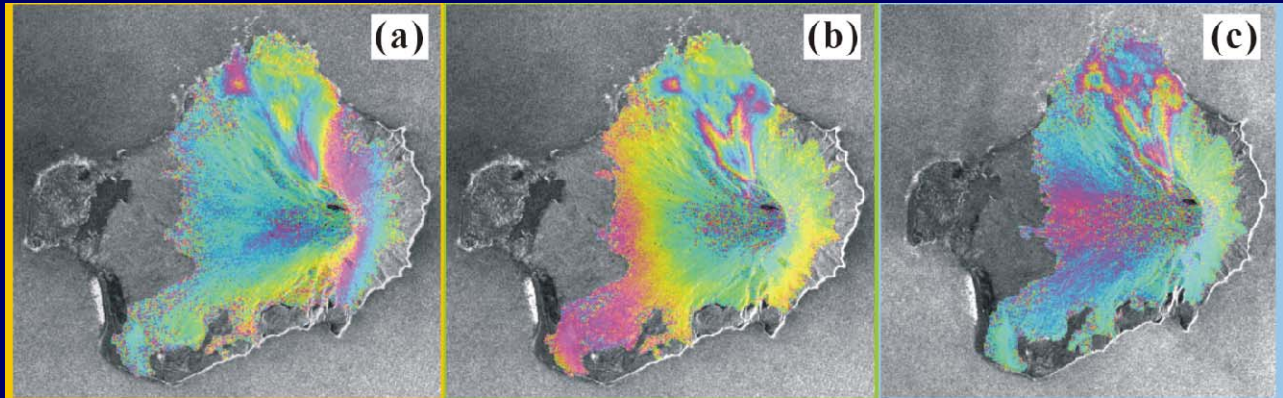
Acquisition

## Persistent Scatterer InSAR (PSInSAR)

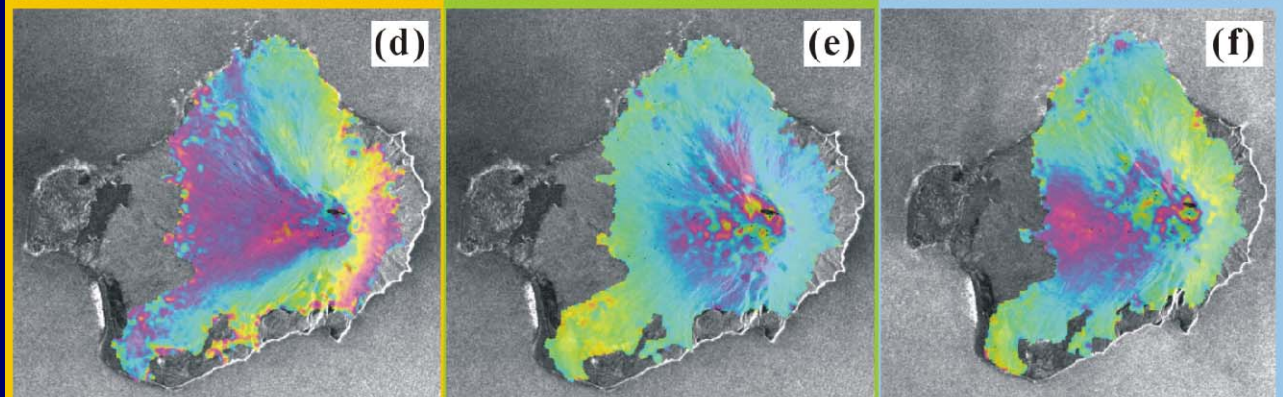
- PSInSAR uses unique characteristics of atmospheric delay anomalies and backscattering of certain ground targets to improve the accuracy of the conventional InSAR deformation measurement from 1-2 cm to 2-3 mm.
- The basic idea behind PSInSAR is to separate the different phase contributions (surface deformation, atmospheric delay anomaly, and decorrelation noise) by iterations, taking into account the spatio-temporal data distribution and the correlation between the point target samples which have unique SAR backscattering characteristics.
- Most of the point targets correspond to single buildings or other stable structures. These points can be used as a “natural benchmark” to monitor terrain motion by analyzing the phase history of each target in the image.

# Deformation of Augustine Volcano

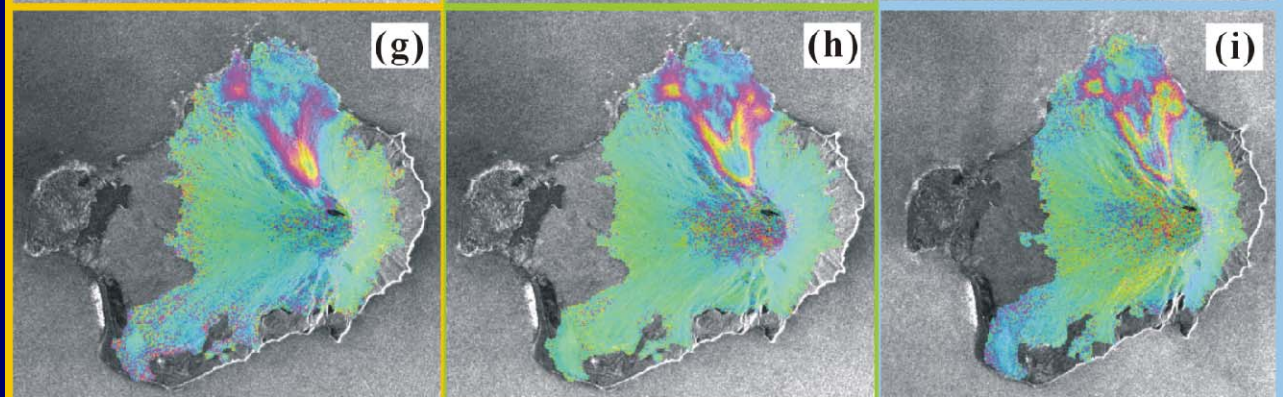
“Deformation” Images



“Atmospheric artifact” Images



Deformation Images obtained via the multi-interferogram approach

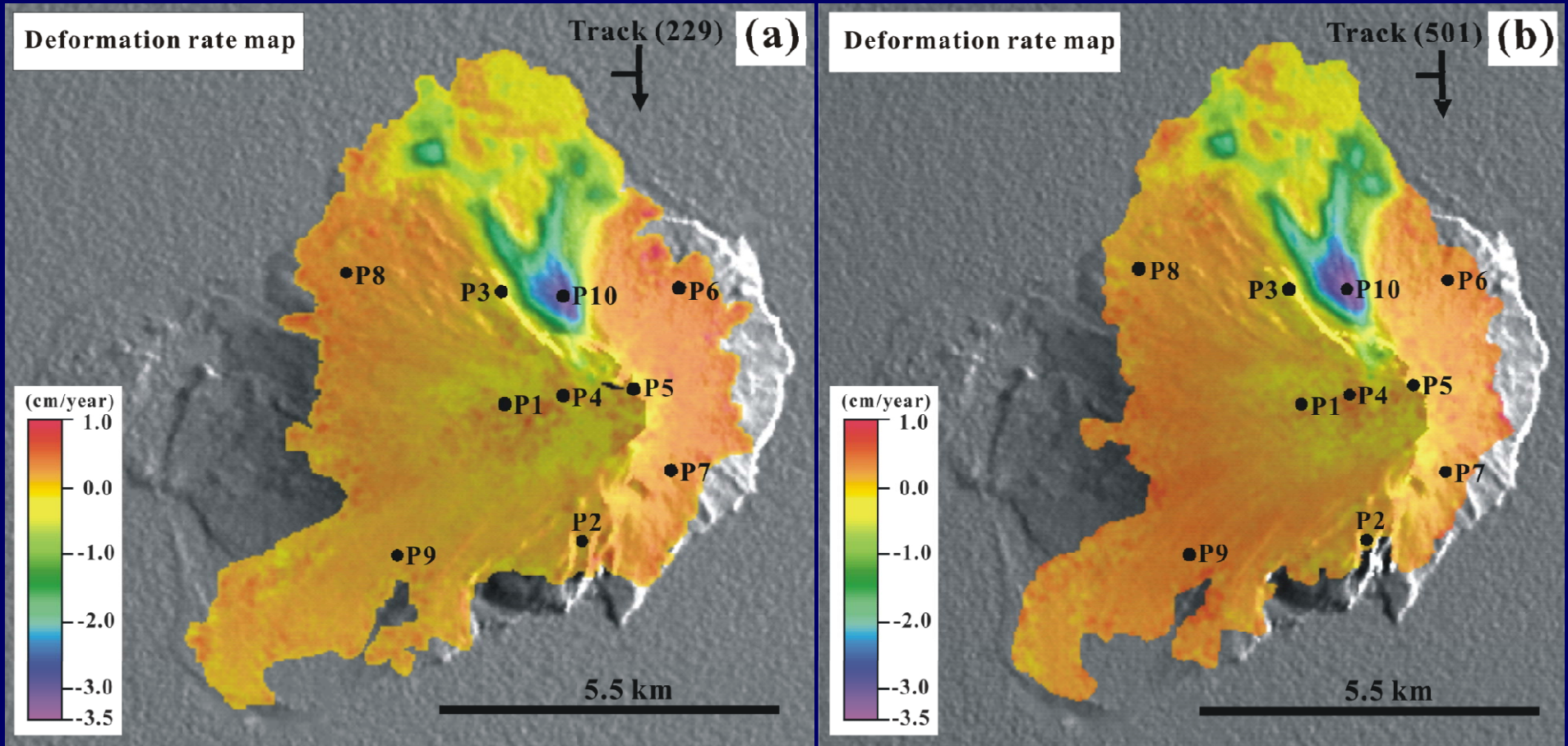


2004/08/11\_2005/07/27(229)

1992/06/21\_1993/06/06(229)

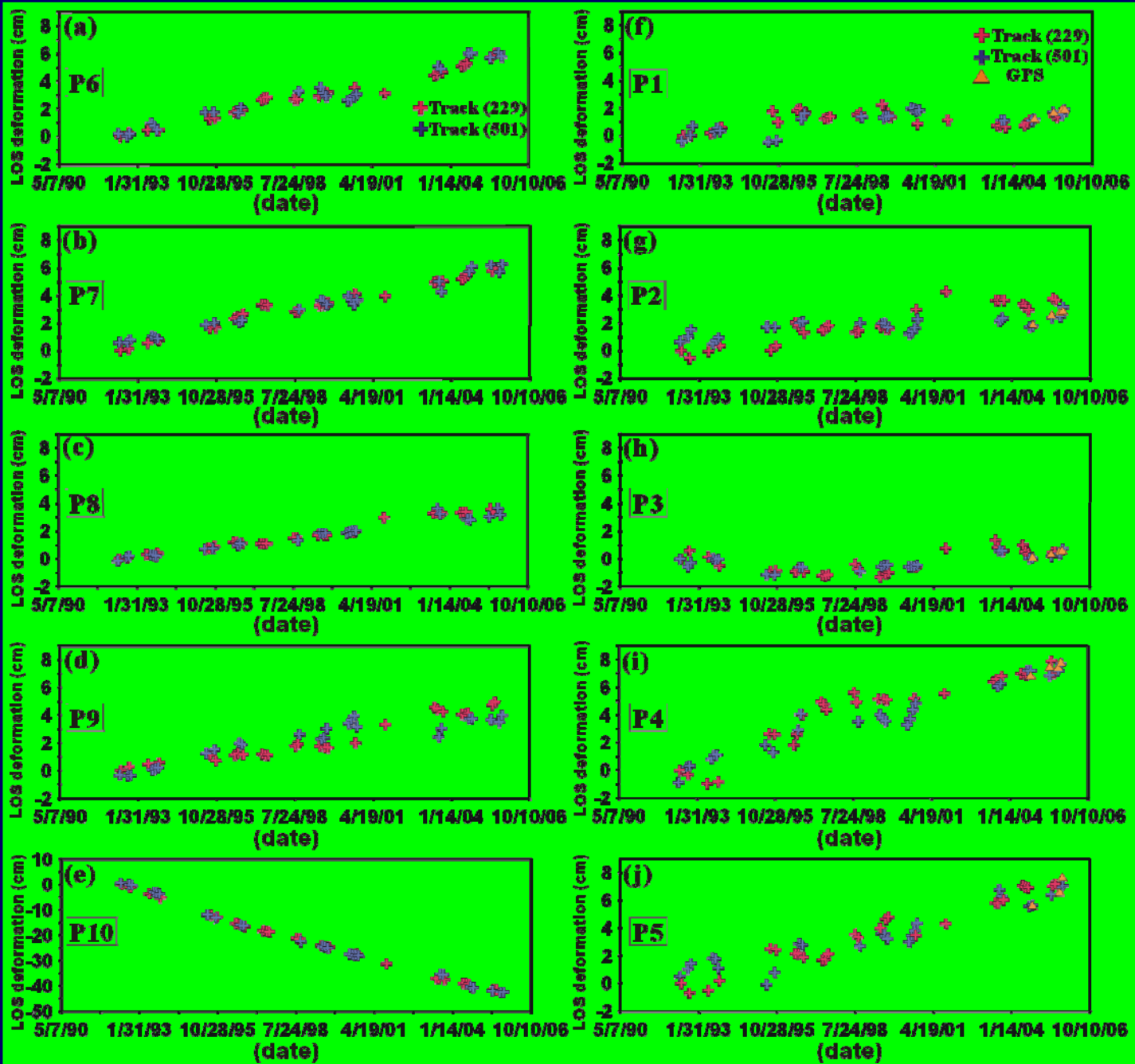
1992/06/05\_1993/07/30(501)

