## FALL3D-7.1

# **USER'S MANUAL**

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## 1 Introduction

FALL3D-7.1 is a 3-D time-dependent Eulerian model for the transport and deposition of tephra. The model solves a set of advection-diffusion-sedimentation (ADS) equations on a structured terrain-following grid using a second-order Finite Differences (FD) explicit scheme.

The model inputs are meteorological data, topography, vent coordinate, Eruption Source Parameters (ESP) such as column height, Mass Flow Rate (MFR), eruption duration, and Total Grain-Size Distribution (TGSD) which include particle shape and density information. Outputs are tephra ground load/thickness, airborne ash concentration and other related variables.

The code, written in FORTRAN-90, is available for Unix/Linux/Mac X Operating Systems (OS) and can be compiled either as serial or parallel using MPI. A set of pre- and post-process utility programs and related scripts are also included in the FALL3D-7.1 distribution package.

Several parameterizations can be chosen to describe eruption source geometry and physics, particle terminal settling velocity, eddy diffusivity tensor, and ash aggregation.

For the meteorological variables FALL3D-7.1 uses an off-line strategy, *i.e.* variables are furnished by independent meteorological models or datasets and interpolated to the FALL3D-7.1 grid as NetCDF files. The FALL3D-7.1 model can be used to reproduce features of past eruptions, as a tool for short-term ash dispersal forecasting, and for volcanic fallout hazard assessment.

## 2 New features in FALL3D-7.1

Relevant changes have been introduced in FALL3D-7.1. The main new features added to FALL3D-7.1 include:

- A unified source code for both serial and parallel versions (only the serial version was available for public distribution in previous releases);
- The possibility of using forecasts/reanalysis meteorological data from several global/meso-scale meteorological models (not available for public distribution in the previous releases);
- Different parameterizations available for ash aggregation. For computational reasons, an initial Total Grain Size Distribution (TGSD) file (furnished either by the user or generated by the preprocess SETTGSD utility program) is modified in order to create the final "effective" granulometry file filename.grn which can include an aggregated class and a volatile species, treated as tracers;
- An option describing the cloud spreading at the Neutral Buoyancy Level (NBL) for large eruptions using a semi-analytical gravity current model;
- New parameterizations for resuspension of deposited volcanic ash by wind (based on Folch et al., 2014);
- A parameterization to account for wet deposition;
- A new empirical parameterization for describing the mass distribution within the volcanic column;
- Two new empirical parameterizations for estimating cross-wind effects on Mass Flow Rate (MFR);
- A new multi-platform installation method that utilizes the **configure** command option. The program can be installed on different machines sharing the same filesystem or on a single machine that has different compilers.

With respect to FALL3D-7.0 the new version FALL3D-7.1 differs for:

• A bug in the calculation of particle densities was corrected in the subroutine setfrac.f90 used by the utility program SetTgsd;

- A new variable (MIXING\_FACTOR), specifying the relative weight of grain-size sub-populations, was added in the block GRANULOMETRY block of the input file read by the utility SetTgsd (if this parameter is not specified when BIGAUSSIAN option is specified is set to its default value 0.5);
- The option PLUME in SetSrc uses a general BPT plume model based on FPlume model (Folch et al., 2016);
- A few bugs were corrected in the subroutine costa.f90 previously used by the utility program SetSrc;
- A few bugs in the Scripts for generating the meteorological database were corrected;
- Corrected an error in Script-SetDbs.in;
- Corrected attribute title in the Fall3d output file \*.res.nc;
- A bug in the utility program SetDbs when used with option profile was corrected;
- Corrected a bug in the utility Grib2nc: the lon and lat values are written in the netcdf output file;
- Scripts for gfs05 and gfs1 to netCDF changed. Now both grib1 and grib2 formats are possible at both resolutions;
- read\_NCEP1\_data.f90 in SetDbs was modified. Surface data no longer required;
- Corrected a bug in the utility SetDbs.f90 when used with the option "PROFILE";
- Option ARPA meteo data in SetDbs corrected.
- The option ACCESS meteo data in SetDbs was included;
- The utility Fall3d2GMT uses a new variable (DEPOSIT\_DENSITY\_(KG/M3)) in the block POSTPROCESS of the input file for specifying the deposit density (by default DEPOSIT\_DENSITY\_(KG/M3) =1000));
- The name of the concentration and loading units was changed to g/m<sup>3</sup> and g/m<sup>2</sup> instead of gr/m<sup>3</sup> or gr/m<sup>2</sup>;
- The name of the folder fall3d-ver/Utilities/Scripts was changed to fall3d-ver/Utilities/ConfigScripts in order to avoid confusion with fall3d-ver/Scripts created after the compilation of the code.

More information and download at:

- http://bsccase02.bsc.es/projects/fall3d/ or
- http://datasim.ov.ingv.it/fall3d.html

## 3 Model equations and parameterizations

The governing equations and the parameterizations used by FALL3D-7.1 are briefly described in the Appendix A "Governing equations and parameterizations". For further details see also Costa et al. (2006); Folch et al. (2009, 2016).

## 4 Overview of I/O files and related programs

FALL3D-7.1 needs the following input files:

- 1) The input file name.inp specifying the control parameters and options. This file is read by FALL3D-7.1 and from all utility programs. An example of name.inp is given in Appendix B.
- 2) The topography and meteorology database file name.dbs.nc. This file is in NetCDF format and is generated by the pre-process utility program SetDbs (see Section 5.3).

- 3) The granulometry file name.grn specifying relative fractions and properties of particle classes released from the source. This file is typically generated by the pre-process utility program SetSrc starting from the file name.tgsd describing Total Grain Size Distribution (TGSD), density, and shape of particles. The TGSD file is typically obtained from field data; however it can also be generated by the utility program SetTgsd (see Section 5.2), assuming either a Gaussian or bi-Gaussian distribution (in  $\Phi$ -units). A few options are available for the utility program SetSrc to account for aggregation effects on fine ash within the eruptive column (see Section 5.4). In this case an extra aggregation class is added in the name.grn file.
- 4) The source file name.src specifying the discharge rates at the source points (typically along the eruptive column). This file is generated by the pre-process utility program SetSrc (see Section 5.4).
- 5) An optional file specifying a list of points (name.pts) where the tracking of some variables is requested (*e.g.* points where to compute tephra arrival times, accumulation rates, etc).

Once a simulation is concluded, FALL3D-7.1 produces the following output files:

- 1) A log file (name.log) containing information about the run (*e.g.*, summary of input data, error and warning messages, etc.)
- 2) The results file (name.res.nc) in NetCDF format (see Appendix C). This file can be processed using several open-source programs (*e.g.* ncview, Panoply, ncl, etc.) to generate plots and animations. Alternatively, the post-process utility program FALL3D2GMT (included in the distribution) can be used to generate basic GMT scripts automatically.
- 3) The tracking points files (name.tps.\*) containing information about evolution of the variables at the tracked points. Such information is printed as a single output file for each point specified in the input file name.pts.
- 4) A restart file (name.rst.nc) in NetCDF format (see Appendix C). This file is used if the restart option is on.
- A general flowchart of FALL3D-7.1 is shown in Figure 1.



Figure 1: Execution flow of FALL3D-7.1 and related utility programs. The I/O file names are shown in green/blue.

## 5 Input files and pre-process utility programs

#### 5.1 The control file name.inp

The control input file in ASCII format consists of a set of blocks defining all the computational and physical parameters needed by FALL3D-7.1 and its related utility programs (SETTGSD, SETDBS, SETSRC and FALL3D2GMT). Appendix B shows an example of file name.inp. Each program reads only the necessary file blocks generating self-consistent input files. Parameters within a block are listed one per record, in arbitrary order, and optionally can be followed by one (or more) blank space and a comment. The maximum allowed lenght is 256 characters per line including comments. A detailed description of each record is given below. Real numbers can be also expressed using the FORTRAN notation (*e.g.*,  $12e7 = 12 \times 10^7$ ).

#### 5.1.1 Block TIME\_UTC

This block defines variables related to time, such as the period covered by the meteorology file, beginning and end of the eruption, etc. It is used by FALL3D-7.1 and by the utility programs SETDBS and SETSRC. The block has the following format:

- YEAR: Database starting year (YYYY).
- MONTH: Database starting month (MM).
- DAY: Database starting day (DD).
- BEGIN\_METEO\_DATA\_(HOURS\_AFTER\_OO): Time (in h after 00UTC of the starting day) at which meteorological data start in the database file. This time has to be smaller than time slice defined by the variables: ERUPTION\_START\_(HOURS\_AFTER\_OO).
- TIME\_STEP\_METEO\_DATA\_(MIN): Time step (in min) of the meteorological data in the database file. The time step can be different from that of the original data (*e.g.* if the time step is set at 60 min and the original data were every 6 h, values would be linearly interpolated hourly).
- END\_METEO\_DATA\_(HOURS\_AFTER\_00): Time slice (in h after 00UTC of the starting day) at which the meteorological data end in the database file. This time has to be larger than time slices defined by the variables: ERUPTION\_START\_(HOURS\_AFTER\_00) and RUN\_END\_(HOURS\_AFTER\_00) respectively, otherwise the program will stop.
- ERUPTION\_START\_(HOURS\_AFTER\_00): Time slice of the eruption start (in h after 00UTC of the starting day). These are nt values ( $nt \ge 1$ ) indicating the starting times of the different eruptive phases. Transient behavior of the eruption column can be described by adding a sufficient number of intervals. Eruptive conditions (plume height, MFR, etc.) are assumed constant during each phase. The first value must be equal or larger than the value of the record BEGIN\_METEO\_DATA\_(HOURS\_AFTER\_00).
- ERUPTION\_END\_(HOURS\_AFTER\_00): Time slice of the eruption end (in h after 00UTC of the starting day). This is the time slice at which the source term is switched off (*i.e.* the time at which the last eruptive phase ends).
- RUN\_END\_(HOURS\_AFTER\_00): Time slice of the run (in h after 00UTC of the starting day). This value has to be equal or smaller than the value of the variable END\_METEO\_DATA\_(HOURS\_AFTER\_00). Note that, in general, a run should continue even after the source term is switched off (*i.e.* when the eruption has stopped) in order to allow the remaining airborne particles to sediment completely.
- RESTART: If YES, the run starts from the restart file name.rst.nc generated at the end of a previous run.