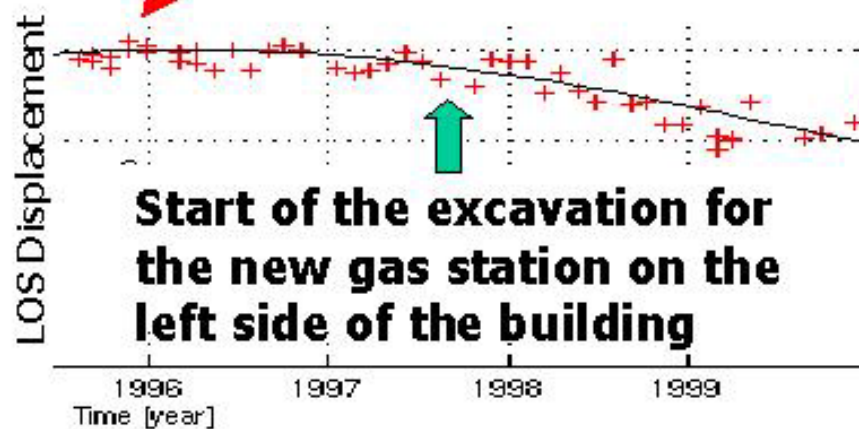
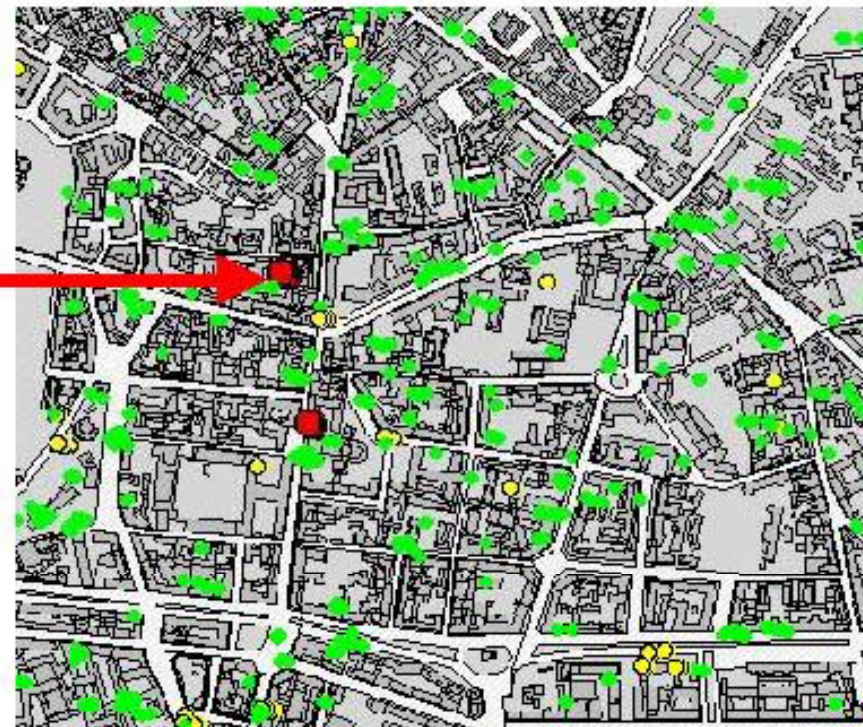
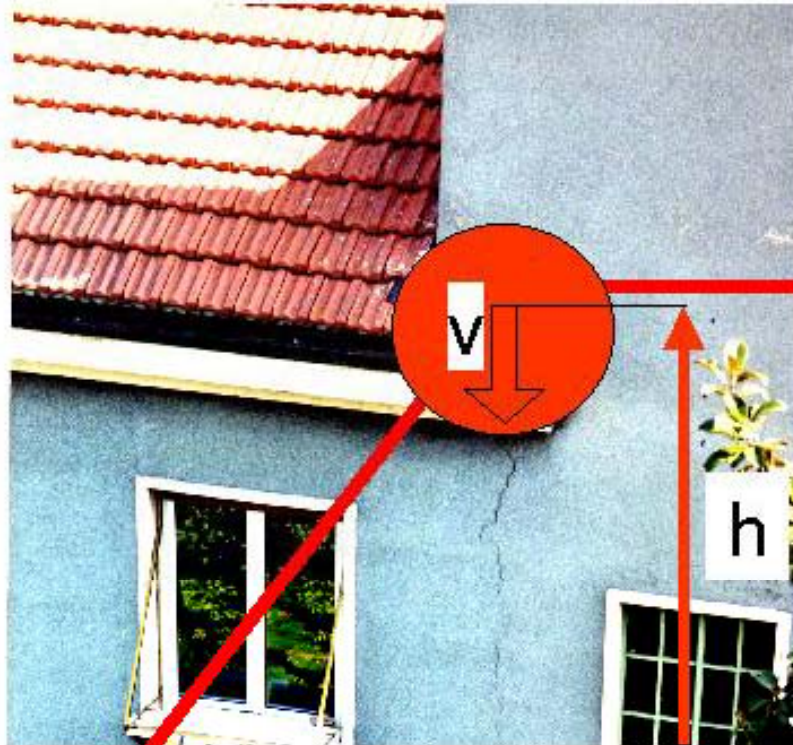
# Deformation of Augustine volcano via multi-interferogram approach





# Time-series deformation histories



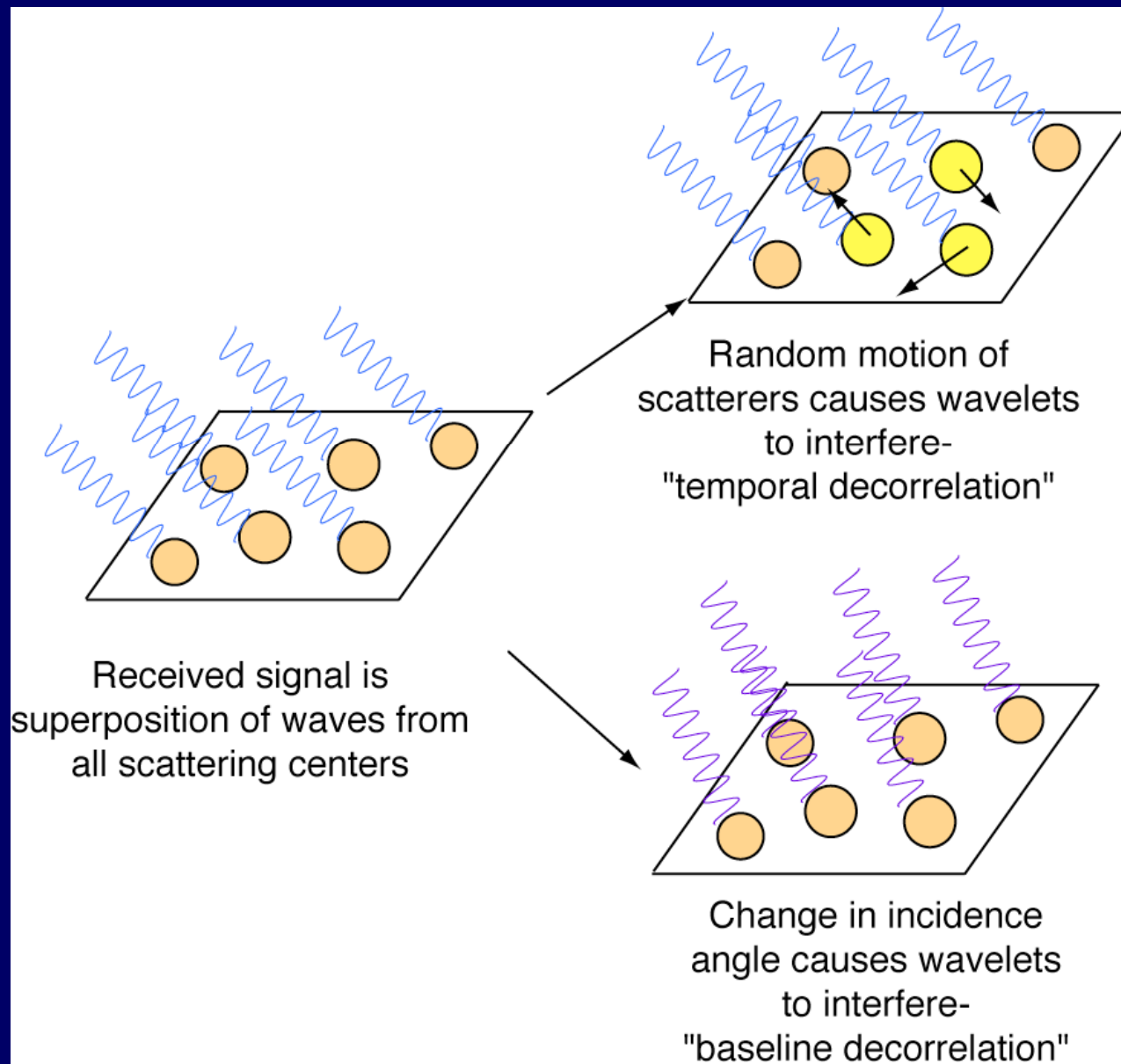


2 cm

**Time-series InSAR detects  
subsidence due to  
underground construction**

Courtesy of TRE

# InSAR Decorrelation Sources



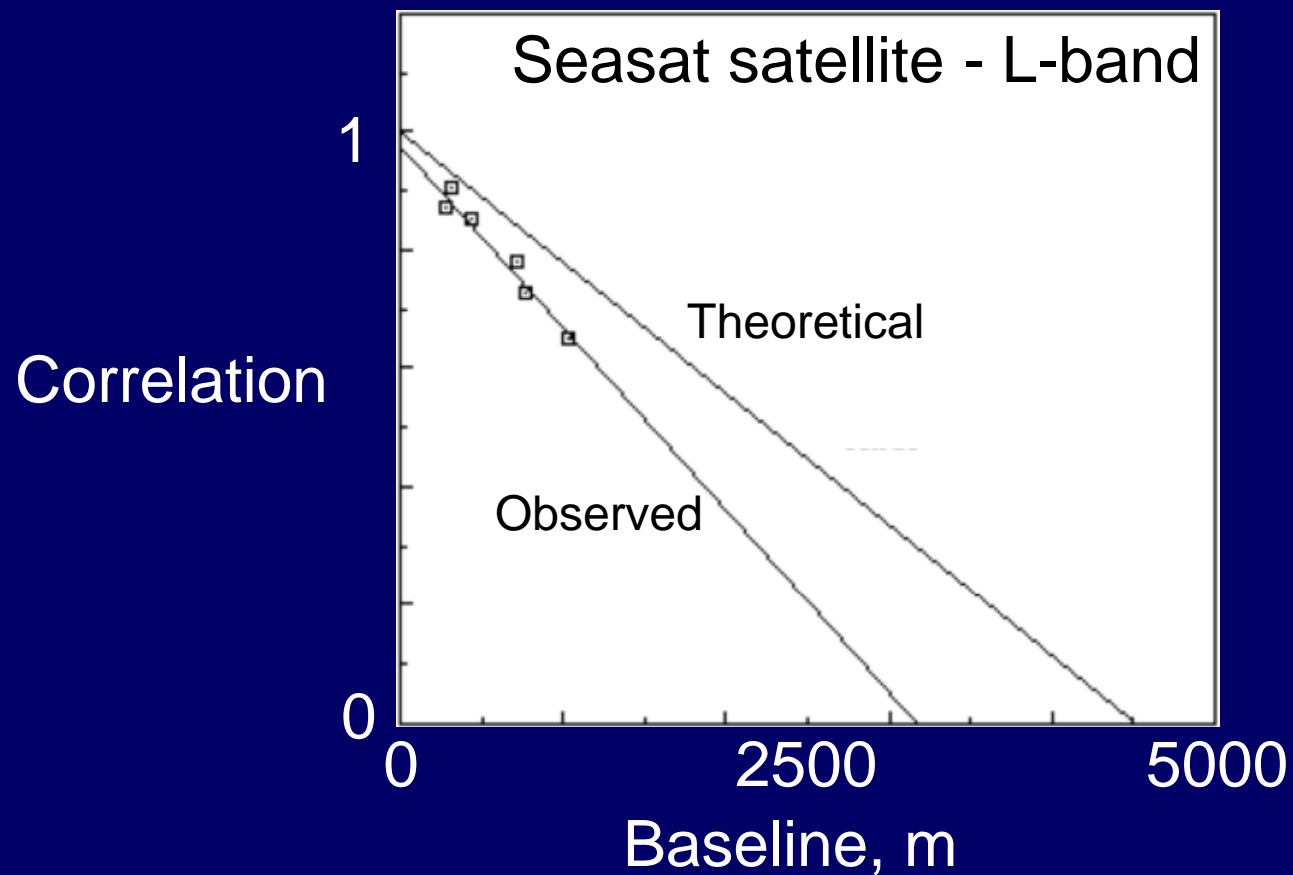
# Loss of InSAR Coherence -Decorrelation

$$\text{Cohrence} = \frac{\sum s_1 s_2^*}{\sqrt{\sum s_1 s_1^* \sum s_2 s_2^*}}$$

- Baseline decorrelation
- Temporal decorrelation
- Volume decorrelation
- Rotational decorrelation
- Unspecified noises in system

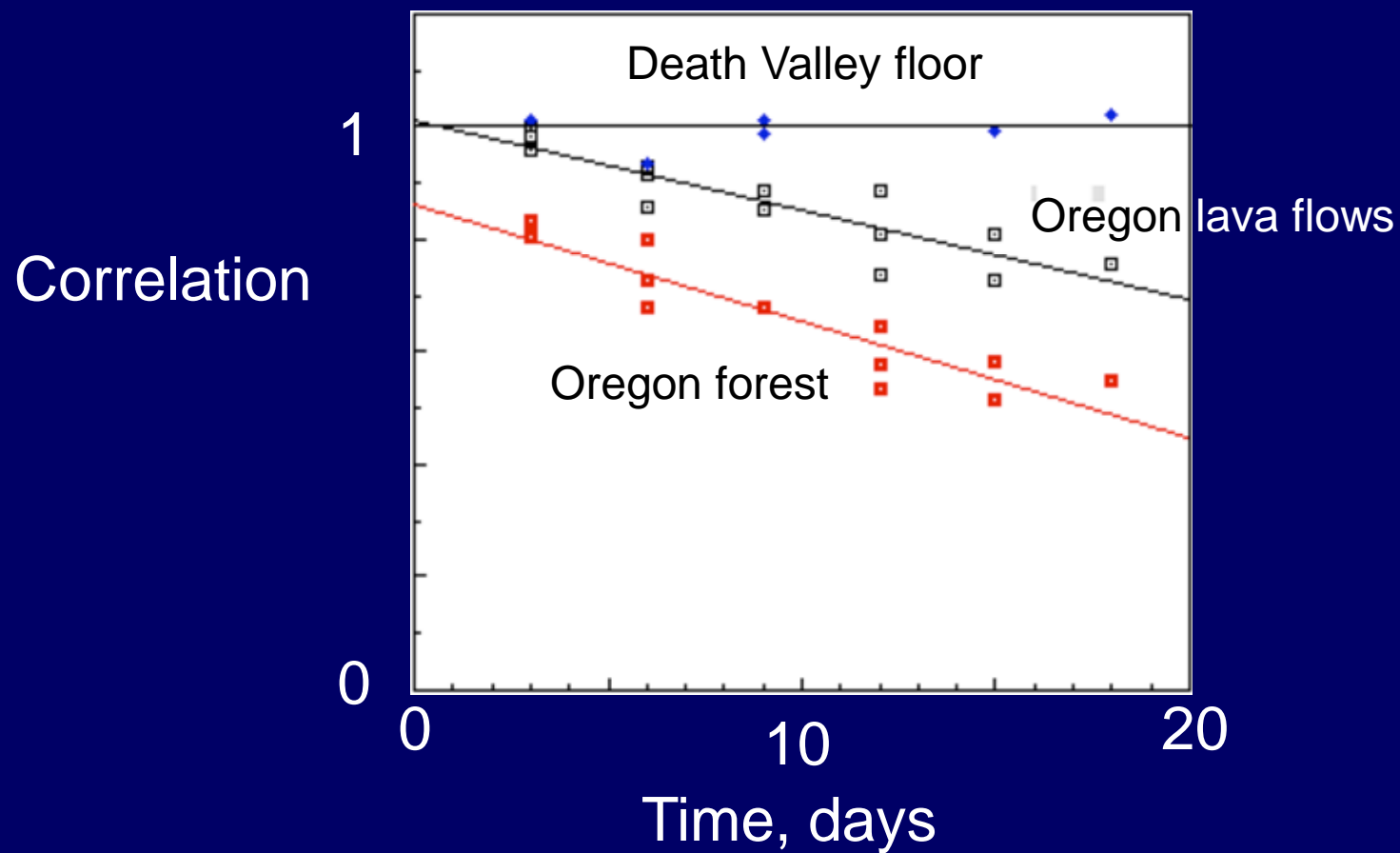
**Coherence image is a by-product of InSAR processing, useful for landscape characterization**

# Baseline Decorrelation



Courtesy of  
H. Zebker

# Temporal Decorrelation: L-band InSAR

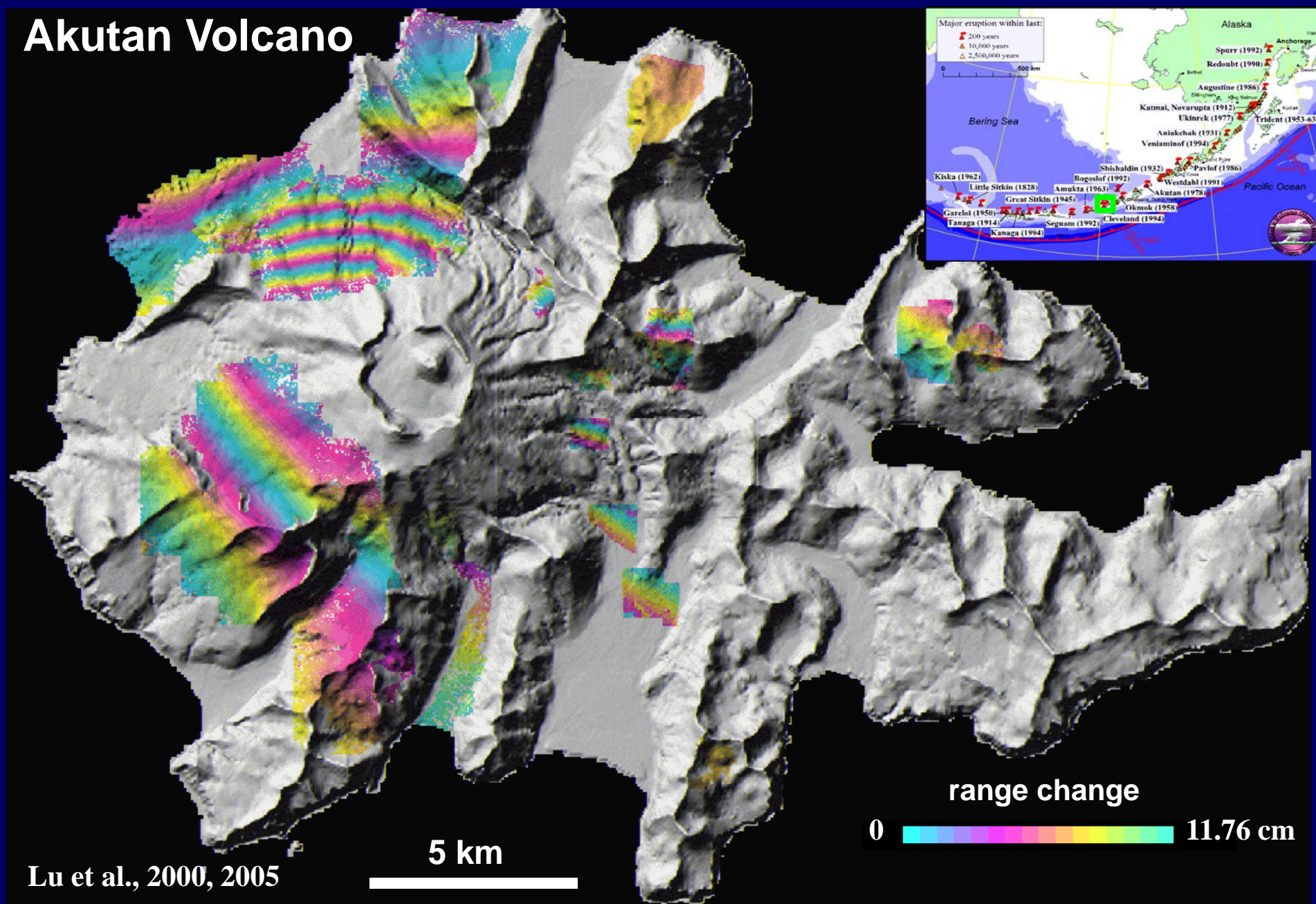


Courtesy of  
H. Zebker

# Radar Wavelength vs. Coherence

Deformation mapped by ERS (C-band,  $\lambda = 5.66$  cm) InSAR

# Akutan Volcano



Lu et al., 2000, 2005

5 km

range change

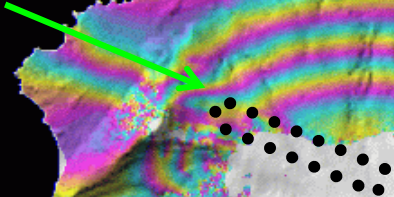




# Deformation mapped by JERS (L-band, $\lambda = 23.53$ cm) InSAR

## Akutan Volcano

1996 Cracks



Akutan



range change



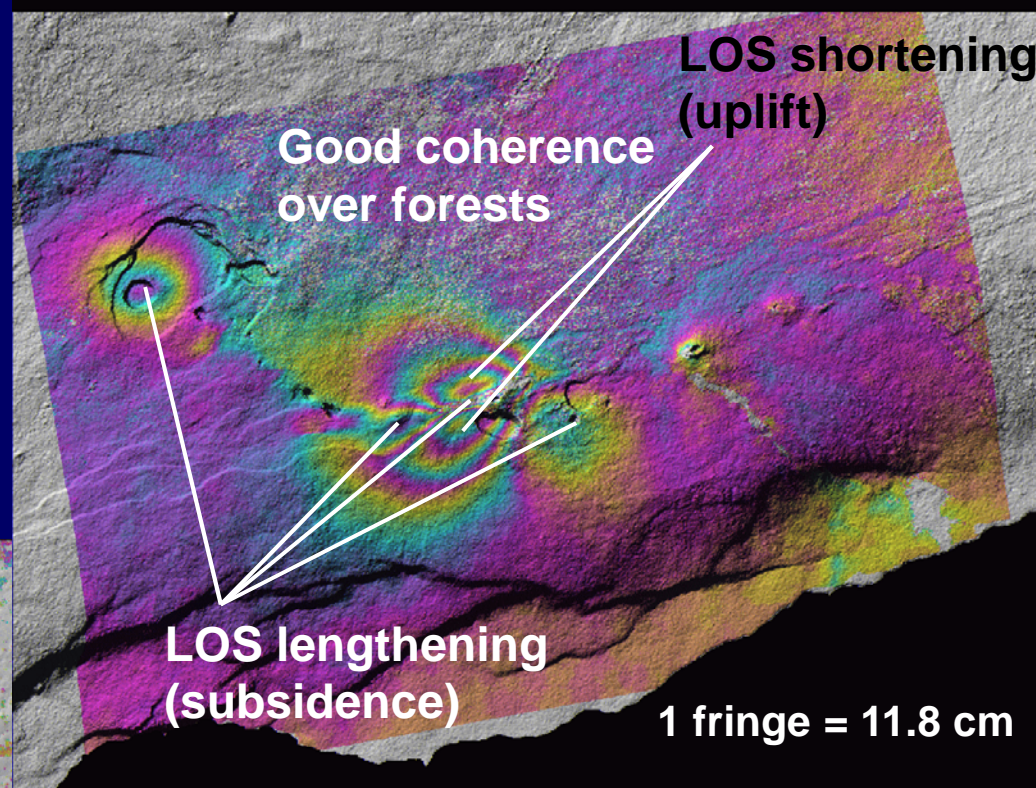
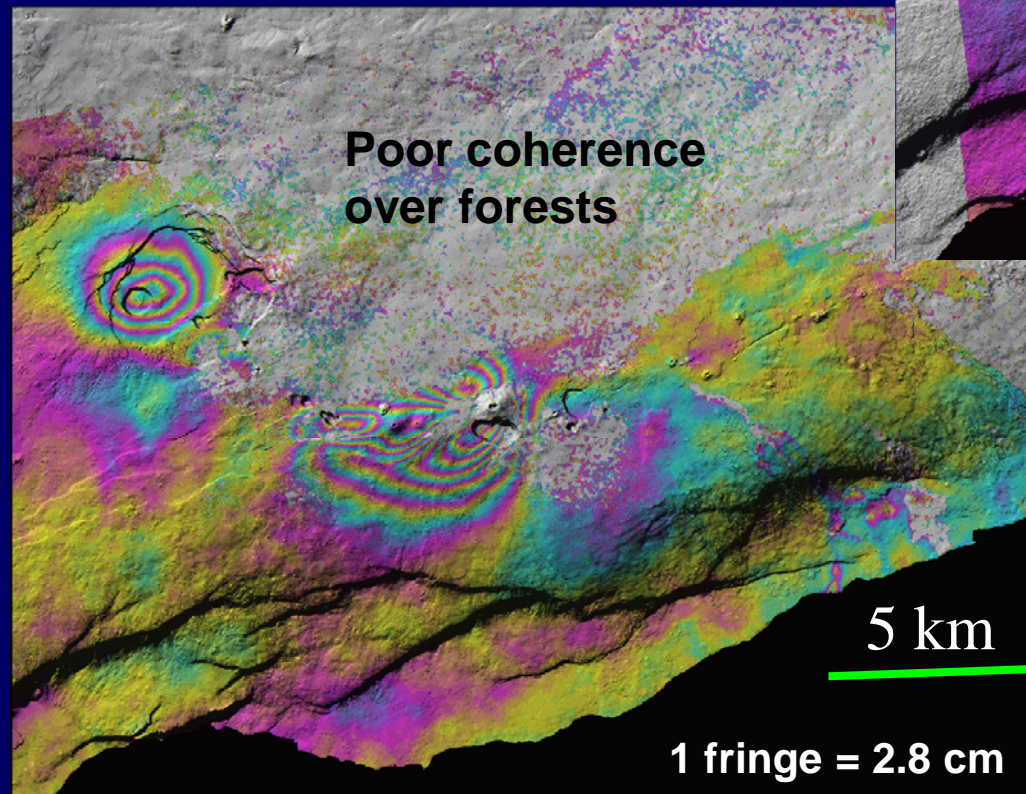
Lu et al., 2000, 2005

5 km



# Superior coherence of ALOS over vegetated terrain

C-band Envisat  
35-day: 5/14-6/18, 2007  
Bp: 36 m



L-band ALOS  
46-day: 5/5-6/20, 2007  
Bp: 320 m

# DEM from InSAR

- **Issues affecting DEM accuracy**

- Baseline uncertainty
- Atmospheric anomalies
- Phase decorrelation
- Surface deformation due to tectonic loading sources