#### 5.1.2 Block GRID

This block defines the grid variables needed by SETDBS and FALL3D-7.1. The block has the following format:

- COORDINATES: Map projection options. The two available options are LON-LAT (accounting for Earth's curvature) or UTM. It is recommended to use LON-LAT (from version 7.1 the option UTM is advised not to be used, although still available for backwards compatibility). The UTM option can only be used if the domain is within a unique UTM zone. The use of the UTM coordinate system in large domains covering more than one UTM zone is not allowed. The sub-blocks LON\_LAT or UTM are read in each case respectively.
- LONMIN: Minimum longitude (in decimal degrees) of the domain (*i.e.* longitude corresponding to the bottom left corner). Only used in the LON-LAT option.
- LONMAX: Maximin longitude (in decimal degrees) of the domain (*i.e.* longitude corresponding to top right corner). Only used in the LON-LAT option.
- LATMIN: Minimum latitude (in decimal degrees) of the domain (*i.e.* latitude corresponding to bottom left corner). Only used in the LON-LAT option.
- LATMAX: Maximin latitude (in decimal degrees) of the domain (*i.e.* latitude corresponding to top right corner). Only used in the LON-LAT option.
- LON\_VENT: Vent longitude. Only used in the LON-LAT option.
- LAT\_VENT: Vent latitude. Only used in the LON-LAT option.
- UTMZONE: UTM zone code in format nnL (e.g. 33S). Only used in the UTM option.
- XMIN: minimum x-coordinate of the domain (bottom left corner). UTM coordinates must be given in m. Only used in the UTM option.
- XMAX: maximum *x*-coordinate of the domain (top right corner). UTM coordinates must be given in m. Only used in the UTM option.
- YMIN: minimum *y*-coordinate of the domain (bottom left corner). UTM coordinates must be given in m. Only used in the UTM option.
- YMAX: maximum y-coordinate of the domain (top right corner). UTM coordinates must be given in m. Only used in the UTM option.
- X\_VENT: x-coordinate of the vent. UTM coordinates must be given in m. Only used in the UTM option.
- Y\_VENT: y-coordinate of the vent. UTM coordinates must be given in m. Only used in the UTM option.
- VENT\_HEIGHT: Height of the vent a.s.l. (in m).
- NX: Number of grid nodes in the x-direction.
- NY: Number of grid nodes in the *y*-direction.
- ZLAYER\_(M): Array of heights (in m) of the vertical z-layers in terrain following coordinates. The vertical layers can be specified manually (as an array of values) or, for equally spaced vertical discretization, simply indicating the limits and the increment (*e.g.* FROM 0 TO 10000 INCREMENT 1000). It is not necessary to specify the number of vertical layers since it is automatically calculated.

#### 5.1.3 Block GRANULOMETRY

This block defines the variables needed by the SETTGSD utility program to generate the TGSD file name.tgsd. The block has the following structure:

- DISTRIBUTION: Type of distribution. The two available TGSD are: GAUSSIAN or BIGAUSSIAN, where Gaussian or bi-Gaussian distribution is referred to a Gaussian or bi-Gaussian distribution in  $\Phi$ , where  $\Phi = \log_2 d(\text{in mm})$ .
- NUMBER\_OF\_CLASSES: Number of granulometric classes in the TGSD. Note that this value can be different from the number of classes in FALL3D-7.1 (aggregate class and/or volatiles can be added later in the granulometry file name.grn, created by SETSRC).
- FI\_MEAN: Mode of Gaussian distribution in  $\Phi$ . For Bi-Gaussian distributions two values must be provided.
- FI\_DISP: Standard deviation ( $\sigma$ ) of the Gaussian distribution in  $\Phi$ . For Bi-Gaussian distributions two values must be provided.
- FI\_RANGE: Minimum and maximum values for the range of  $\Phi$  considered ( $\Phi_{min}$  and  $\Phi_{max}$  respectively).
- DENSITY\_RANGE: Values of densities  $\rho_{min}$  and  $\rho_{max}$  (in kg/m<sup>3</sup>) associated to  $\Phi = -1$  and  $\Phi = 6$  for coarse and fine pumices respectively (e.g Bonadonna and Phillips, 2003). Linear interpolation is assumed between these two extremes and constant beyond them. In particular, if  $\rho_{min} = \rho_{max}$ , density will be constant for all classes.
- SPHERICITY\_RANGE: Values of sphericity  $\psi_{min}$  and  $\psi_{max}$  associated to  $\Phi_{min}$  and  $\Phi_{max}$  particles. Linear interpolation is assumed between these two extremes and constant beyond them. In particular, if  $\psi_{min} = \psi_{max}$ , sphericity is constant for all classes.
- MIXING\_FACTOR: Only read if distribution type is BIGAUSSIAN. Relative weight p of grain-size subpopulations, i.e. p for the coarse sub-population and 1 - p for the fine sub-population. If this parameter is not specified is set to its default value of 0.5.

#### 5.1.4 Block SOURCE

This block defines the variables needed by the SETSRC utility program to generate the source term (eruptive column) for each of the  $nt \ge 1$  eruptive phases. The block has the following format:

• SOURCE\_TYPE: Type of source distribution. The available options are POINT, SUZUKI, PLUME or RESUSPENSION.

1) In the case SOURCE\_TYPE = POINT only the sub-block POINT\_SOURCE is used:

- MASS\_FLOW\_RATE\_(KGS): Array of values of the mass flow rate (in kg/s) for the *nt* eruptive phases. Alternatively, the user can choose among the options ESTIMATE-MASTIN (Mastin et al., 2009), ESTIMATE-DEGRUYTER (Degruyter and Bonadonna, 2012) or ESTIMATE-WOODHOUSE (Woodhouse et al., 2013) and SETSRC automatically computes the MFR from the column heights based on empirical fits. The last two options account for cross wind effects on plume height and MFR.
- HEIGHT\_ABOVE\_VENT\_(M): Array of column heights (in m above the vent) for the *nt* eruptive phases. Note that the plume heights must be lower than the top of the computational domain, specified in the variable ZLAYER\_(M) of the GRID block. Otherwise, the program will stop.
- 2) In the case SOURCE\_TYPE = SUZUKI only the sub-block SUZUKI\_SOURCE is used:
  - MASS\_FLOW\_RATE\_(KGS): Array of values of the mass flow rate (in kg/s) for the *nt* eruptive phases. Alternatively, the user can choose among the options ESTIMATE-MASTIN (Mastin et al., 2009), ESTIMATE-DEGRUYTER (Degruyter and Bonadonna, 2012) or ESTIMATE-WOODHOUSE (Woodhouse et al., 2013) and SETSRC automatically computes the MFR from the column heights based on empirical fits. The last two options account for cross wind effects on plume height and MFR.

- HEIGHT\_ABOVE\_VENT\_(M): Array of column heights (in m above the vent) for the *nt* eruptive phases. Note that the plume heights must be lower than the top of the computational domain, specified in the record ZLAYER\_(M) of the GRID block. If not, the program will stop.
- A: Array of values of the parameter A in the Suzuki distribution (Pfeiffer et al., 2005) for the nt eruptive phases.
- L: Array of values of the parameter  $\lambda$  in the Suzuki distribution (Pfeiffer et al., 2005) for the *nt* eruptive phases.
- 3) In the case SOURCE\_TYPE = HAT only the sub-block HAT\_SOURCE is used:
  - MASS\_FLOW\_RATE\_(KGS): Array of values of the mass flow rate (in kg/s) for the *nt* eruptive phases. Alternatively, the user can choose among the options ESTIMATE-MASTIN (Mastin et al., 2009), ESTIMATE-DEGRUYTER (Degruyter and Bonadonna, 2012) or ESTIMATE-WOODHOUSE (Woodhouse et al., 2013) and SETSRC automatically computes the MFR from the column heights based on empirical fits. The last two options account for cross wind effects on plume height and MFR.
  - HEIGHT\_ABOVE\_VENT\_(M): Array of column heights (in m above the vent) for the *nt* eruptive phases. Note that the plume heights must be lower than the top of the computational domain, specified in the record ZLAYER\_(M) of the GRID block. If not, the program will stop.
  - THICKNESS\_(M): Array of values of the thickness of the eruption plume hat for the *nt* eruptive phases.

4) In the case SOURCE\_TYPE = PLUME (based on Folch et al., 2016) only the sub-block PLUME\_SOURCE is used:

- SOLVE\_PLUME\_FOR: The two available options are MFR or HEIGHT. In the first case SETSRC solves for the mass flow rate given the column height, whereas in the second case it solves for the column height agiven the mass flow rate.
- MFR\_SEARCH\_RANGE: Two values n and m such that  $10^n$  and  $10^m$  specify the range of MFR values admitted in the iterative solving procedure (*i.e.* it is assumed that  $10^n \leq MFR \leq 10^m$ ). Only used if SOLVE\_PLUME\_FOR=MFR.
- MASS\_FLOW\_RATE\_(KGS): Values of the mass flow rate (in kg/s) for the *nt* eruptive phases. Only used if SOLVE\_PLUME\_FOR=HEIGHT.
- HEIGHT\_ABOVE\_VENT\_(M): Heights of the plume (in m above the vent) for the *nt* eruptive phases. Note that the plume heights must be lower than the top of the computational domain, specified in the variable ZLAYER\_(M) of the GRID block. Only used if SOLVE\_PLUME\_FOR=MFR.
- EXIT\_VELOCIY\_(MS): Values of the magma exit velocity (in m/s) at the vent for the *nt* eruptive phases.
- EXIT\_TEMPERATURE\_(K): Values of the magma exit temperature (in K) at the vent for the *nt* eruptive phases.
- EXIT\_WATER\_FRACTION\_(IN%): Values of the magma volatile fraction (in weight percent) at the vent for the *nt* eruptive phases.
- WIND\_COUPLING: Options are YES or NO. If NO wind effects on the eruptive plume are assumed null;
- AIR\_MOISTURE: Options are YES or NO. If NO, air specific humidity is assumed zero (dry entrained air only);
- REENTRAINMENT: Options are YES or NO. If NO particle reentrainment is neglected;
- LATENT\_HEAT: Options are YES or NO. If NO water latent heat contribution is neglected (note that the option YES, in some cases, can cause problems of numerical convergence);

- A\_S: Options for the calculation of radial entrainment coefficient. Possible choices are:
  - CONSTANT a\_s1 a\_s2. In this case entrainment coefficient is assumed constant using a\_s1 a\_s2 for the value of a\_s in the jet and plume regions respectively. In case a\_s1 a\_s2 are not specified default values of 0.075 and 0.12 are assumed;
  - KAMINSKI-R: based on the formulation of Kaminski et al. (2005) as proposed by Folch et al. (2016) including data of Rouse et al. (1952);
  - KAMINSKI-C: based on the formulation of Kaminski et al. (2005) as calculated by Folch et al. (2016) excluding data of Rouse et al. (1952);
- A\_V: Options for the calculation of radial entrainment coefficient. Possible choices are:
  - CONSTANT a\_v1. In this case entrainment coefficient is assumed constant using a\_v1 for the value of a\_v. In case a\_v1 is not specified default values of 0.3 is assumed;
  - TATE: based on the formulation of Tate (2002) as proposed by Folch et al. (2016).
- 4) In the case SOURCE\_TYPE = RESUSPENSION only the sub-block RESUSPENSION is used:
  - MAX\_RESUSPENSION\_SIZE\_(MIC) : Maximum particle size (in  $\mu$ m) for which resuspension is allowed. This is typically few hundreds of  $\mu$ m.
  - DEPOSIT\_THRESHOLD\_(KGM2) : Value of the deposit load (in kg/m<sup>2</sup>) encompassing the area where resuspension is considered. This is used to prevent resuspension in areas with negligible original deposit loads.
  - MAX\_INJECTION\_HEIGHT\_(M): Maximum height of resuspension (in m). Resuspended ash is uniformly distributed vertically from the ground level to this maximum height.
  - EMISSION\_SCHEME: Type of ash emission scheme (see Appendix A "Governing equations and parameterizations" for further details). The available options are WESTPHAL (based on Westphal et al., 1987), MARTICORENA (based on Marticorena and Bergametti, 1995; Marticorena et al., 1997) or SHAO (based on Shao et al., 1993; Shao and Leslie, 1997; Shao and Lu, 2000).
  - EMISSION\_FACTOR: Tuning factor of the emission scheme.
  - THRESHOLD\_UST: Value of the threshold friction velocity. Only used if EMISSION\_SCHEME = WESTPHAL
  - MOISTURE\_CORRECTION: If YES, threshold friction velocity is corrected for soil moisture according to Fecan et al. (1999).