- **Strategies for accurate InSAR-derived DEM**

- Choose interferograms with large baseline within the limit of phase correlation
- Use precision orbit data (DLR, Delft), and refine baseline with GCPs
- Estimate the deformation signal and remove it from the interferograms

used for DEM generation

- Average multiple interferograms

$$h = \frac{\sum_{i=1}^4 h_i \cdot c_i \cdot B_i^2}{\sum_{i=1}^4 c_i \cdot B_i^2}, \text{ h - height, c - coherence, B - baseline}$$

$$\phi = \frac{4\pi}{\lambda} \cdot \frac{B_{\perp} \cdot h}{r \sin \theta} + \phi_{atm} + \phi_{base} + \phi_{def} + \Delta n$$

- Issues affecting DEM accuracy

- Baseline uncertainty

- Atmospheric anomalies

- Phase decorrelation

- Surface deformation due to tectonic loading sources

$$\Delta\phi_{init} = \frac{4\pi}{\lambda} \frac{B_{\perp}}{H \tan \theta_1} h + \phi_{def} \approx -\frac{2\pi}{9600} B_{\perp} h + \phi_{def}$$

- Strategies for accurate InSAR-derived DEM

- Choose interferograms with large baseline within the limit of phase correlation

- Choose interferograms with small temporal baseline

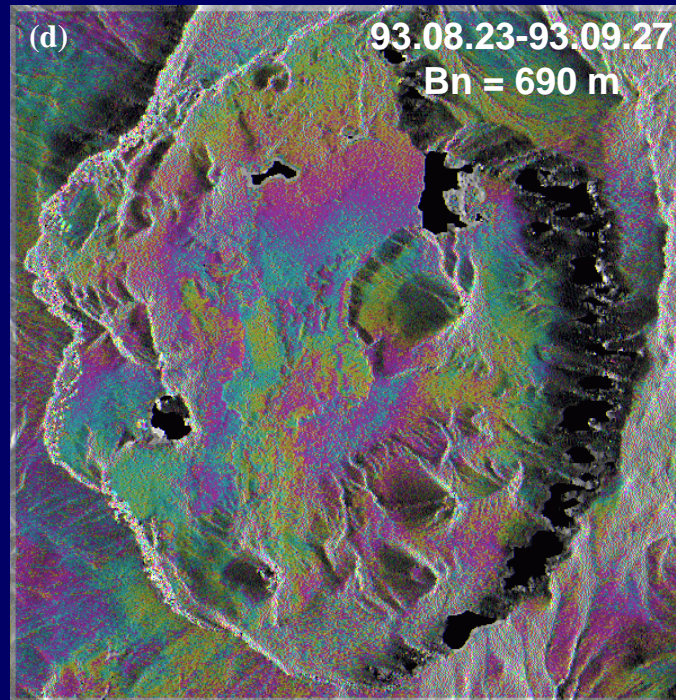
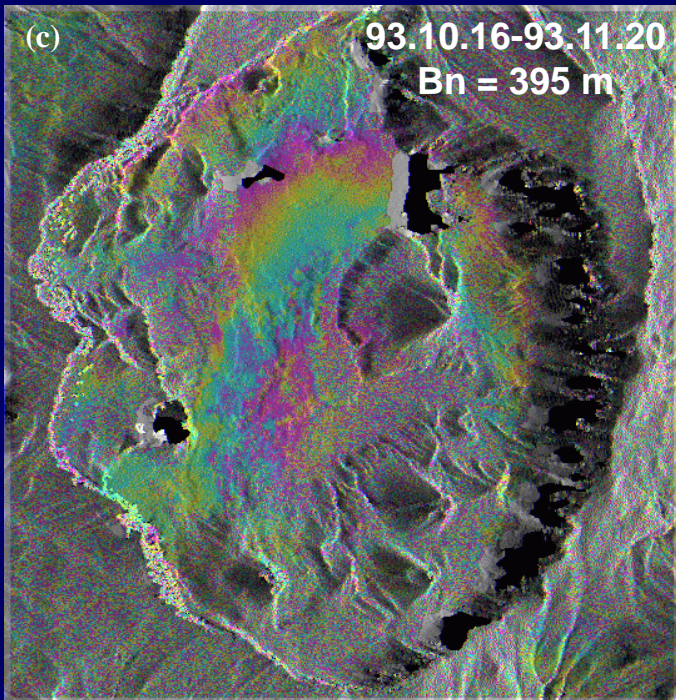
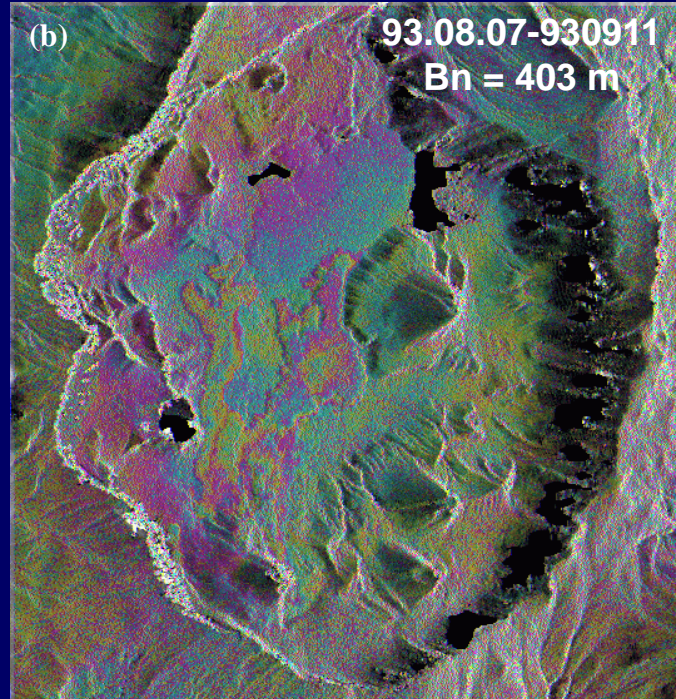
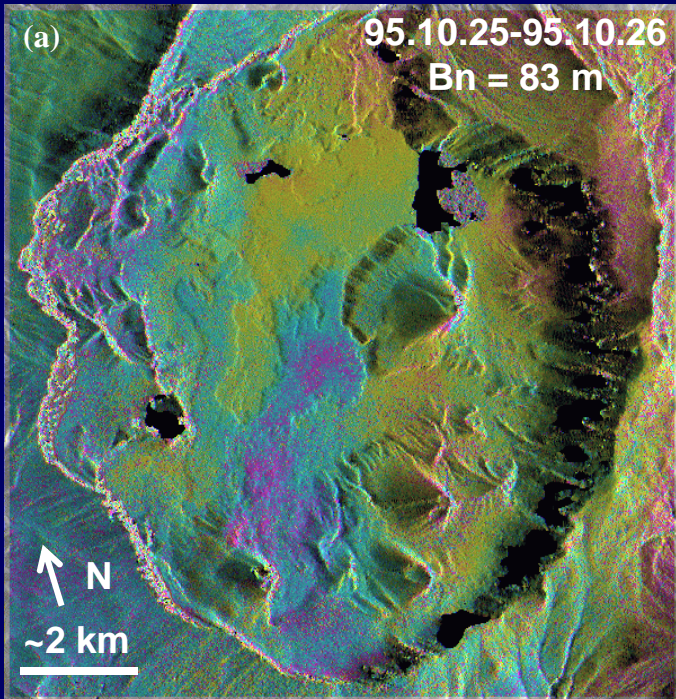
- Use precision orbit data and refine baseline with GCPs or an existing DEM

- Estimate the deformation signal and remove it from the interferograms used for DEM generation

- Fuse multiple interferograms

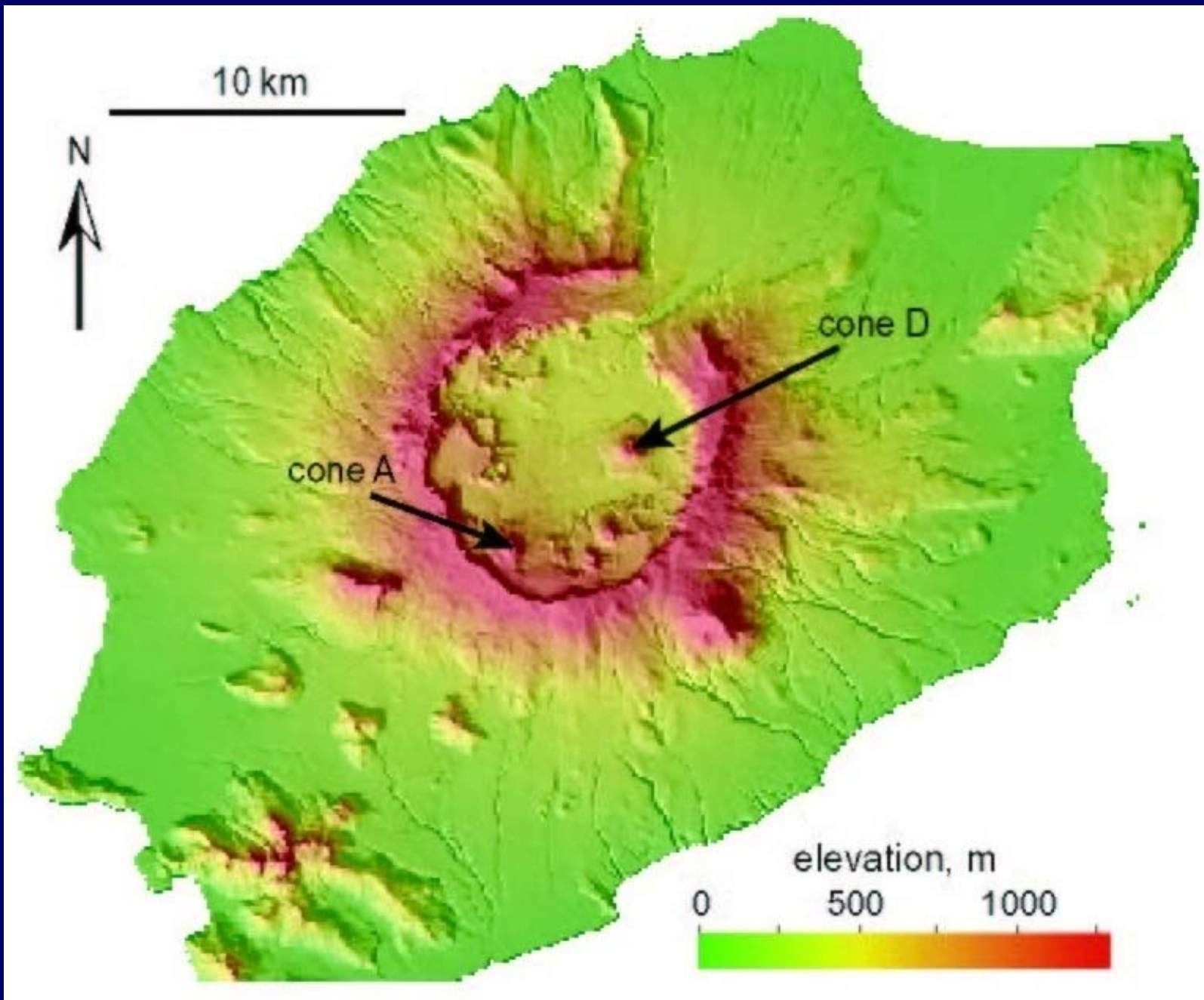
$$h = \frac{\sum_{i=1}^4 h_i \cdot c_i \cdot B_i^2}{\sum_{i=1}^4 c_i \cdot B_i^2}$$

# Interferograms used for DEM generation

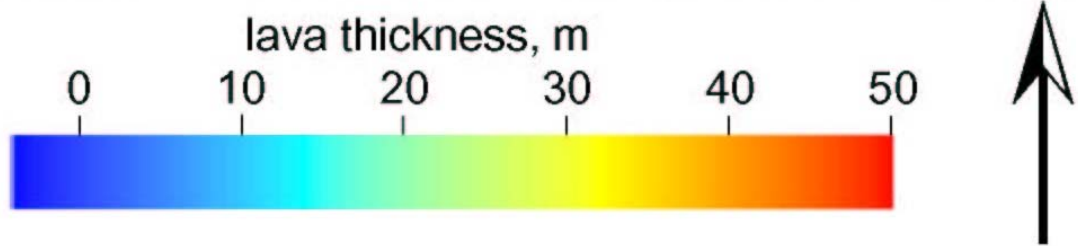
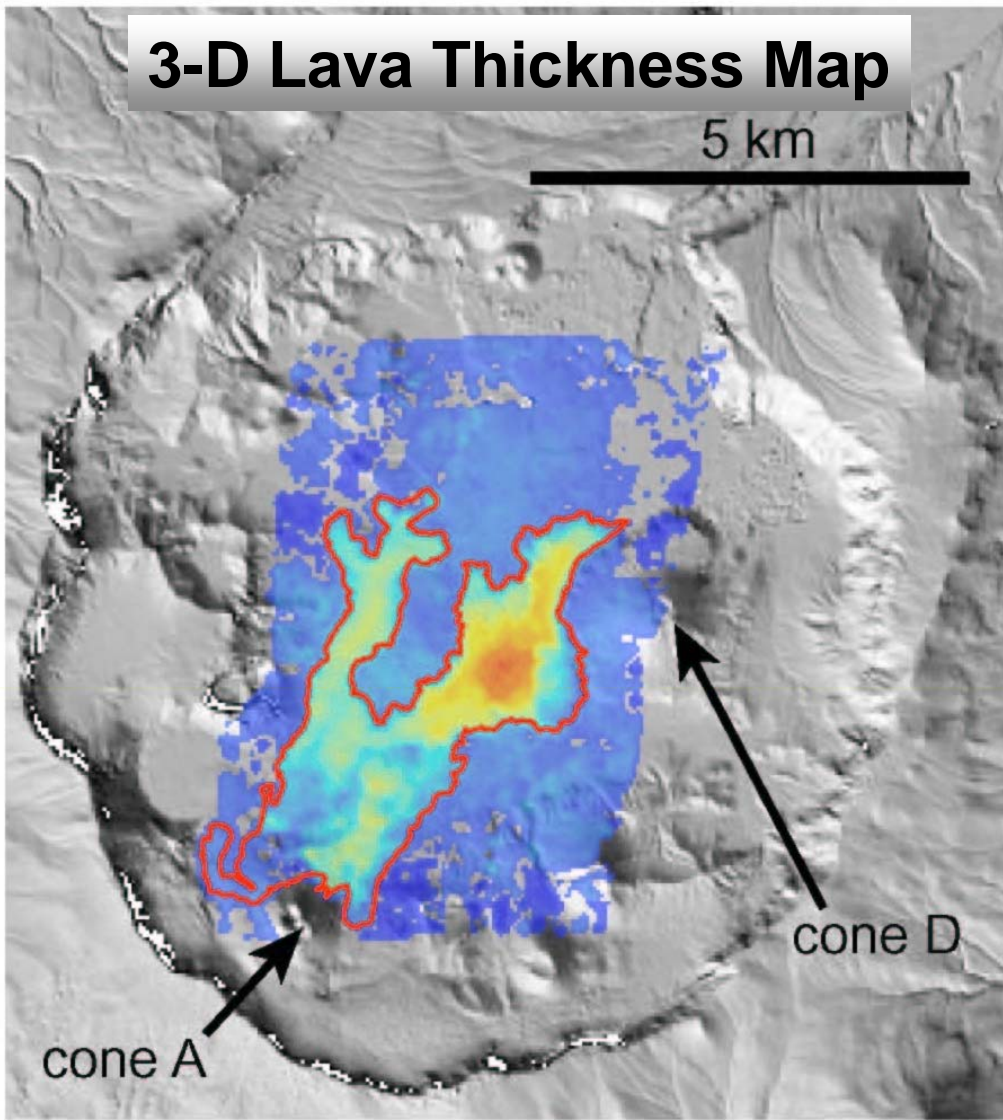


# Pre-eruption DEM of Okmok volcano, Alaska

79

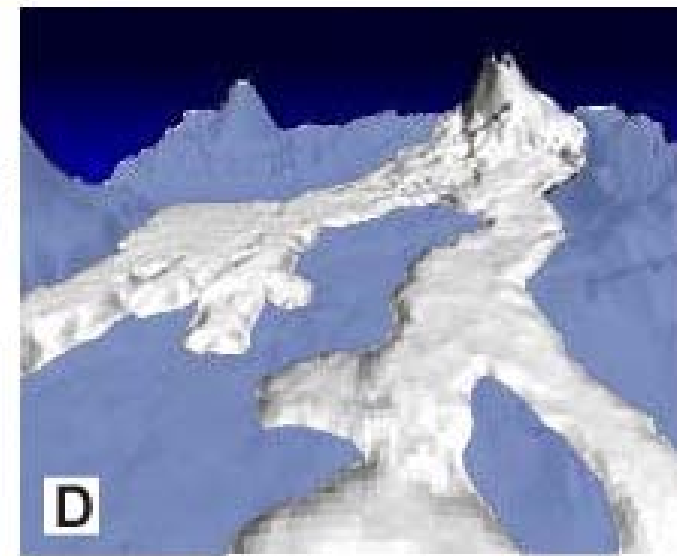
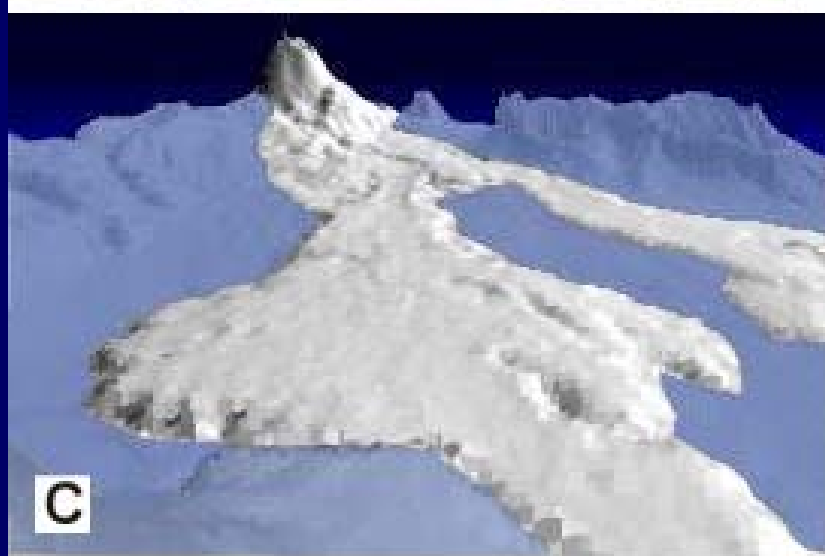
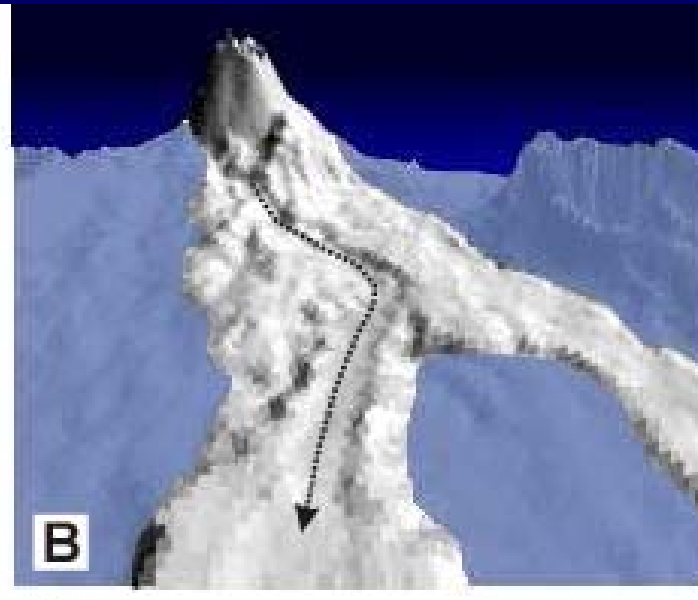
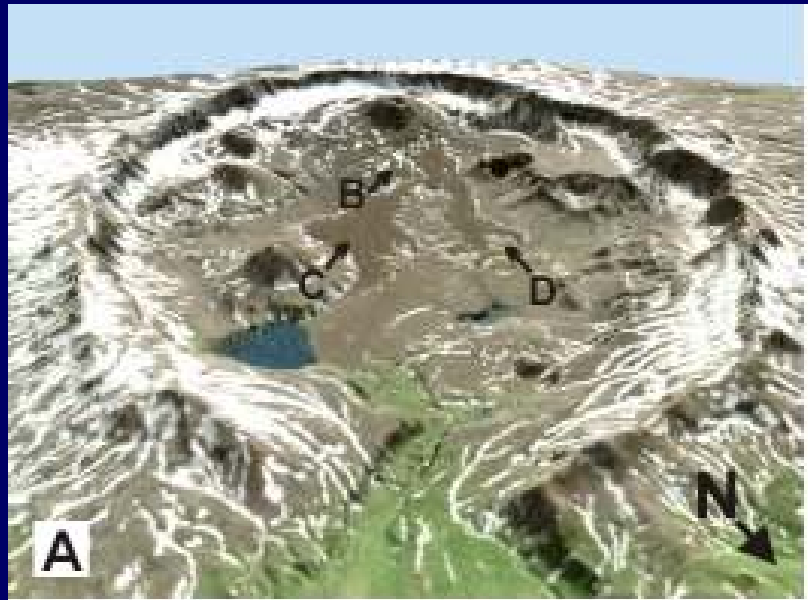