#### 5.1.5 Block AGGREGATION

This block defines the variables needed by SETSRC program in order to modify the original TGSD to account for ash aggregation effects. It is assumed that one aggregated class is formed. The block has the following format:

- AGGREGATION\_MODEL: Aggregation parameterization. Available options are NONE, PERCENTAGE (based on Sulpizio et al., 2012), CORNELL (based on Cornell et al., 1983) or COSTA (based on Costa et al., 2010, to be used with the option PLUME only).
- FI\_AGGREGATES:  $\Phi$ -class of aggregates (i.e. main mode).
- DENSITY\_AGGREGATES: Density of aggregates.
- VSET\_FACTOR: Multiplicative correction factor for settling velocity of aggregates.
- PERCENTAGE\_(%): Percentage of ash aggregating for classes <FI\_AGGREGATES. Only read if AGGREGATION\_MODEL = PERCENTAGE
- FRACTAL\_EXPONENT: Fractal exponent (see Costa et al., 2010, for details). Only read if AGGREGATION\_MODEL = COSTA

#### 5.1.6 Block AEROSOLS

This block defines the variables needed by SETSRC program in order to add aerosol tracers. This block defines whether aerosol (indicated as  $SO_2$ ) is transported or not and in which percentage (in wt %). In FALL3D-7.1 only passive transport is considered, *i.e.*  $SO_2$  here is simply considered a tracer and can be used to simulate the transport of any passive volatile. It is expected to add chemical reactions in future code releases. The block has the following format:

- S02: Defines whether  $SO_2$  transport is switched on or off. Options are YES (on) or NO (off).
- PERCENTAGE\_(%): Percentage of  $SO_2$  with respect to the total mass.

Note that water is however transported as an additional class when SOURCE\_TYPE = PLUME consistently with the water fraction specified in EXIT\_WATER\_FRACTION\_(IN%) in the block SOURCE whereas, for computational simplicity, the mass of the aerosol *i.e.*  $SO_2$  (however typically smaller than a few %) is added to the total mass, i.e. mass fraction considering  $SO_2$  is not normalized.

#### 5.1.7 Block GRAVITY\_CURRENT

This block defines the variables needed by FALL3D-7.1 in order to describe the effect of volcanic cloud spreading as a gravity current at the NBL. The model is based on Costa et al. (2013) and parameter estimations by Suzuki and Koyaguchi (2009). The block has the following format:

- GRAVITY\_CURRENT: Defines whether the gravity current model is switched on or off. The available options are YES (on) or NO (off).
- C\_FLOW\_RATE: Empirical constant for volumetric flow rate at NBL. Read only if GRAVITY\_CURRENT = YES.
- LAMBDA\_GRAV: Empirical constant for the gravity current model. Read only if GRAVITY\_CURRENT = YES.
- K\_ENTRAIN: Entrainment coefficient for the gravity current model. Read only if GRAVITY\_CURRENT = YES.
- BRUNT\_VAISALA: Frequency of Brunt–Vaisala due to the ambient stratification. Read only if GRAVITY\_CURRENT = YES.

#### 5.1.8 Block FALL3D

This block defines the specific variables related to physics in the FALL3D-7.1 program. The block has the following format:

- TERMINAL\_VELOCITY\_MODEL: Type of terminal settling velocity model. The available options are ARASTOOPOUR, GANSER, WILSON, and DELLINO.
- VERTICAL\_TURBULENCE\_MODEL: Type of model for vertical diffusion. The available options are CONSTANT or SIMILARITY.
- VERTICAL\_DIFFUSION\_COEFFICIENT\_(M2/S): Value of the diffusion coefficient (in m<sup>2</sup>/s). Only used if VERTICAL\_TURBULENCE\_MODEL = CONSTANT
- HORIZONTAL\_TURBULENCE\_MODEL: Type of model for horizontal diffusion. The available options are CONSTANT, RAMS, or CMAQ.
- HORIZONTAL\_DIFFUSION\_COEFFICIENT\_(M2/S): Value of the diffusion coefficient (in m<sup>2</sup>/s). Only used if HORIZONTAL\_TURBULENCE\_MODEL = CONSTANT.
- RAMS\_CS: Value of  $C_S$  in the RAMS model (see eq. 6). Only used if HORIZONTAL\_TURBULENCE\_MODEL = RAMS.
- WET\_DEPOSITION: Defines whether wet deposition model based on precipation rate is switched on or off. Options are YES (on) or NO (off).

#### 5.1.9 Block OUTPUT

This block defines specific variables related to output strategy in the FALL3D-7.1 program. The block has the following structure:

- POSTPROCESS\_TIME\_INTERVAL\_(HOURS): Postprocess time interval in hours.
- POSTPROCESS\_3D\_VARIABLES: The available options are YES or NO. If YES, FALL3D-7.1 writes 3D concentration in the output file filename.res.nc. If NO, only 2D variables are written to the output file (this can be desirable for very large files).
- POSTPROCESS\_CLASSES: The available options are YES or NO. If YES, FALL3D-7.1 writes results for all classes. If NO, only total results are written. Take into account that the size of the FALL3D-7.1 output file is directly proportional to the number of classes.
- TRACK\_POINTS: The available options are YES or NO. If YES, FALL3D-7.1 writes the tracking points files defined in the input file name.pts.

### 5.1.10 Block POSTPROCESS

This block of data is read by the post-process utility program FALL3D2GMT, which writes a script used to post-process results using GMT. The block has the following format:

- CROP\_DOMAIN: Values for LONMIN, LONMAX, LATMIN, and LATMIN of the GMT domain. Note than the domain in GMT (domain of the plot) can be smaller than the simulation domain.
- MAP\_TOPOGRAPHY: The available options are YES or NO. If YES, FALL3D2GMT plots topography contours (in m) as specified in the corresponding CONTOUR\_LEVELS line.
- MAP\_TOTAL\_LOAD: The available options are YES or NO. If YES, FALL3D2GMT plots contours of total deposition load (in  $kg/m^2$ ) as specified in the corresponding CONTOUR\_LEVELS line.
- MAP\_WET\_LOAD: The available options are YES or NO. If YES, FALL3D2GMT plots contours of total wet deposition load (in  $kg/m^2$ ) as specified in the corresponding CONTOUR\_LEVELS line. Note that this is possible only when WET\_DEPOSITION is set to YES.
- MAP\_CLASS\_LOAD: The available options are YES or NO. If YES, FALL3D2GMT plots contours of class deposition load (in  $kg/m^2$ ) as specified in the corresponding CONTOUR\_LEVELS line. Note that this is possible only when POSTPROCESS\_CLASSES is set to YES.
- MAP\_CLASS\_WET: The available options are YES or NO. If YES, FALL3D2GMT plots contours of class wet deposition load (in  $kg/m^2$ ) as specified in the corresponding CONTOUR\_LEVELS line. Note that this is possible only when WET\_DEPOSITION and POSTPROCESS\_CLASSES are set to YES.
- MAP\_DEPOSIT\_THICKNESS: The available options are YES or NO. If YES, FALL3D2GMT plots contours of total deposit thickness converted using the density value specified in the DEPOSIT\_DENSITY\_(KG/M3) line (by default set to 1000). Values can be expressed in mm, cm, or m in accord to the UNITS line for the contours specified in the corresponding CONTOUR\_LEVELS line.
- MAP\_CONCE\_GROUND: The available options are YES or NO. If YES, FALL3D2GMT plots contours of total concentration at ground level (in  $g/m^3$ ) as specified in the corresponding CONTOUR\_LEVELS line.
- MAP\_PMxx\_GROUND: The available options are YES or NO. If YES, FALL3D2GMT plots contours of  $PM_5$ ,  $PM_{10}$ , and  $PM_{20}$  concentrations at ground level (in g/m<sup>3</sup>) as specified in the corresponding CONTOUR\_LEVELS line.
- MAP\_COLUMN\_MASS: The available options are YES or NO. If YES, FALL3D2GMT plots contours of total column mass (in  $g/m^2$ ) as specified in the corresponding CONTOUR\_LEVELS line.

- MAP\_COLUMN\_PMxx: The available options are YES or NO. If YES, FALL3D2GMT plots contours of  $PM_5$ ,  $PM_{10}$ , and  $PM_{20}$  column mass (in g/m<sup>2</sup>) as specified in the corresponding CONTOUR\_LEVELS line. These are useful quantities to compare with satellite imagery.
- MAP\_FLIGHT\_LEVEL: The available options are YES or NO. If YES, FALL3D2GMT plots contours of concentration at different Flight Levels (in g/m<sup>3</sup>) as specified in the corresponding CONTOUR\_LEVELS line. By default, these are FL050, FL100, FL150, FL200, FL250, FL300, FL350 and FL400. However, the number and values of the different FL can be easily modified (just edit the FALL3D-7.1 source file InpOut.f90, modify nflevel as desired and re-complie the code).
- MAP\_AOD: The available options are YES or NO. If YES, FALL3D2GMT plots contours Aerosol Optical Depth as specified in the corresponding CONTOUR\_LEVELS line.

## 5.2 The TGSD file name.tgsd

The TGSD file is an ASCII file containing the definition of the particle classes (a class is characterized by particle size, density and sphericity) without aggregates nor aerosol components. Note that in the previous versions of the code this file was called name.grn. The file format is described in Table 1 and the meaning of the used symbols is the following:

- nc: Number of particle classes.
- diam: Class diameter (in mm).
- rho: Class density (in  $kg/m^3$ ).
- sphe: Class sphericity.
- fc: Class mass fraction (0-1). It must verify that  $\sum fc = 1$ .

nc diam(1) rho(1) sphe(1) fc(1) ... diam(nc) rho(nc) sphe(1) fc(nc)

Table 1: Format of the TGSD file name.tgsd.

#### 5.2.1 The utility program SETTGSD

The utility program SETTGSD can be used to generate the TGSD file name.tgsd in accordance with the parameters specified in the block GRANULOMETRY of the input file name.inp. This program generates only Gaussian and Bi-Gaussian distributions in  $\Phi$  (log-normal in d) and assumes a linear increase of density  $\rho$  and sphericity  $\psi$  between the two extremes specified by the user. For other grain size distributions, the user must provide the TGSD file (typically derived from field data). Note that in the previous versions of the code this utility program was called SETGRN.

#### 5.3 The meteorological database file name.dbs.nc

The file name.dbs.nc, written in NetCDF format (see Appendix C), contains topography and timedependent meteorological data needed by FALL3D-7.1 (wind field, air temperature and density, humidity, etc), written in terrain-following coordinates. The file is created by the utility program SETDBS, which interpolates meteorological data from the original grid of meteorological models to the FALL3D-7.1 computational domain. In turn, SETDBS requires a specific naming convention for the original NetCDF meteorological data. For this reason, another utility program (GRIB2NC) can be used in combination with wgrib/wgrib2 to decode and convert original grib-format meteorological data to the required NetCDF format. There are a several options to generate this database file depending on the scale of application.

Model	Native data format	need of $\tt GRIB2NC$		
Global model forecasts				
GFS at $1^{o}$ resolution	grib	yes		
GFS at $0.5^{\circ}$ resolution	grib	yes		
Global analysis and re-analysis				
NCEP final analysis at $1^{\circ}$ resolution	grib	yes		
NCEP re-analysis 1	grib	no		
NCEP re-analysis 2	grib	no		
ECMWF ERA-40 re-analysis	grib	yes		
ECMWF ERA-Interim re-analysis	grib	yes		
Mesoscale models				
WRF-ARW	NetCDF	no		
ETA	grib	yes		
ARPA-SIM	grib	yes		
Others (local-scale options)				
CALMET-6.2	own-binary	no		
Vertical profile	ASCII	no		

Table 2: List of different options handled by the SETDBS pre-process utility program. Native data in grib format needs to be converted first to NetCDF using the GRIB2NC program before running SETDBS.

#### 5.3.1 The utility program SETDBS

The pre-process utility program SETDBS generates the database file name.dbs.nc in accordance with the parameters specified in the blocks TIME\_UTC and GRID of the input file name.inp. After running the utility GRIB2NC, the program SETDBS can use meteorological data from different independent meteorological models and interpolates variables onto the FALL3D-7.1 computational grid. The time duration of the database must be equal or larger than the duration of the simulation. The possible options are listed in Table 2 and described below.

- The simplest option consists of using a horizontally uniform wind derived from a single vertical profile, typically obtained from sounding measurements or from indirect reconstructions. The vertical profile needs to be specified in the ASCII file name.profile using the format described in the Appendix D. In this case, in addition to the profile name.profile it is also necessary to furnish a topography file name.top in GRD format (see Appendix E). It is recommended to use this very simplistic option (homogeneous wind field) only when no other meteorological data are available.
- The second choice (CALMET option) uses data derived from the output of the meteorological diagnostic model CALMET (Scire et al., 2000). This option is typically used for assimilating and interpolating short-term forecasts (or re-analysis) from Mesoscale Meteorological Prognostic Models (MMPM) to a finer scale. In this case only the UTM coordinate system can be used. Note that the output of CALMET is a binary file that depends on the architecture of the machine where it was generated. Moreover note that this option is compatible only with a CALMET output time step equal to an hour (*i.e.*, nsecdt=3600).
- The third choice (strongly recommended) uses data from global/mesoscale forecasts or analysis/reanalysis. Global data (assumed to be downloaded in grib format) have to be decoded and converted to NetCDF using the utility program GRIB2NC before running SETDBS.

#### 5.3.2 The utility program GRIB2NC

The utility program GRIB2NC runs in combination with wgrib/wgrib2 and dedicated scripts (see Section 7.7) in order to convert grib format data to a NetCDF file readable by SETDBS. Possible options are:

1. GFS forecasts at  $1^o$  and  $0.5^o$  resolution.

- http://nomad3.ncep.noaa.gov/ncep\_data/index.html
- http://www.nco.ncep.noaa.gov/pmb/products/gfs
- 26 pressure levels starting at 10 mb (10 20 30 50 70 100 150 200 250 300 350 400 450 500 550 600 650 700 750 800 850 900 925 950 975 1000).
- 7 days ahead (00 cycle assumed).
- 2. NCEP GFS final analysis (FNL) at 1<sup>o</sup> resolution. This product is from the Global Data Assimilation System (GDAS). The FNLs are made with the same model which NCEP uses in the Global Forecast System (GFS), but the FNLs are prepared about an hour or so after the GFS is initialized.
  - http://rda.ucar.edu/datasets/ds083.2/
  - 26 pressure levels starting at 10 mb (10 20 30 50 70 100 150 200 250 300 350 400 450 500 550 600 650 700 750 800 850 900 925 950 975 1000).
  - 4-times daily.
  - Temporal range: 30 July 1999 to present.
- 3. CDAS-NCEP/NCAR reanalysis 1 at  $2.5^{\circ}$  resolution.
  - http://nomad3.ncep.noaa.gov/ncep\_data/index.html
  - 17 pressure levels starting at 10 mb (10 20 30 50 70 100 150 200 250 300 400 500 600 700 850 925 1000).
  - 4-times daily.
  - Temporal range: 1 January 1948 to present.
  - NOTE: only pressure levels 00 cycle grib files (pgb.ft00.YYYYMM) are used.
- 4. NCEP Reanalysis 2 at  $2.5^{\circ}$  resolution.
  - http://nomad3.ncep.noaa.gov/ncep\_data/index.html
  - 17 pressure levels starting at 10 mb (10 20 30 50 70 100 150 200 250 300 400 500 600 700 850 925 1000).
  - 4-times daily.
  - Temporal range: 1 January 1979 to present.
  - NOTE: only pressure levels grib files (pgb.YYYYMM) are used.
- 5. ECMWF ERA-40 reanalysis.
  - http://apps.ecmwf.int/datasets/data/era40\_daily
  - 23 pressure levels starting at 1 mb (1 2 3 5 7 10 20 30 50 70 100 150 200 250 300 400 500 600 700 775 850 925 1000).
  - 4-times daily.
  - Temporal range: 1 September 1957 to 31 August 2002.
  - NOTE 1: Files are downloaded separately for pressure, non-pressure (surface) and invariant variables. Required variables are: i) pressure: Geopotential, Relative humidity, Temperature, U component of wind, V component of wind, Vertical velocity, ii) Surface: 10 metre U wind component, 10 metre V wind component, 2 metre temperature, Boundary layer height, iii) Invariant: Geopotential, Land-sea mask. Variable files must be named with standard ECMWF names, i.e. hgt.grib, temp.grib, rhum.grib, omega.grib, uvel.grib, vvel.grib, t2sfc.grib, u10sfc.grib, v10sfc.grib,.
  - NOTE 2: Downloading a domain subset with different resolutions (lower than 0.25°) is possible.
- 6. ECMWF ERA-Interim reanalysis.

- http://apps.ecmwf.int/datasets/data/interim\_full\_daily
- 37 pressure levels starting at 1 mb (1 2 3 5 7 10 20 30 50 70 100 125 150 175 200 225 250 300 350 400 450 500 550 600 650 700 750 775 800 825 850 875 900 925 950 975 1000).
- 4-times daily.
- Temporal range: 1 January 1979 to present.
- NOTE 1: Files are downoladed separately for pressure, non-pressure (surface) and invariant variables. Required variables are: i) pressure: Geopotential, Relative humidity, Temperature, U component of wind, V component of wind, Vertical velocity, ii) Surface: 10 metre U wind component, 10 metre V wind component, 2 metre temperature, Boundary layer height, iii) Invariant: Geopotential, Land-sea mask.
- NOTE 2: Downloading a domain subset with different resolutions (lower than  $0.25^{\circ}$ ) is possible.

#### 5.4 The source file name.src

The source file name.src is an ASCII file containing the definition of the source term. The source can be defined for different time phases during which source values are kept constant. The number, position and values (*i.e.* Mass Flow Rate) of the source points can vary from one time slice to another and cannot overlap. There is no restriction on the number and duration of the time slices. It allows, in practice, to discretize any kind of source term. This file can be defined directly by the user or generated by the pre-process utility program SETSRC. The format of the file name.src is described in Table 3 and the meaning of the used symbols is the following:

- itime1: Source starting time (in sec after 00UTC of the eruption starting day).
- itime2: End time (in sec after 00UTC of the eruption starting day).
- nsrc: Number of source points (it can vary from one interval to another depending on the column height).
- nc: Total number of particle classes (as in the file name.grn).
- MFR: Mass flow rate (in kg/s).
- x: Longitude or x-coordinate of the source isrc.
- y: Latitude or *y*-coordinate of the source *isrc*.
- z: z-coordinate of the source point above ground level (a.g.l.) (in m).
- i,j,k: indexes of the source point in the FALL3D-7.1 mesh.
- src: Mass flow rate (in kg/s) of each granulometric class for this point source. It must be verified that  $\sum \sum src(isrc, ic) = MFR$ .

itime1 itime2 nsrc nc MFR x y z i j k src(1,1) ... src(1,nc) ... x y z i j k src(nsrc,1) ... src(nsrc,nc)

Table 3: Format of the source file name.src. This block is repeated for each eruption phase.

#### 5.4.1 The utility program SETSRC

The utility program SETSRC is used to generate 1) the source file name.src in accordance with the parameters specified in the blocks TIME\_UTC and SOURCE of the input file name.inp and 2) modify the preliminary TGSD file name.tgsd in order to create the granulometry file name.grn used by FALL3D-7.1 and consistent with the aggregation and aerosol options specified in the blocks AGGREGATION and AEROSOLS of the control file name.inp.

Available options are i) a point source column, ii) a mushroom-like shape column (Suzuki option), iii) an eruption column model based on the Buoyant Plume Theory (BPT) or iv) a diffuse emission for ash resuspension.