### 3-D Lava Thickness Map



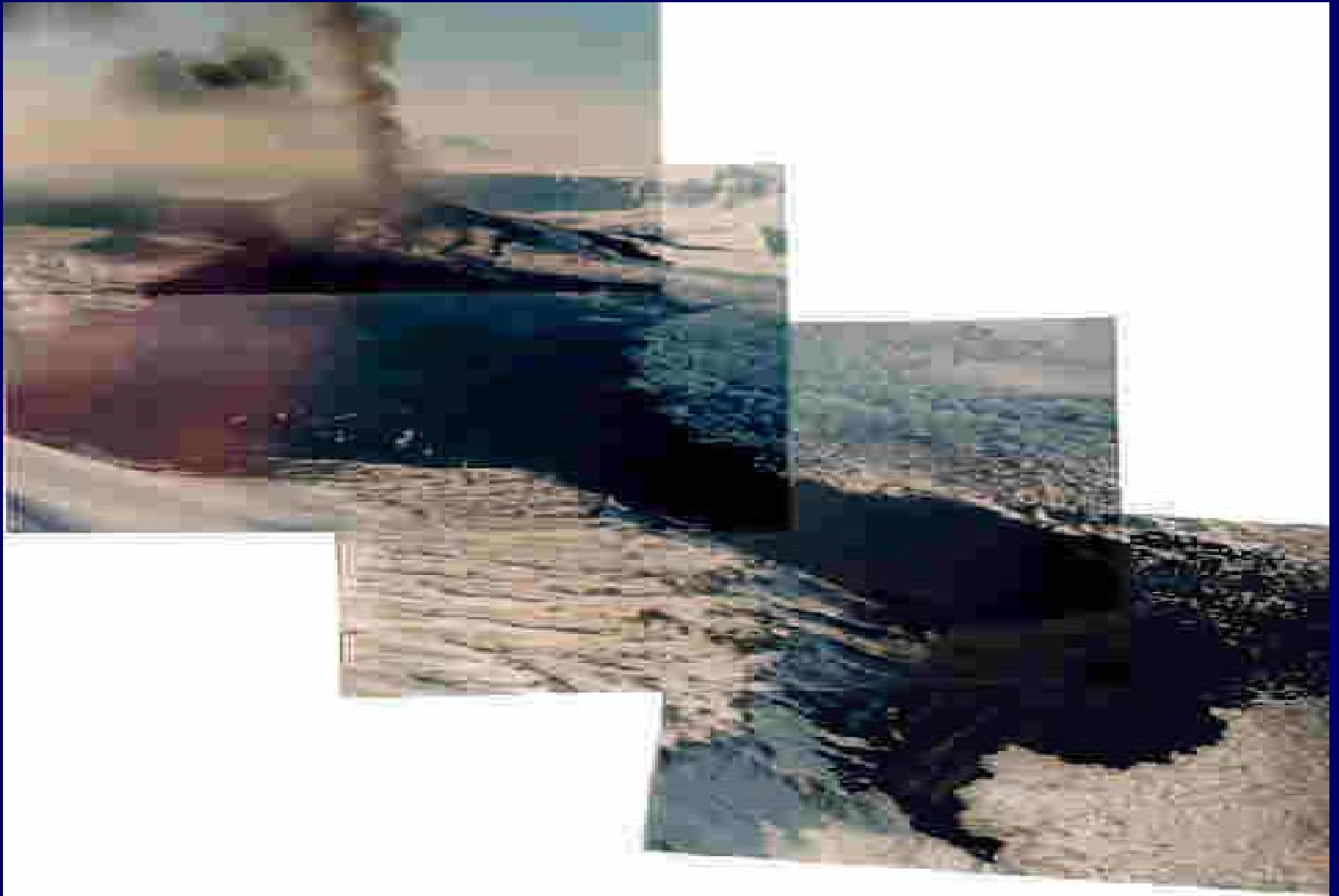


# Thickness of lava flows from the 1997 eruption by differencing pre- and post-eruption DEMs



# Photo of 1997 Eruption

82



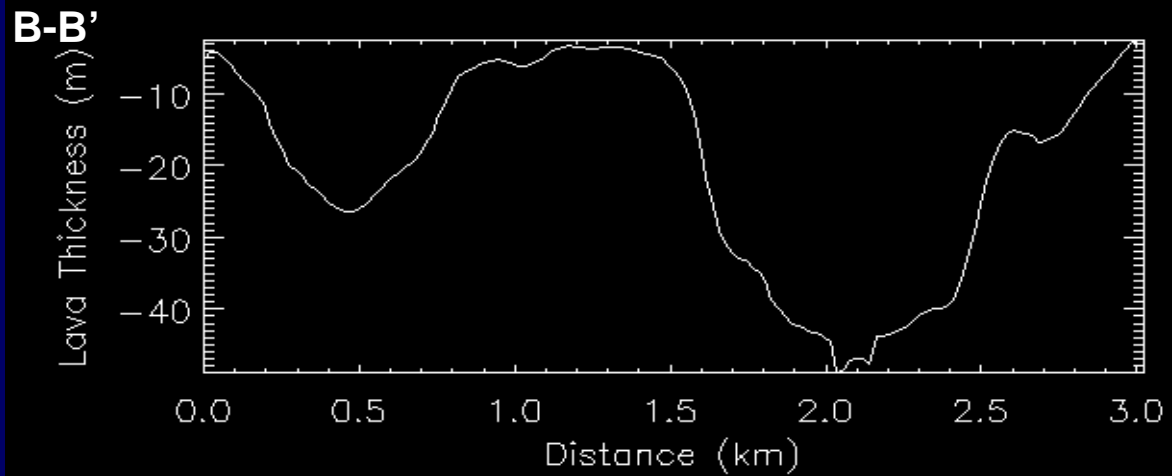
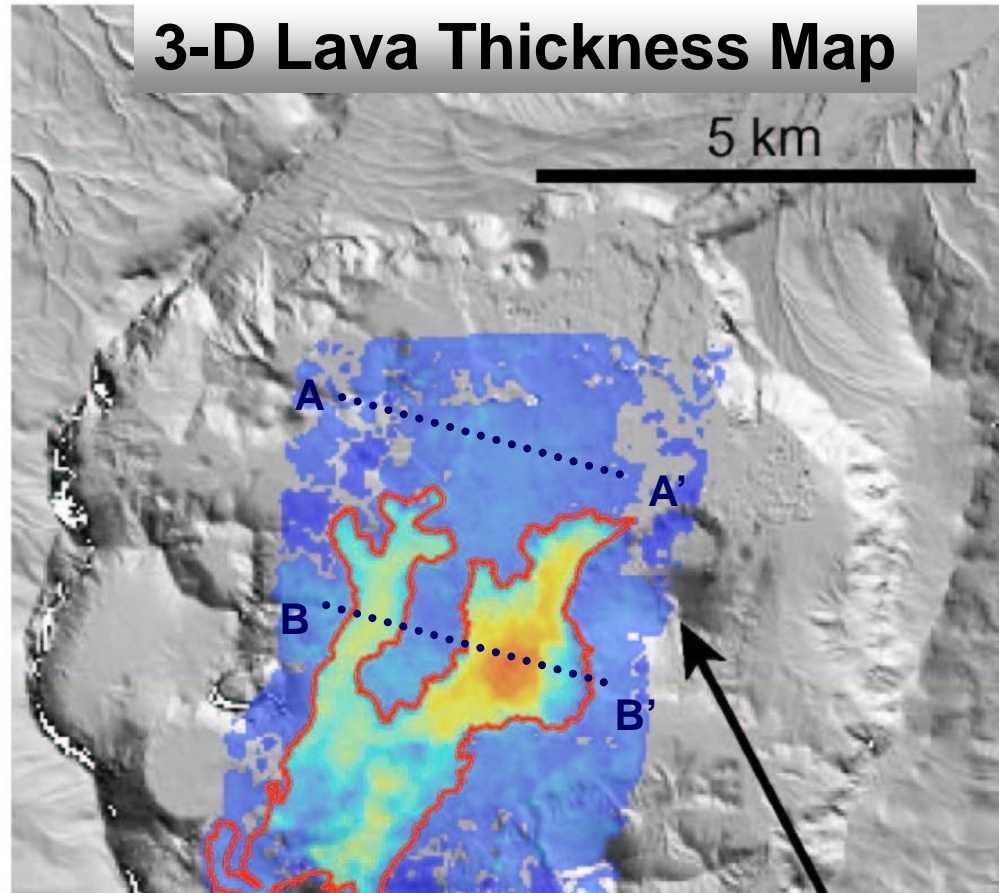
Courtesy of AVO

# Photo of New Lava

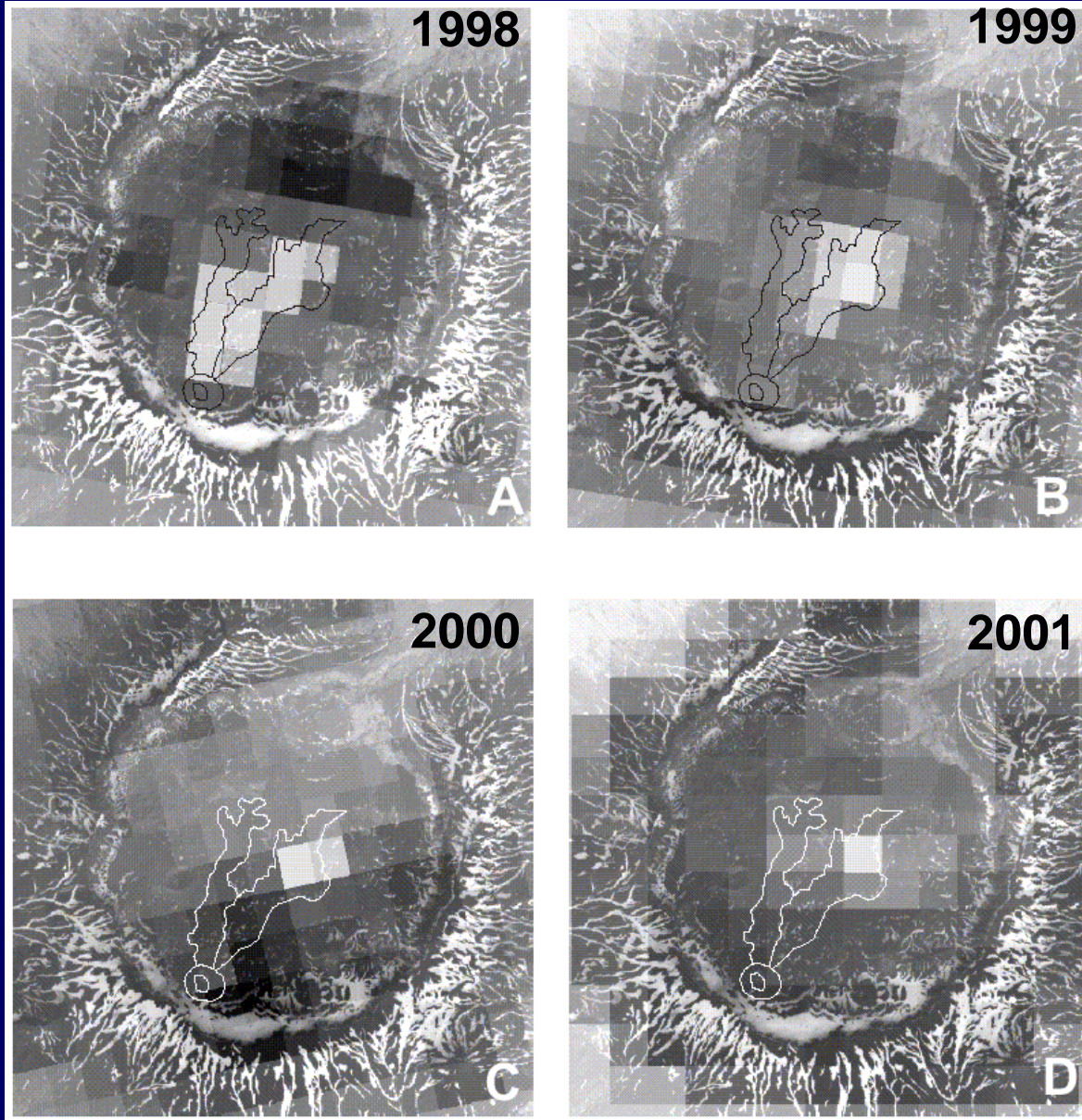
83



### 3-D Lava Thickness Map



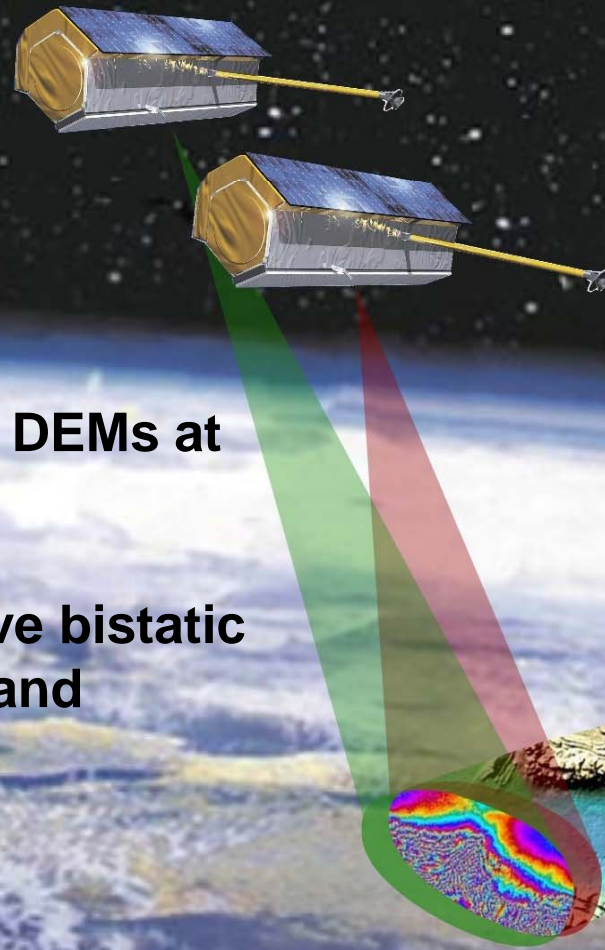
# AVHRR Thermal Observations Confirmed Lava Thickness



Multi-temporal AVHRR thermal images draped over Landsat-7 Band-8 image

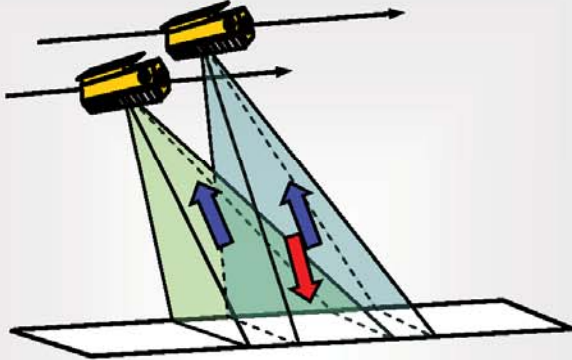
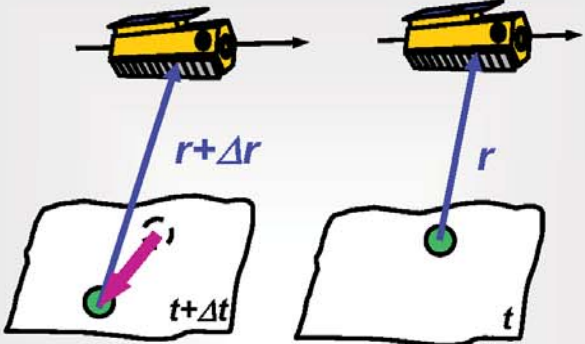
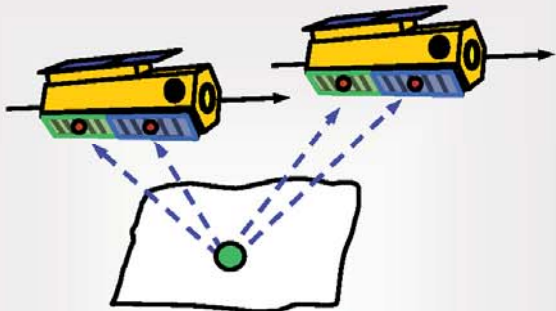
# *TanDEM-X* *Mission Goals*

- Acquisition of time-variant DEMs at higher accuracy
- Demonstration of innovative bistatic radar imaging techniques and applications



*TerraSAR add-on* for **Digital Elevation Measurements**

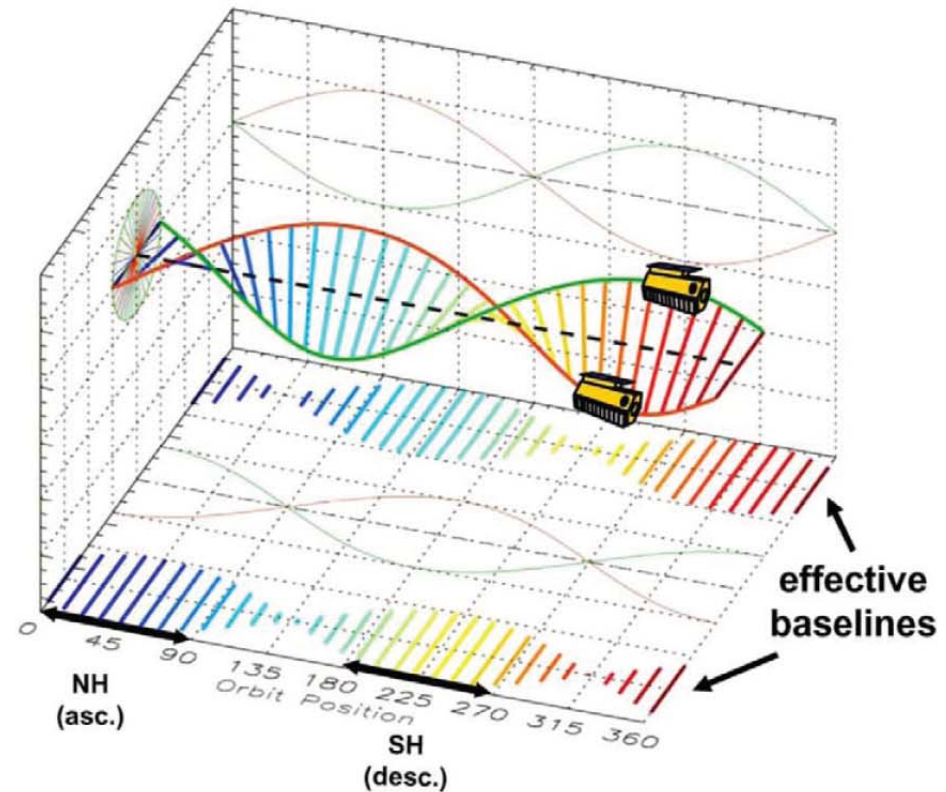
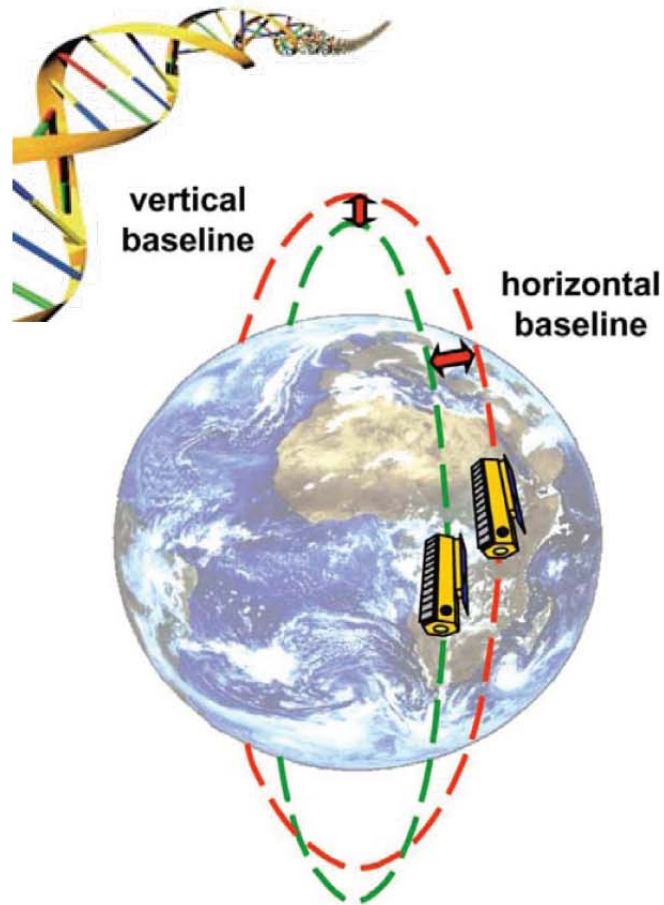
# Capabilities of TanDEM-X