## 5.5 The granulometry file name.grn

The granulometry file is an ASCII file containing the characterization of the particle classes and, optionally, aggregates and aerosols. This file is created by the utility program SETSRC from the preliminary TGSD file name.tgsd. Note that this file is different from the one used in the previous versions of the code. The file format is described in Table 4 and the meaning of the used symbols is the following:

- nc: Total number of particle classes (differs from nc in the TGSD file in case of aggregation or aerosols).
- diam: Class diameter (in mm).
- rho: Class density (in  $kg/m^3$ ).
- sphe: Class sphericity.
- fc: Class mass fraction (0-1). It must verify that  $\sum fc = 1$ .
- class: Label denoting class (particle/gas typology) such particle class, aggregate or gas.

nc   diam(1)	rho(1)	$\operatorname{sphe}(1)$	fc(1)	class(1) (e.g. $class-01$ )
 diam(nc-2) diam(nc-1) diam(nc)	 rho(nc-2) rho(nc-1) rho(nc)	$ \begin{array}{l} \dots \\ \text{sphe(nc-2)} \\ \text{sphe(nc-1)} \\ \text{sphe(nc)} \end{array} $	$\begin{array}{l} \dots \\ fc(nc-2) \\ fc(nc-1) \\ fc(nc) \end{array}$	$\begin{array}{l} \dots \\ \text{class(nc-2)} \ (e.g. \ aggregate) \\ \text{class(nc-2)} \ (e.g. \ H2O) \\ \text{class(nc-2)} \ (e.g. \ SO2) \end{array}$

Table 4: Format of the granulometry file name.grn

Note that when the option COSTA is selected as the aggregation model, the variable fc is automatically calculated by setsrc since it can vary with time.

## 5.6 The tracking points file name.pts

This file contains the names (identifiers) and coordinates of the points to be tracked. It is used only when the record TRACK\_POINTS in the input file name.inp is set to YES. The format of the file name.pts consists of lines (one line per point) with three columns specifying the point name, the point longitude (or x-coordinate if UTM coordinates are used), and the point latitude (or y-coordinate if UTM coordinates are used). If available, an extra column with measurements of tephra loading on the ground can be added for a sake of comparison with simulation results. There is no limit on the number of points to track. The file format is described in Table 5.

location-1	x-coord-1	x-coord-1	measurement-1
location-2	x-coord-2	x-coord-2	measurement-2
location-3	x-coord-3	x-coord-3	measurement-3
location-4	x-coord-4	x-coord-4	measurement-4
location-n	x-coord-n	x-coord-n	measurement-n

Table 5: Format of the granulometry file name.pts

## 6 Output files and post-process utility programs

## 6.1 The log file name.log

The file name.log is an ASCII file where critical information about the simulation run is stored. The information written on the name.log contains:

- FALL3D-7.1 copyright;
- code version;
- number of processors;
- starting time of the simulation;
- input files names and paths;
- output files names and paths;
- time range and grid data of the meteorological database;
- FALL3D-7.1 input data (*e.g.* time range; numerical parameters; output options; etc);
- memory requirements;
- source terms features;
- particle classes;
- atmospheric properties, horizontal, and vertical diffusion;
- terminal velocities;
- main parameters of the gravity current model;
- updates about the simulation such as iteration number, critical time step, elapsed time, current (simulation time), and a mass balance for the total mass (inside and ouside the computational domain) and the erupted mass.

### 6.2 The results file name.res.nc

This file, written in netCDF format, contains the following output variables:

- particle properties (diameter, density and sphericity);
- topography;
- ground load and, if specified in the control input file, class ground load;
- wet deposition and, if specified in the control input file, class wet deposition;
- deposit thickness;

- total and  $PM_{xx}$  (xx=5, 10, 20) concentration at ground level;
- total and  $PM_{xx}$  (xx=5, 10, 20) column mas load (vertical integration of concentration);
- concentration at different flight levels. By default, these are FL050, FL100, FL150, FL200, FL250, FL300, FL350, and FL400. However, these values can be reconfigured by modifying the InpOut.f90 source file and recompiling the code;
- aerosol optical depth;
- total and class concentration at all model layers (if specified in the control input file only).

## 6.3 The restart file name.rst.nc

The restart file, written in netCDF format, can be used to start a new run from the end of a previous simulation. The file is automatically created each time FALL3D-7.1 prints its results. If RESTART = YES in the block TIME\_UTC of name.inp, a run is initialized with the airborne concentration specified in the restart file name.rst.nc. Any restart file previously created is destroyed whenever a new restart file is printed.

## 7 Program installation and execution

IMPORTANT NOTE: Please read very carefully all this section before installing and running FALL3D-7.1.

## 7.1 Pre-Installation requirements

FALL3D-7.1 is written in FORTRAN-90 and requires the external library netCDF. The code has been tested in UNIX/Linux platforms including MacOS X. The source code can be compiled to run in serial mode (one processor) and parallel mode (multiple processor and/or clusters based on MPI). For compiling the serial version you will need:

- A Fortran 90 compiler (eg: ifort, gfortran, xlf90, etc.)
- The Library netCDF installed on your machine (versions 3.6.\*, 4.\* or later). NetCDF library is available from: http://www.unidata.ucar.edu/netcdf/

In addition, for compiling the parallel version (optional), you will need:

• MPI or OpenMPI installed on you machine with Fortran compilation enabled (command mpif90 must be available on your machine).

To decode meteorological data in grib format (see Table2) using GRIB2NC you will need:

• wgrib and/or wgrib2, available from http://www.cpc.ncep.noaa.gov/products/wesley/wgrib.html

Finally, if you wish to use post-process results using the utility program FALL3D2GMT (optional) you will need:

• The Generic Mapping Tools (GMT) library, available from http://gmt.soest.hawaii.edu/projects/gmt/wiki/Download

## 7.2 Installation

Sources of FALL3D-7.1 are distributed as a gzipped tar archive in a file named fall3d-7.1.tar.gz, available at the following URLs:

http://bsccase02.bsc.es/projects/fall3d/ http://datasim.ov.ingv.it/fall3d.html After you obtained file fall3d-7.1.tar.gz, copy it in a directory (eg: your home directory) and unpack the tarball with the command:

\$ tar zxvf fall3d-7.1.tar.gz

This creates the installation directory tree with the root directory named fall3d-7.1. The directory tree is shown in Table 6.

Manual # Example #	Contains this manual Contains an example of input file (name.inp)
libMaster #	Master library for Fall3d and utilities
Utilities #	Utilities root directory
Utilities/Grib2nc #	Grib2nc sources
Utilities/Grib2nc/config #	Grib2nc sample configuration files
Utilities/SetTgsd #	SetTgsd sources
Utilities/SetDbs #	SetDbs sources
Utilities/ConfigScripts #	Shell script sources
Utilities/Fall3d2GMT #	Fall3d2GMT sources
Utilities/SetSrc #	SetSrc sources
Scripts #	This is generated by 'make install'
bin #	This is generated by 'make install'

Table 6: Default structure of fall3d-7.1 sub-folders.

The package comes with a **configure** script for automatically configuring your installation.

The 'configure' shell script attempts to guess correct values for various system-dependent variables used during compilation. Then uses these values to create a 'Makefile' in each directory of the package for compiling and installing the code and the scripts.

The configuration script does not automatically set the compiler flags. It is strongly suggested to set the compiler optimization flag "-O" by setting the environmental variable FCFLAGS=-O or by setting it in the configure command line, as shown below.

In brief, to configure, compile and install FALL3D-7.1 (serial version) it should be enough to issue the following commands:

```
$ cd fall3d-7.1
$ ./configure FCFLAGS=-0
$ make
$ make install
```

For installing both the serial and the parallel versions the commands are:

```
$ cd fall3d-7.1
$ ./configure FCFLAGS=-0 --enable-parallel
$ make
$ make install
```

By default, the binary files are installed in the directory 'bin' and the shell scripts (used to launch FALL3D-7.1 and all the related utility programs) in the directory 'Scripts', both under the root directory fall3d-7.1. Please note that the shell scripts located in directory 'Scripts' are generated by 'make install' and contain pointers to the location of the binaries. The shell scripts can be moved/copied to other directories, but the binary files must be left in the 'bin' directory. The default location of the bin directory can be changed by providing proper flags to the 'configure' script, as described below (see flag --prefix or --exec-prefix). The variable SCRIPTDIR, can be changed by passing its value to the command line of configure (configure SCRIPTDIR=directory).

In the default configuration, the meteo data are searched in the directory '\$HOME/Data' and the FALL3D-7.1 runs are stored in the directory '\$HOME/Runs'. However, these directories can be modified as described below.

#### 7.3 Multiple installations

Multiple installations of FALL3D-7.1 on the same system might be needed. This is usually the case when a disk is shared among different computer platforms and/or when you want different versions of FALL3D-7.1 compiled for different platforms or on a single platform but with different libraries and compilers. To do this, just untar file 'fall3d-7.1.tar.gz' into different directories and configure each replica of FALL3D-7.1 using different configuration flags. In this case, the binaries may reside in different directories, but can share the same data file (eg: the same 'DATADIR' and 'RUNDIR' directories). The different binaries can be launched by the corresponding shell scripts (located in the directory 'SCRIPTDIR').

## 7.4 Customizing the installation

You may choose different configuration parameters, including the fortran compiler, compilation flags, the netCDF version (if you have more than one) and the location of the directories 'bin', Scripts', 'Data' and 'Runs'. The values of the different variables and flags are printed on the screen by 'configure' at the end of the procedure (if no errors occur). During the configuration, you should pay attention to the information printed by the command 'configure'.

A typical output of the configure script is shown in Table 7, where the list of the variables that are set during the installation is shown. The user settable variables are: FC, MPIF90, MPIEXEC, FCFLAGS, F77, NETCDF, NC\_INC, NC\_LIB, WGRIB, WGRIB2, RUNDIR, DATADIR and SCRIPTDIR. These variables can be changed by defining them as shell environment variables or by passing their value as argument in the 'configure' command line. In case you specify a variable both in the environment and in the command line, the value passed in the command line takes the precedence.

**Example** (see Table 7): the default Fortran compiler found by configure is FC=gfortran. You can change the default value in the following ways:

```
(Bourne shell)
$ export FC=ifort
$ export FCFLAGS=-0
$ ./configure
```

or

```
(C-shell)
$ setenv FC ifort
$ setenv FCFLAGS -0
$ ./configure
```

or

(any shell)
\$ ./configure FC=ifort FCFLAGS=-0

All the variables listed before can be configured in a similar way. For example, you can set the run directory (RUNDIR) and the data directory (DATADIR) to different values:

\$ ./configure DATADIR=/home/myself/fall3d/mydata RUNDIR=/home/myself/fall3d/myruns

Moreover you can specify the installation prefix (root directory for the installation of the binaries) with the flag --prefix=DIRECTORY and/or choose to install also the parallel version. Example:

```
$ ./configure --prefix=/home/myself/fall3d --enable-parallel FCFLAGS=-0 [...]
```

## 7.5 Selecting the netCDF library

The path of the netCDF include files and libraries is defined by the variable NETCDF, which specifies the root directory of the netCDF installation. In particular, the include files (eg: file netcdf.inc) are stored in the directory \$NETCDF/include and the libraries (eg: file libnetcdf.a) are stored in \$NETCDF/lib.

Table 7: Typical output of the configure script

```
[... configure messages ...]
configure: Configuration complete - Fall3d-7.1 (serial)
configure:
configure: Using netCDF 4.2.1
configure: Fortran 90 compiler:
                                       FC=gfortran
configure: Enable parallel version:
                                       --enable-parallel=no
configure: Fortran 90 PAR compiler:
                                       MPIF90=(not used)
configure: Launcher of MPI programs:
                                       MPIEXEC=(not used)
configure: Fortran flags:
                                       FCFLAGS=-0
configure: Fortran 77 compiler:
                                       F77=gfortran
configure: Root directory of netcdf:
                                       NETCDF=/usr/local
configure: Compiler flags for netcdf:
                                       NC_INC=-g -I/usr/local/include
configure: Linker flags for netcdf:
                                       NC_LIB=-L/usr/local/lib -lnetcdff -lnetcdf
configure: Grib files reader
                                       WGRIB=wgrib
configure: Grib2 files reader
                                       WGRIB2=wgrib2
configure: Grib2nc config directory:
                                       GRIBCONFDIR=[...]/Utilities/Grib2nc/config
configure: Install prefix:
                                       --prefix=[...]/fall3d-7.1
configure: Executables install prefix: --exec_prefix=${prefix}
configure: Binary directory:
                                       --bindir=${exec_prefix}/bin
configure: Run directory:
                                       RUNDIR=$HOME/Runs
configure: Data directory:
                                       DATADIR=$HOME/Data
configure: Scripts directory:
                                       SCRIPTDIR=[...]/Scripts
```

The configure script should automatically set the proper value of the NETCDF path. This path is searched using a guess algorithm. First of all 'configure' looks for the program 'nc-config', provided with the latest versions of netCDF; if it is found, nc-config is called and the variables NETCDF, NC\_INC and NC\_LIB are set accordingly. Otherwise, the NETCDF path is defined by the location of the program ncdump, usually stored in the directory \$NETCDF/bin. In case 'configure' is not able to locate your netCDF installation, or you want to select another version of netCDF, you can manually set the variable NETCDF. This cand be done, as for the other variables, either by passing them as an environmental variable, or in the 'configure' command line:

#### \$ ./configure NETCDF=/usr/local/netcdf

The variables NC\_INC and NC\_LIB represent the flags passed, respectively, to the fortran compiler and to the linker, in order to use netCDF (see Table 7 for the typical values). Usually, NC\_INC and NC\_LIB are inferred from the value of NETCDF.

The variable GRIBCONFDIR represents the directory where the configuration files of the utility Grib2nc are stored. Just as the other variables, it can be changed by passing a new value to the ./configure command line or by setting the corresponding environment variable.

#### 7.6 Cleaning directories and uninstalling

After compilation and installation, you can remove the program binaries and object files from the source directory by typing 'make clean'. To remove the files that 'configure' has created (so you can compile the package for a different configuration), type 'make distclean'. This command does not remove the binaries and the scripts installed in the directory SCRIPTDIR.

To uninstall FALL3D-7.1 you can type 'make uninstall' to remove the binary files in the directory **\$exec\_prefix/bin** and the scripts in the directory **SCRIPTDIR**. It does not remove the source files.

### 7.7 Model execution

To run a new simulation named name simply create a new directory (or a symbolic link) called name in the folder Runs and create a new control input file name.inp (or simply copy the file Example.inp located in the folder Example, rename it as name.inp and modify it). FALL3D-7.1 and the utility programs are launched using a serie of scripts with some arguments (an option is to create alias for the scripts, so that these can be called directly from any folder). The execution flow is as follows:

- 1. First, place the meteorological data files in the appropriate folder (or create a symbolic link to data in this folder):
  - Data/model-grib for meteo files in grib format or,
  - Data/model-nc for meteo files in NetCDF format

where model take one of the following names (see Table 2):

- gfs05deg for GFS forecasts at  $0.5^{\circ}$  resolution.
- gfsldeg for GFS forecasts at  $1^{o}$  resolution.
- ncepFNL for NCEP GFS final analysis (FNL) at  $1^{o}$  resolution.
- ncep1 for CDAS-NCEP/NCAR reanalysis 1 at  $2.5^{\circ}$  resolution.
- ncep2 for NCEP Reanalysis 2 at  $2.5^{\circ}$  resolution.
- era40 for ECMWF ERA-40 reanalysis.
- eraIn for ECMWF ERA-Interim reanalysis.
- wrf for WRF-ARW output.
- eta for ETA output.
- arpa for ARPA-SIM output.
- calmet62 for CALMET (version 6.2) output.
- profile for vertical profile.

**NOTE**: The scripts assume that files in folder Data/model-grib are named name.model.grib and files in folder Data/model-nc are named name.model.nc (*e.g.* name.wrf.nc for WRF-ARW output files located in folder Data/wrf-nc, name.eraIn.grib for ERA-Interim grib files located in folder Data/eraIn-grib, etc).

- 2. If necessary (only for meteo files in grib format), run wgrib/wgrib2 and GRIB2NC. For this, you have to launch one of the following scripts:
  - Script-gfs05deg-to-nc name

where name is the name of the current run. This decodes the file name.gfs05deg.grib (GFS forecasts at 0.5° resolution), placed in the folder Data/gfs05deg-grib, and creates the file name.gfs05deg.nc in the folder Data/gfs05deg-nc for subsequent execution of SETDES.

• Script-gfs1deg-to-nc name

where name is the name of the current run. This decodes the file name.gfs1deg.grib (GFS forecasts at 1<sup>o</sup> resolution), placed in the folder Data/gfs1deg-grib, and creates the file name.gfs1deg.nc in the folder Data/gfs1deg-nc for subsequent execution of SETDES.

• Script-ncepFNL-to-nc name

where name is the name of the current run. This decodes the file name.ncepFNL.grib (final analysis at 1<sup>o</sup> resolution), placed in the folder Data/ncepFNL-grib, and creates the file name.ncepFNL.nc in the folder Data/ncepFNL-nc for subsequent execution of SETDES.

• Script-ncep1-to-nc name

where name is the name of the current run. This decodes the file name.ncep1.grib (reanalysis 1), placed in the folder Data/ncep1-grib, and creates the file name.ncep1.nc in the folder Data/ncep1-nc for subsequent execution of SETDBS.

NOTE: This script can be used for ncep1 files already downloaded in grib-format. Currently ncep1 files are available only in nc-format and in this case Script-ncep1-cat-nc name should be used.

• Script-ncep2-to-nc name

where name is the name of the current run. This decodes the file name.ncep2.grib (reanalysis 2), placed in the folder Data/ncep2-grib, and creates the file name.ncep2.nc in the folder Data/ncep2-nc for subsequent execution of SETDBS.

NOTE1: This script can be used for ncep2 files already downloaded in grib-format. Currently ncep2 files are available only in nc-format and in this case Script-ncep2-cat-nc name should be used.

NOTE2: Variable files must be named with standard NCEP names, i.e. hgt.nc, rhum.nc, omega.grib, uwnd.nc, vwnd.nc, etc.

• Script-ncep1-cat-nc name

where name is the name of the current run. This merges the files variable1.nc, variable2.nc, etc. (reanalysis 1), placed in the folder Data/ncep1-nc, and creates the file name.ncep1.nc in the folder Data/ncep1-nc for subsequent execution of SETDBS.

• Script-ncep2-cat-nc name

where name is the name of the current run. This merges the files variable1.nc, variable2.nc, etc. (reanalysis 2), placed in the folder Data/ncep2-nc, and creates the file name.ncep2.nc in the folder Data/ncep2-nc for subsequent execution of SETDBS.

• Script-era40-to-nc name

where name is the name of the current run. This decodes the file name.era40.grib (ECMWF ERA-40), placed in the folder Data/era40-grib, and creates the file name.era40.nc in the folder Data/era40-nc for subsequent execution of SETDBS.

• Script-eraIn-to-nc name

where name is the name of the current run. This decodes the file name.eraIn.grib (ECMWF ERA-Interim), placed in the folder Data/eraIn-grib, and creates the file name.eraIn.nc in the folder Data/eraIn-nc for subsequent execution of SETDBS.

• Script-eta-to-nc name

where name is the name of the current run. This decodes the file name.eta.grib (ETA model output), placed in the folder Data/eta-grib, and creates the file name.eta.nc in the folder Data/eta-nc for subsequent execution of SETDBS.

• Script-arpa-to-nc name

where name is the name of the current run. This decodes the file name.arpa.grib (ARPA-SIM model output), placed in the folder Data/arpa-grib, and creates the file name.arpa.nc in the folder Data/arpa-nc for subsequent execution of SETDBS.

NOTE: If an alias for the scripts has not been previously set, the user must run wgrib/wgrib2 and GRIB2NC from the Scripts folder and provide the full path for the grib file.

NOTE2: For some of the scripts it may be necessary to modify some variables depending on the specific problem.

- 3. Run the SETDBS utility program to generate the file name.dbs.nc in the folder Runs/name:
  - Script-SetDbs name model

where name is the name of the current run and model is one of the following options:

- gfs05deg/gfs1deg (for global model forecasts)
- ncepFNL/ncep1/ncep2/era40/eraIn (for reanalyses)
- wrf/eta/arpa (for mesoscale models)
- profile/calmet62 (for other options)

4. Run the SETTGSD utility program to generate the file name.tgsd in the folder Runs/name:

• Script-SetTgsd name

where **name** is the name of the current run. Alternatively, the TGSD file can be created by the user directly.

- 5. Run the SETSRC utility program to generate the files name.src and name.grn in the folder Runs/name:
  - Script-SetSrc name

where name is the name of the current run.

- 6. Run FALL3D-7.1 in either serial or parallel versions:
  - Script-Fall3d\_ser name

to run FALL3D-7.1 serial, where name is the name of the current run;

• Script-Fall3d\_par name ncpu ngroup

to run FALL3D-7.1 parallel. Note that, in general, this script has to be edited and modifyed depending on each particular queuing system.

- 7. Run the FALL3D2GMT utility program to postprocess results:
  - Script-Fall3d2GMT name

where **name** is the name of the current run. Note that this makes use of GMT and the convert utilities (from ImageMagic), not included in the distribution.

## Appendices

#### Appendix A. Governing equations and parameterizations

In FALL3D-7.1 it is assumed that the main factors controlling atmospheric transport of ash are wind advection, turbulent diffusion, and gravitational settling of particles. This assumption does not hold in the proximal region that can be extended for large eruptions (having high eruption columns and large mass eruption rates), where eruption clouds can spread at the NBL as a gravity current. A simple analytical model describing this effect can be used in FALL3D-7.1.