Cross-Track Interferometry	Along-Track Interferometry	New Techniques
 <ul style="list-style-type: none"> <li>→ Digital Elevation Models</li> <li>→ Spatial Coherence (forest, ...)</li> <li>→ Double DInSAR (change maps, ...)</li> <li>→ High Resolution SAR Images</li> </ul>	 <ul style="list-style-type: none"> <li>→ Large Scale Velocity Fields (ocean currents, ice drift, ...)</li> <li>→ Moving Object Detection</li> <li>→ Temporal Coherence Maps</li> </ul>	 <ul style="list-style-type: none"> <li>→ 4 Phase Center MTI (traffic, ...)</li> <li>→ PolInSAR (vegetation height, ...)</li> <li>→ Digital Beamforming (HRWS, ...)</li> <li>→ Bistatic Imaging (classification, ...)</li> </ul>

**TanDEM-X is a highly flexible sensor which enables multiple powerful imaging modes**

<ul style="list-style-type: none"> <li>▪ cross-track baselines (0 km to several km)</li> </ul>	<ul style="list-style-type: none"> <li>▪ interferometric modes (bistatic, alternating, monostatic)</li> </ul>	<ul style="list-style-type: none"> <li>▪ bandwidth / resolution (0 ... 150/300 MHz)</li> </ul>	<ul style="list-style-type: none"> <li>▪ polarisations (single, dual, quad)</li> </ul>
<ul style="list-style-type: none"> <li>▪ along-track baselines (0 km to several 100 km)</li> </ul>	<ul style="list-style-type: none"> <li>▪ SAR modes (ScanSAR, Stripmap, ...)</li> </ul>	<ul style="list-style-type: none"> <li>▪ incident angles (20° ... 55°)</li> </ul>	<ul style="list-style-type: none"> <li>▪ ...</li> </ul>

# Safe & Well-Controlled Close Formation Flight

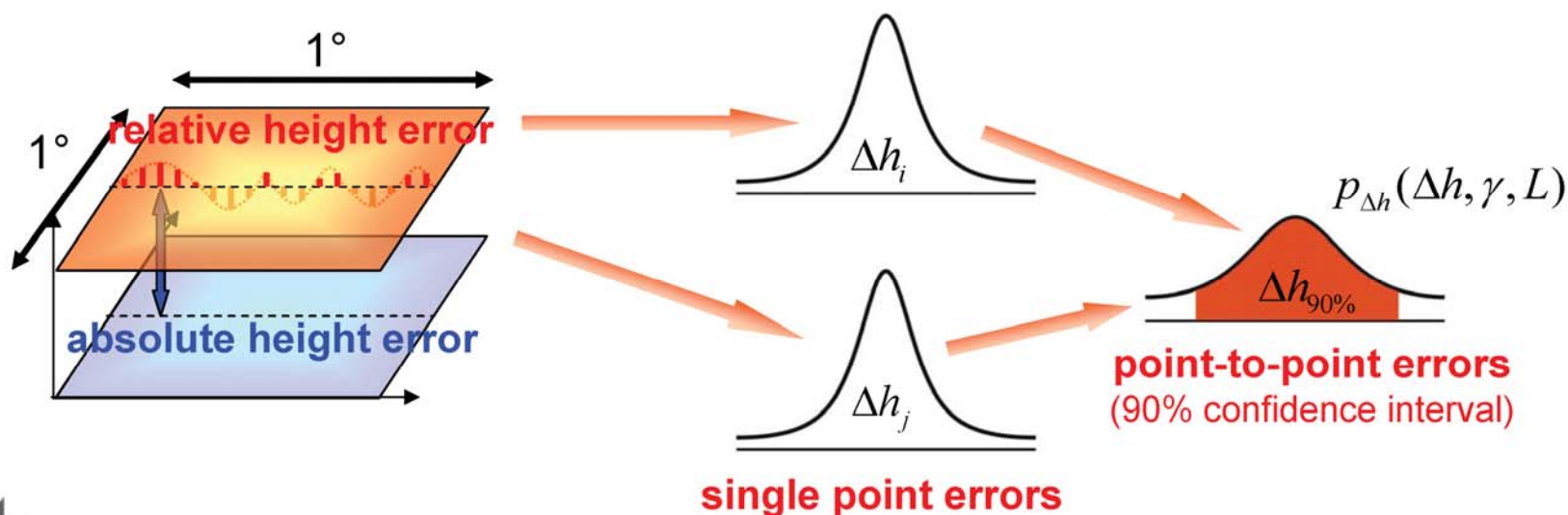


Baseline determination to mm-accuracy



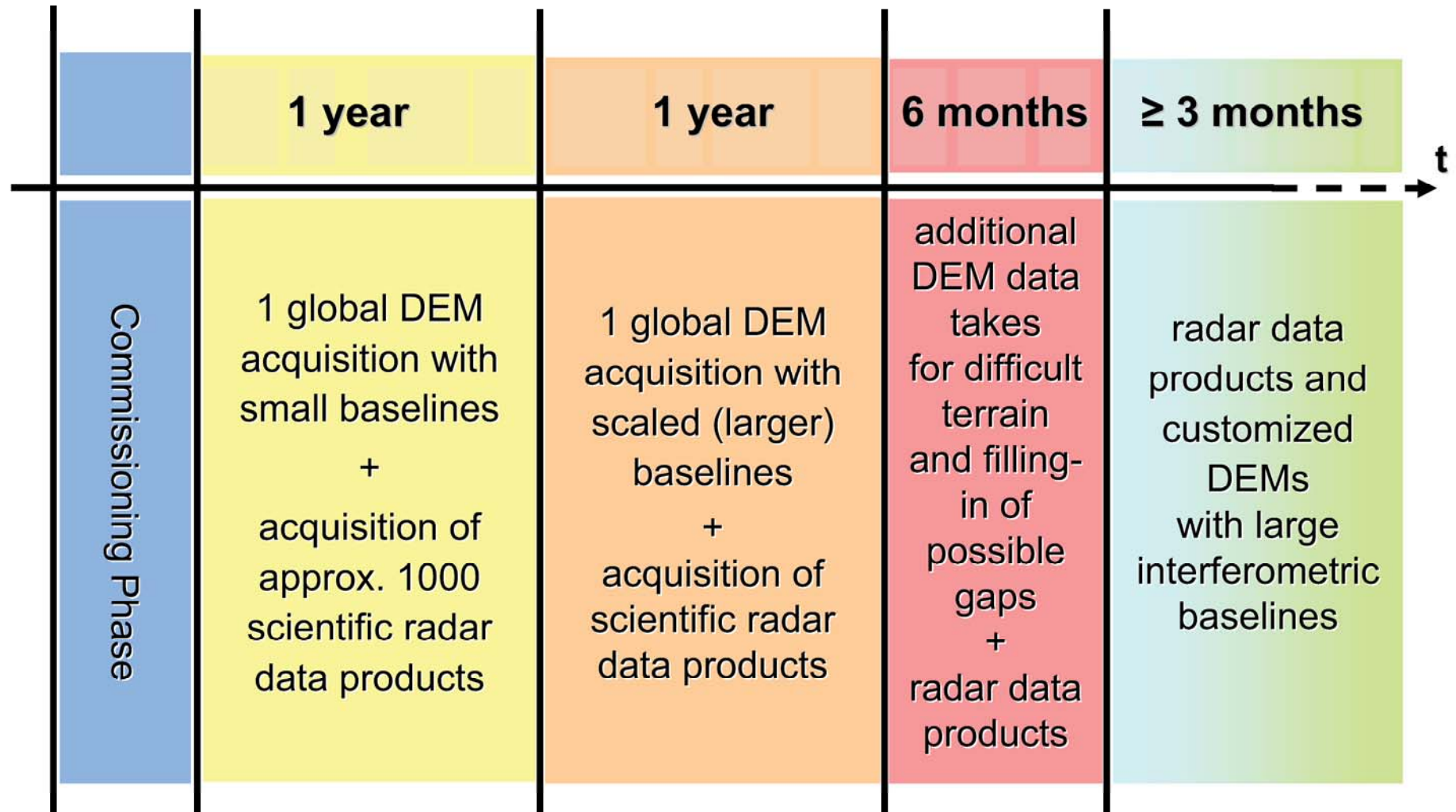
# TanDEM-X: DEM Specifications

	Spatial Resolution	Absolute Vertical Accuracy (90%)	Relative Vertical Accuracy (point-to-point in 1° cell, 90%)
DTED-1	90 m x 90 m	< 30 m	< 20 m
DTED-2	30 m x 30 m	< 18 m	< 12 m
HRTI-3	12 m x 12 m	< 10 m	< 2 m
HRTI-4	6 m x 6 m	< 5 m	< 0.8 m