Neglecting particle-particle interaction effects (collisions, aggregation, *etc.*), the Eulerian form of the continuity equation written in a generalized coordinate system (X, Y, Z) is (Byun and Schere, 2006; Costa et al., 2006):

$$\frac{\partial C}{\partial t} + V_X \frac{\partial C}{\partial X} + V_Y \frac{\partial C}{\partial Y} + (V_Z - V_{sj}) \frac{\partial C}{\partial Z} = -C\nabla \cdot \mathbf{V} + C \frac{\partial V_{sj}}{\partial Z} 
+ \frac{\partial}{\partial X} \left( \rho_* K_X \frac{\partial C/\rho_*}{\partial X} \right) + \frac{\partial}{\partial Y} \left( \rho_* K_Y \frac{\partial C/\rho_*}{\partial Y} \right) + \frac{\partial}{\partial Z} \left( \rho_* K_Z \frac{\partial C/\rho_*}{\partial Z} \right) + S_*$$
(1)

where C is the transformed concentration,  $\mathbf{V} = (V_X, V_Y, V_Z)$  is the transformed wind speed,  $K_X, K_Y$  and  $K_Z$  are the diagonal terms of the transformed eddy diffusivity tensor,  $\rho_*$  is the transformed atmospheric density, and  $S_*$  is the transformed source term. FALL3D-7.1 solves Eq. (1) for each particle class j using a curvilinear terrain-following coordinate system  $(X = mx, Y = my, z \to Z)$ , where m is the map scale factor and Z = z - h(x, y), with h(x, y) denoting the topographic elevation, and (x, y, z) are the Cartesian coordinates. The scaling factors for this particular transformation are given in Table 8 (Byun and Schere, 2006). The generic particle class j is defined by a triplet of values characterizing each particle  $(d_p, \rho_p, F_p)$ , that are, respectively, diameter, density, and a shape factor. For  $d_p$  we use the equivalent diameter d, which is the diameter of a sphere of equivalent volume. For the shape factor  $F_p$  we choose the sphericity  $\psi$ , which is the ratio of the surface area of a sphere with diameter d to the surface area of the particle. In our approximation, each triplet  $(d, \rho_p, \psi)$  is sufficient to define the settling velocity. Effect of Earth's curvature are considered when the lat-lon coordinate system is used through the Jacobian of the transformation.

Parameter	Scaling
Coordinates	X = mx;  Y = my;  Z = z - h(x, y)
Horizontal Velocities	$V_X = mv_x;  V_Y = mv_y$
Vertical velocity	$(V_Z - V_{Sj}) = J^{-1} \left[ (v_z - v_{sj}) - m \left( v_x \frac{\partial h}{\partial x} + v_y \frac{\partial h}{\partial y} \right) \right]$
Diffusion Coefficients	$K_X = K_x;  K_Y = K_y;  K_Z \simeq K_z J^{-2}$
Concentration	$C = cJ/m^2$
Density	$ ho_* =  ho J/m^2$
Source Term	$S_* = SJ/m^2$

Table 8: Scaling factors for a terrain-following coordinate system  $(x = mX, y = mY, z \to Z)$ . (x, y, z) are the Cartesian coordinates, m the map scale factor (for the UTM coordinate system m = 1) and J is the determinant of the Jacobian of the coordinate system transformation.

#### Eddy Diffusivity Tensor

In FALL3D-7.1 only the diagonal components of the Eddy Diffusivity Tensor, *i.e.* the vertical  $K_z$  and the horizontal  $K_h = K_x = K_y$  components, are considered. The available choices for describing the vertical component  $K_z$  are:

1. Option CONSTANT, *i.e.*  $K_z = constant$ , where the constant value is assigned by the user;

2. Option SIMILARITY. In this case, inside the Atmospheric Boundary Layer (ABL), FALL3D-7.1 evaluates  $K_z$  as:

$$K_{z} = \begin{cases} \kappa u_{*} z \left(1 - \frac{z}{h}\right) \left(1 + 9.2 \frac{h}{L} \frac{z}{h}\right)^{-1} & h/L \ge 0 \text{ stable} \\ \kappa u_{*} z \left(1 - \frac{z}{h}\right) \left(1 - 13 \frac{h}{L} \frac{z}{h}\right)^{1/2} & h/L \le 0 \text{ unstable} \end{cases}$$
(2)

where  $\kappa$  is the von Karman constant ( $\kappa = 0.4$ ),  $u_*$  is the wind friction velocity, h is the ABL height, and L is the Monin-Obukhov length (see Costa et al., 2006). The expression above comes from an extension of the Monin-Obukhov similarity theory to the entire ABL (Ulke, 2000). On the other hand, above the ABL (z/h > 1),  $K_z$  is considered as a function of the local vertical wind gradient, a characteristic length scale  $l_c$ , and a stability function  $F_c$  which depends on the Richardson number Ri:

$$K_z = l_c^2 \left| \frac{\partial U}{\partial z} \right| F_c(Ri) \tag{3}$$

where  $U = \sqrt{y_x^2 + u_y^2}$ . For  $l_c$  and  $F_c$ , FALL3D-7.1 adopts the relationship used by the CAM-3.0 model (Collins et al., 2004):

$$l_c = \left(\frac{1}{\kappa z} + \frac{1}{\lambda_c}\right)^{-1} \tag{4}$$

$$F_c(Ri) = \begin{cases} \frac{1}{1+10Ri(1+8Ri)} & \text{stable} \quad (Ri>0)\\ \sqrt{1-18Ri} & \text{unstable} \quad (Ri<0) \end{cases}$$
(5)

where  $\lambda_c$  is the so-called asymptotic length scale ( $\lambda_c \approx 30$ m).

The available choices for describing the horizontal component  $K_h = K_x = K_y$  are:

- 1. Option CONSTANT, *i.e.*  $K_h = constant$ , where the constant value is assigned by the user;
- 2. Option RAMS. In this case, a large eddy parameterization as the one used by the RAMS model (Pielke et al., 1992) can be used for evaluating  $K_h$ :

$$K_{h} = Pr_{t} \max\left(k_{m}; (C_{S}\Delta)^{2} \sqrt{\left(\frac{\partial v_{x}}{\partial y} + \frac{\partial v_{y}}{\partial x}\right)^{2} + 2\left[\left(\frac{\partial v_{x}}{\partial x}\right)^{2} + \left(\frac{\partial v_{y}}{\partial y}\right)^{2}\right]}\right)$$
(6)

where  $Pr_t$  is the turbulent Prandtl number (typically  $Pr_t \approx 1$ ),  $k_m = 0.075\Delta^{4/3}$ ,  $\Delta = \sqrt{\Delta x \Delta y}$ ,  $\Delta x$  and  $\Delta y$  are the horizontal grid spacings, and  $C_S$  is a constant ranging from 0.135 to 0.32.

3. Option CMAQ. In this case, the horizontal diffusion is evaluated as in the CMAQ model (Byun and Schere, 2006):

$$\frac{1}{K_h} = \frac{1}{K_{ht}} + \frac{1}{K_{hn}}$$
(7)

where:

$$K_{ht} = \alpha^2 \Delta x \Delta y \sqrt{\left(\frac{\partial v_x}{\partial x} - \frac{\partial v_y}{\partial y}\right)^2 + \left(\frac{\partial v_y}{\partial x} + \frac{\partial v_x}{\partial y}\right)^2} \tag{8}$$

$$K_{hn} = K_{hf} \left( \frac{\Delta x_f \Delta y_f}{\Delta x \Delta y} \right) \tag{9}$$

where the numeric constant  $\alpha = 0.28$  and the values of  $K_{hf}$  and  $\Delta x_f = \Delta y_f$  depend on the algorithm. Using this parameterization, for a large grid size the effect of the transportive dispersion is minimized, whereas for a small grid size the numerical diffusion term is reduced (Byun and Schere, 2006). Thanks to the heuristic relationship (7), the smaller of  $K_{ht}$  and  $K_{hn}$  dominates. In our case we set  $K_{hf} = 8000 \text{ m}^2 \text{s}^{-1}$  for  $\Delta x_f = \Delta y_f = 4 \text{ km}$  and a minimum value for  $K_h$  equal to  $k_m = 0.075\Delta^{4/3}$  was imposed.

#### Settling velocity models

There are several semi-empirical parameterizations for the particle settling velocity  $v_s$  if one assumes that particles settle down at their terminal velocity:

$$v_s = \sqrt{\frac{4g\left(\rho_p - \rho_a\right)d}{3C_d\rho_a}}\tag{10}$$

where  $\rho_a$  and  $\rho_p$  denote air and particle density, respectively, d is the particle equivalent diameter, g is the gravity acceleration, and  $C_d$  is the drag coefficient.  $C_d$  depends on the Reynolds number,  $Re = dv_s/\nu_a$  ( $\nu_a = \mu_a/\rho_a$  is the kinematic viscosity of air, and  $\mu_a$  the dynamic viscosity). In FALL3D-7.1 different options are possible for estimating settling velocity, such as:

1. ARASTOOPOUR model (Arastoopour et al., 1982):

$$C_d = \begin{cases} \frac{24}{Re} (1 + 0.15Re^{0.687}) & Re \le 988.947\\ 0.44 & Re > 988.947 \end{cases}$$
(11)

valid for spherical particles only.

2. GANSER model (Ganser, 1993):

$$C_d = \frac{24}{ReK_1} \left\{ 1 + 0.1118 \left( Re K_1 K_2 \right)^{0.6567} \right\} + \frac{0.4305 K_2}{1 + \frac{3305}{Re K_1 K_2}}$$
(12)

where  $K_1 = 3/[(d_n/d) + 2\psi^{-0.5}]$ ,  $K_2 = 10^{1.8148(-\text{Log}\psi)^{0.5743}}$  are two shape factors ( $d_n$  is the average between the minimum and the maximum axis, d is the equal volume sphere), and  $\psi$  is the particle sphericity ( $\psi = 1$  for spheres). For calculating the sphericity is practical to use the concepts of "operational" and "working sphericity",  $\psi_{work}$  introduced by Wadell (1933); Aschenbrenner (1956), which are based on the determination of the volume and of the three dimensions of a particle respectively:

$$\psi_{work} = 12.8 \frac{(P^2 Q)^{1/3}}{1 + P(1+Q) + 6\sqrt{1 + P^2(1+Q^2)}}$$
(13)

with P = S/I, Q = I/L, where L is the longest particle dimension, I is the longest dimension perpendicular to L, and S is the dimension perpendicular to both L and I.

3. WILSON model (Walker et al., 1971; Wilson and Huang, 1979) using the interpolation suggested by Pfeiffer et al. (2005):

$$C_{d} = \begin{cases} \frac{24}{Re} \varphi^{-0.828} + 2\sqrt{1-\varphi} & Re \leq 10^{2} \\ 1 - \frac{1 - C_{d}|_{Re=10^{2}}}{900} (10^{3} - Re) & 10^{2} \leq Re \leq 10^{3} \\ 1 & Re \geq 10^{3} \end{cases}$$
(14)

where  $\varphi = (b+c)/2a$  is the particle aspect ratio ( $a \ge b \ge c$  denote the particle semi-axes).