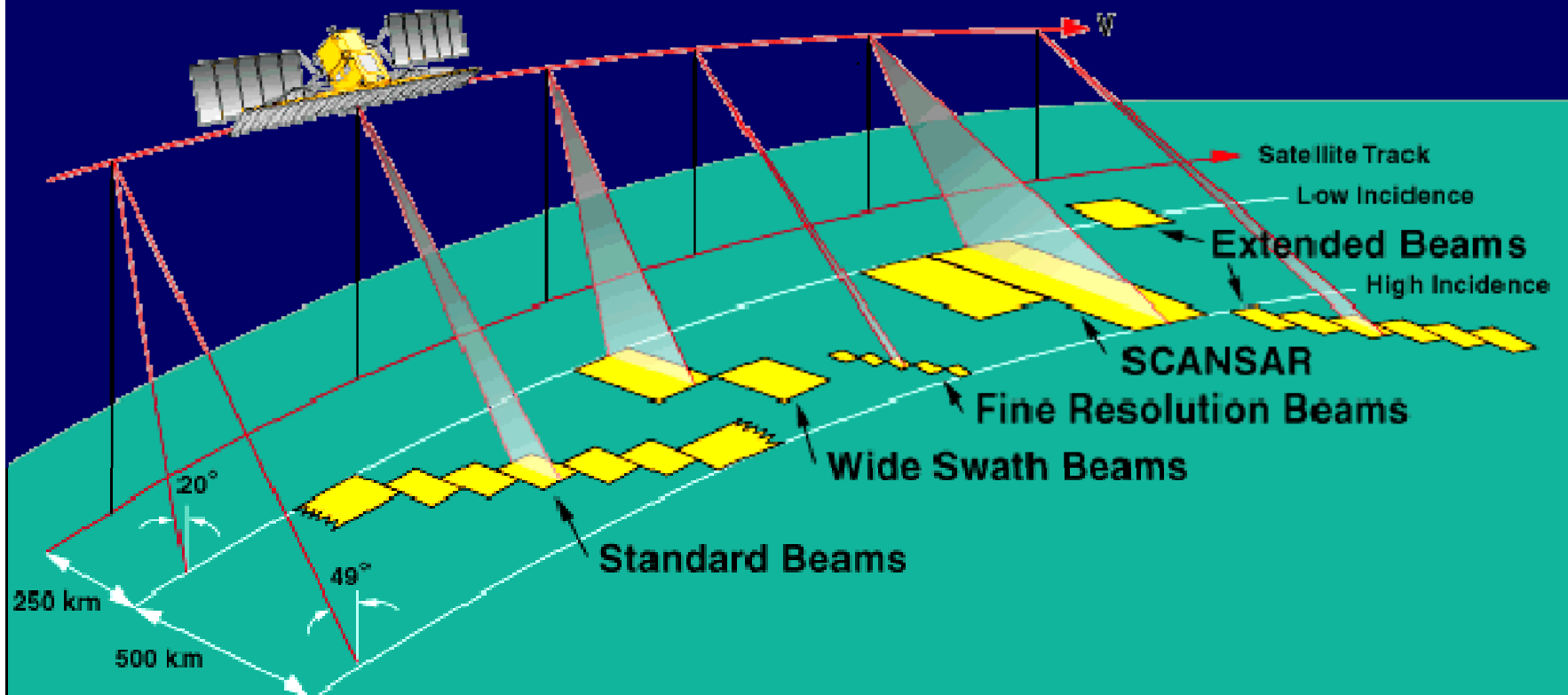


## General Outline of the Data Acquisition Plan



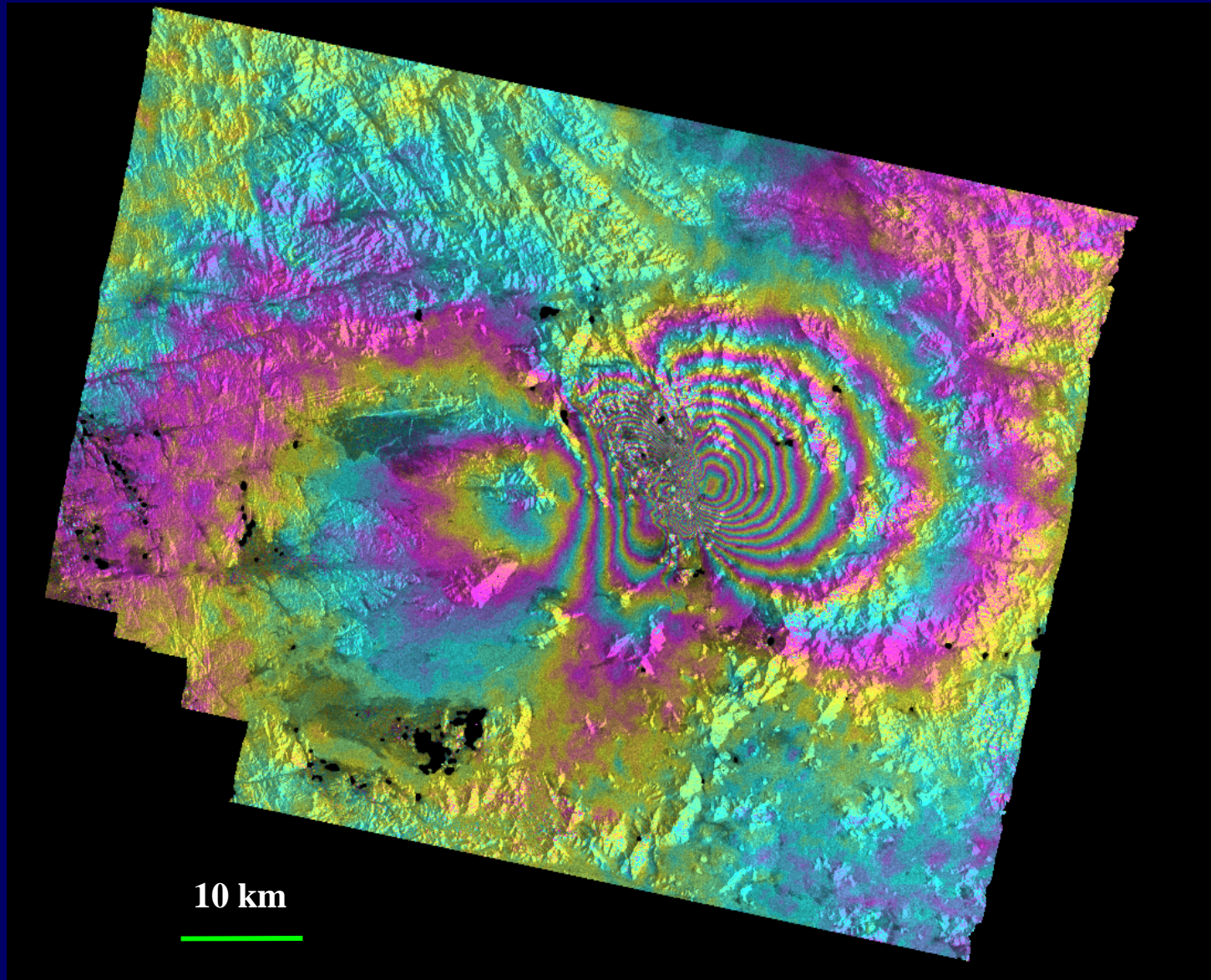
# ScanSAR InSAR Processing

- Scan-mode SAR (ScanSAR) is achieved by periodically increasing the antenna look angle to illuminate neighboring sub-swaths in the cross-track direction, thereby increasing the size of the accessible image swath to 400–500 km (compared to ~100 km for conventional SAR).
- Because ScanSAR can acquire more frequent observations of a given study area than is possible with strip-mode SAR, ScanSAR InSAR can significantly improve the temporal resolution of deformation mapping.



# Strip-mode (conventional) InSAR

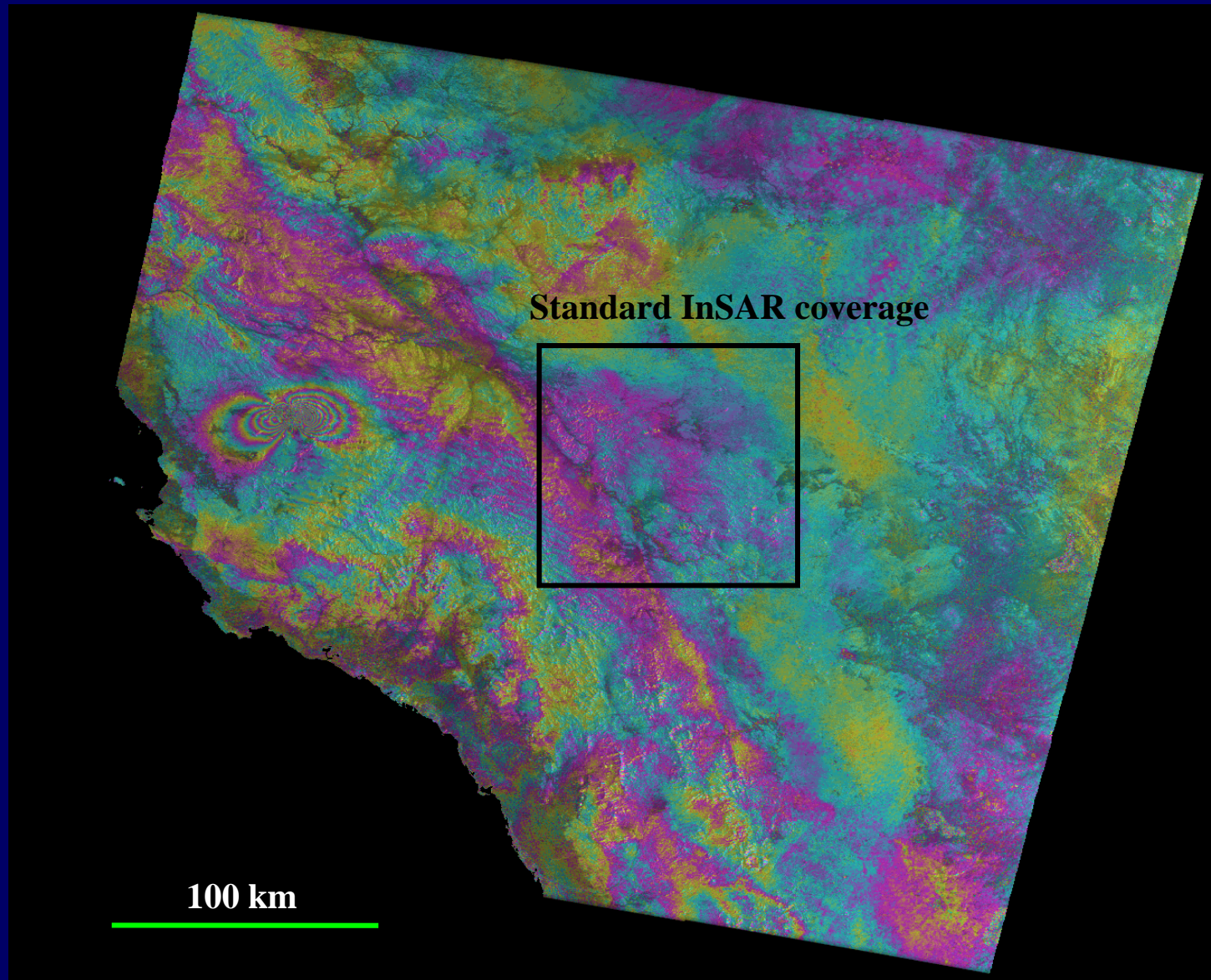
92



Ground surface deformation over western Saudi Arabia during May 2009 Seismic Swarm

Lu et al., 2010

# ScanSAR InSAR



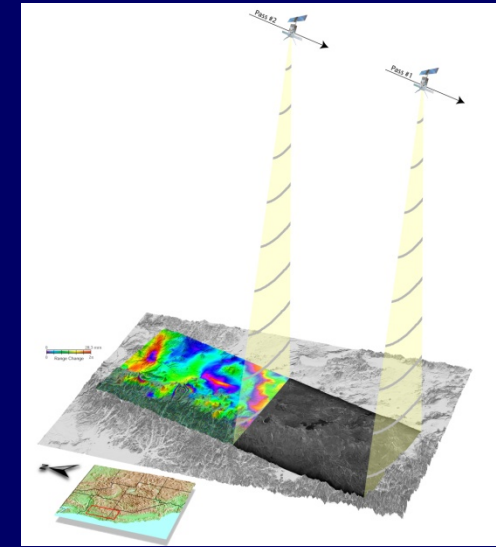
**Ground surface deformation over western Saudi Arabia during May 2009 Seismic Swarm**

# Summary

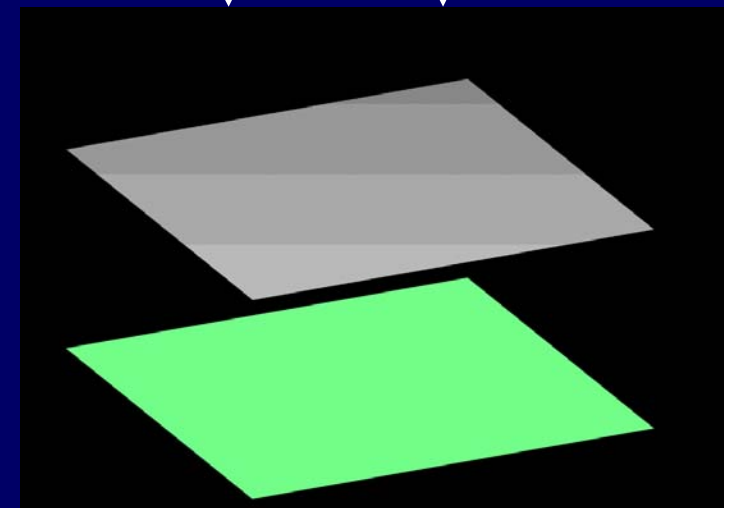
# About SAR/InSAR

95

- SAR – all-weather day and night operational imaging capability, sensitive to terrain slope, surface roughness and dielectric constant.
- Interferometric synthetic aperture radar (InSAR) combines phase information from two or more radar images of the same area acquired from similar vantage points at different times to produce an interferogram.
- The interferogram, depicting range changes between the radar and the ground, can be further processed with a digital elevation model (DEM) to image ground deformation at a horizontal resolution of tens of meters over areas of ~100 km x 100 km with centimeter to sub-centimeter precision under favorable conditions.

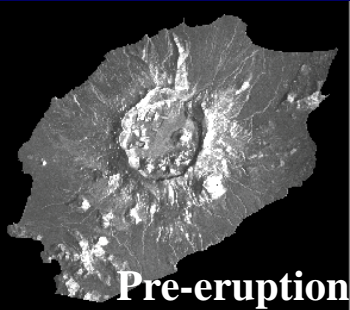


**Deflation**      **Inflation**

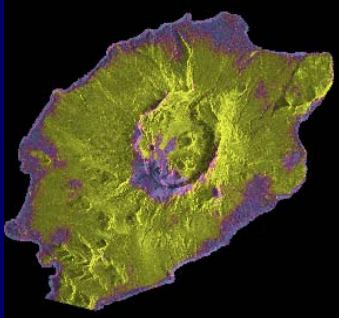


# InSAR Products

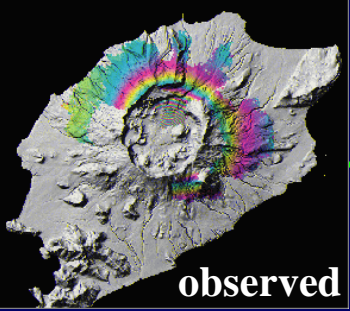
- SAR intensity images -
  - terrain slope
  - roughness
  - dielectric constant



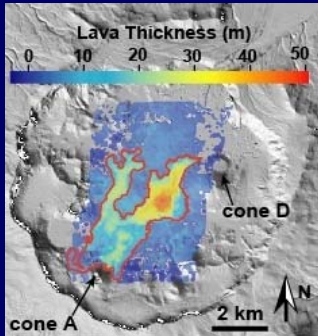
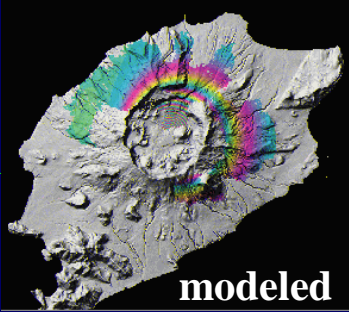
- InSAR coherence image -
  - change detection



- InSAR deformation image -
  - deformation & modeling



modeling



- InSAR DEM



# Synthetic Aperture Radar Satellites

97

• European ERS-1,	1991-2000,	C-band,	35-day repeat	
• Japanese JERS-1,	1992-1998,	L-band,	44-day repeat	
• European ERS-2,	1995-now,	C-band,	35-day repeat	
• Canadian Radarsat-1,	1995-now,	C-band,	24-day repeat	
• European Envisat,	2002-now,	C-band,	35-day repeat	
• Japanese ALOS,	2006-now,	L-band,	46-day repeat	
• German TerraSAR-X,	2007-now,	X-band,	11-day repeat	⊕
• Italian COSMO-SkyMed,	2007-now,	X-band,	16-day repeat	⊗
• Canadian Radarsat-2,	2007-now,	C-band,	24-day repeat	
• German TanDEM-X	2010-now,	X-band,	11-day repeat	⊕

⊕ Tandem Missions

⊗ Constellations

Wavelength ( $\lambda$ )

- X-band:  $\lambda = \sim 3$  cm
- C-band:  $\lambda = \sim 5.7$  cm
- L-band:  $\lambda = \sim 24$  cm