4. DELLINO model (Dellino et al., 2005):

$$v_s = 1.2605 \frac{\nu_a}{d} \left( Ar \, \xi^{1.6} \right)^{0.5206} \tag{15}$$

where  $Ar = gd^3(\rho_p - \rho_a)\rho_a/\mu_a^2$  is the Archimedes number, g the gravity acceleration, and  $\xi$  is a particle shape factor (sphericity to circularity ratio). It is recommended to not extrapolate this option for particle diameters beyond the range used in the experiments by Dellino et al. (2005).

Since for FALL3D-7.1 the primary particle shape factor is the sphericity  $\psi$ , for sake of simplicity,  $\varphi$  in (14) and  $\xi$  in (15) are calculated by approximating particles as prolate ellipsoids (the same approximation is used for estimating  $d_n$ ).

#### Particle aggregation

For computational reasons ash particle aggregation is assumed to occur within the eruption plume affecting the original TGSD which is modified considering an effective aggregate class and depleting particle classes finer than the aggregate class itself. A few possibilities are available in FALL3D-7.1 such as

- 1. NONE option that neglect aggregation;
- 2. PERCENTAGE option that simply subtracts a constant percentage of ash from each particle class having diameter smaller than the user-defined aggregate diameter with a user-defined aggregate density (e.g. Sulpizio et al., 2012);
- 3. CORNELL option that is similar to the parameterization proposed by Cornell et al. (1983) as used in Costa et al. (2012);
- 4. COSTA option based on Costa et al. (2010) model. For computational reasons all the three options assume that ash aggregation occurs mainly within the eruption column and affect the original TGSD (described by filename.tgsd) by creating an effective particle distribution (described by filename.grn). Option COSTA can be used only with source model PLUME.

#### Source term

FALL3D-7.1 reads the time-dependent source term (mass released per unit time at each grid point) from an external file. This file can be generated by the SETSRC utility program, choosing among different options such as:

- 1. POINT\_SOURCE that emits mass from a point source only;
- SUZUKI that describes the eruptive column as a mushroom-like shape Suzuki (1983); Pfeiffer et al. (2005);
- 3. HAT that describes the eruptive column as rectangular shape of an assigned thickness;
- 4. PLUME that uses an eruptive column model based on the Buoyant Plume Theory (based on Folch et al., 2016).
- 5. **RESUSPENSION** that describes resuspension of ash deposited on the ground remobilized by wind. This option is described more in detail below.

#### **Resuspension of ash**

Saltation impact represents the most effective mechanism for resuspension of smaller-size particles in soils (Shao et al., 1993). When the intensity of wind blowing across a granular soil exceeds a certain threshold, grain particles begin to saltate. Experiments with sand-sized particles show that the impact of saltating mid-size grains (larger than about  $50 \ \mu m$ ) breaks the cohesive forces of smaller particles, enhancing their suspension when falling back to ground. For this reason, the emission rate (vertical flux of particles), defined as the mass emitted per unit of area and time, strongly depends on the horizontal (saltation) flux of larger particles. FALL3D-7.1 uses different emission schemes for ash resuspension by wind (see Folch et al., 2014):

1. Emission scheme 1 (Westphal et al., 1987) computes the emission rate as:

$$F_V = \begin{cases} 0 & u_* < u_{*t} \\ 10^{-5} u_*^4 & u_* \ge u_{*t} \end{cases}$$
(16)

where  $F_V$  is the vertical flux (in kg m<sup>-2</sup>s<sup>-1</sup>), occurring only above a (constant) threshold friction velocity  $u_{*t}$ . An important limitation of (16) is that the vertical flux does not depend on particle size or soil moisture. Although very simple, this parameterization can be useful when information on soil characteristics (*e.g.* particle sizes and densities, moisture, roughness, etc.) is not available or poorly constrained. 2. Emission scheme 2 (Marticorena and Bergametti, 1995; Marticorena et al., 1997) computes the emission rate as:

$$F_V(d) = \begin{cases} 0 & u_* < u_{*t}(d) \\ \frac{K\rho_a u_*}{g} \left( u_*^2 - u_{*t}^2(d) \right) & u_* \ge u_{*t}(d) \end{cases}$$
(17)

where K is a soil texture coefficient equal to  $K = 5.4 \times 10^{-4} \text{ m}^{-1}$  from experiments,  $u_*$  denotes the wind friction velocity, and  $u_{*t}$  is the threshold friction velocity given by:

$$u_{*t} = \begin{cases} \frac{0.129K}{(1.928Re^{0.092}-1)^{0.5}} & 0.03 < Re \le 10\\ 0.129K(1-0.0858e^{-0.0617(Re-10)}) & Re > 10 \end{cases}$$
(18)

with  $K = \sqrt{\frac{\rho_p gd}{\rho_a} \left(1 + \frac{0.006}{\rho_p gd^{2.5}}\right)}$  and  $Re = 1331 \times d^{1.56}$  (the lower bound of the fit corresponds to particles of  $\approx 10 \,\mu m$  in size). Please note that inn the relationship above,  $\rho_p$  and  $\rho_a$  are particle and air densities (expressed in g/cm<sup>3</sup>), g is gravity (in cm/s<sup>2</sup>), d is the particle size (in cm), Re is the Reynolds number parameterized as a function of the particle size, and  $u_{*t}$  is given in cm/s.

3. Emission scheme 3 (Shao et al., 1993; Shao and Leslie, 1997; Shao and Lu, 2000) computes the emission rate as:

$$F_V(d, d_s) = \frac{\alpha(d, d_s)}{u_{*t}^2(d)} F_H(d_s)$$
(19)

where  $\alpha$  (units of m s<sup>-2</sup>) is a coefficient of blasting efficiency determined experimentally (Shao and Leslie, 1997) and  $F_H$  is the horizontal flux (units of kg m<sup>-1</sup> s<sup>-1</sup>) of saltating particles of size  $d_s$ :

$$F_H(d_s) = \begin{cases} 0 & u_* < u_{*t}(d_s) \\ c_o \frac{\rho_a u_*^3}{g} \left( 1 - \frac{u_{*t}^2(d_s)}{u_*^2} \right) & u_* \ge u_{*t}(d_s) \end{cases}$$
(20)

and  $c_o$  is an empirical dimensionless constant close to 1. The threshold friction velocity  $u_{*t}(d)$  is given by:

$$u_{*ts} = \sqrt{0.0123 \left(\frac{\rho_p g d}{\rho_a} + \frac{\gamma}{\rho_a d}\right)} \tag{21}$$

where  $\gamma$  is a parameter ranging between  $1.65 \times 10^{-4}$  and  $5 \times 10^{-4}$  kg/s<sup>2</sup> (a value of  $3 \times 10^{-4}$  kg/s<sup>2</sup> is assumed in FALL3D-7.1).

NOTE: in the current version, simulation of resuspension is possible only in combination with WRF-ARW meteorological data.

#### Spreading of the volcanic cloud at the NBL

When the option GRAVITY\_CURRENT is used an analytical model describing the spreading of the volcanic cloud at NBL as a gravity current (e.g., Woods and Kienle, 1994; Sparks et al., 1997) is coupled to the ADS transport (see Costa et al., 2013). In summary this option consists of adding an effective radial velocity field to the wind field. The radial wind field due to the gravitational spreading of the current is centered above the vent in the umbrella region and extended up to a radius R in accordance with:

$$\begin{cases} u_b(R) = \left(\frac{2\lambda Nq}{3\pi}\right)^{1/2} \frac{1}{\sqrt{R}} & \text{for } R \le R_p \text{ and } H_U - \frac{h}{2} \le z \le H_U + \frac{h}{2} \\ u_b(R) = 0 & \text{for } R > R_p \text{ or } z < H_U - \frac{h}{2} \text{ or } z > H_U + \frac{h}{2} \end{cases}$$
(22)

where  $\lambda$  is an empirical constant, and N is the frequency of Brunt–Vaisala due to the ambient stratification, q is the volumetric flow rate into the umbrella region,  $H_U$  denotes the level (NBL), and h the thickness of umbrella region that is assumed to scale with  $u_b$  as  $h = u_b/(\lambda N)$ . Within this region variation of the velocity field with the radial distance r is calculated as:

$$u_b(r) = \frac{3}{4} u_b(R) \frac{R}{r} \left( 1 + \frac{1}{3} \frac{r^2}{R^2} \right)$$
(23)

The radial field is considered negligible at distances larger than a critical radius  $R_p$  (Costa et al., 2013).

### Wet deposition

As a first approach, wet deposition is assumed below the Planetary Boundary Layer (PBL) only. Using this approximation only the total rate is necessary to describe wet deposition that is parameterized as (e.g., Jung and Shao, 2006):

$$\frac{dC}{dt} = -LC = -AP^BC \tag{24}$$

where P is the precipitation rate in mm h<sup>-1</sup>, A and B are two empirical constants ( $A = 8.4 \cdot 10^{-5}$  and B = 0.79 respectively). Two critical cut-off size at 1 and 100  $\mu$ ms are assumed.

#### Appendix B. Example of control input file

This is an example (not from a real case) of control input file. Comments begin with an exclamation symbol.

```
!
ļ
  _____
!
! EXAMPLE OF FALL3D INPUT FILE
! VERSION: 7.1
Ţ
  -----
ļ
I
!
  _____
!
  Blocks read by Fall3d and utility programs
  -----
1
Т
TIME_UTC
YEAR = 2008
MONTH = 04
DAY = 29
BEGIN_METEO_DATA_(HOURS_AFTER_OO) = 0
TIME\_STEP\_METEO\_DATA_(MIN) = 60
END\_METEO\_DATA_(HOURS\_AFTER_00) = 24
ERUPTION_START_(HOURS_AFTER_00) = 0 3.5
ERUPTION\_END\_(HOURS\_AFTER\_OO) = 7
RUN_END_(HOURS_AFTER_00) = 10
RESTART = NO
!
GRID
COORDINATES = LON-LAT
LON_LAT
LONMIN = 14.0
LONMAX = 16.0
LATMIN = 36.5
LATMAX = 38.5
LON_VENT = 15.0
LAT_VENT = 37.5
VENT_HEIGHT_(M) = 3000.
NX = 51
NY = 51
ZLAYER_(M) FROM 0. TO 8000. INCREMENT 500.
I
Т
! Block read by SetTGsd utility program
  -----
!
1
GRANULOMETRY
DISTRIBUTION = GAUSSIAN
NUMBER_OF_CLASSES = 6
FI_MEAN = 2.5
FI_DISP = 1.5
FI_RANGE = 2.7.
```

```
DENSITY_RANGE = 1200 2300
SPHERICITY_RANGE = 0.9 \ 0.9
MIXING_FACTOR = 0.5
1
  _____
! Blocks read by SetSrc utility program
!
   ------
!
SOURCE
!
! SOURCE_TYPE options: POINT/SUZUKI/HAT/PLUME/RESUSPENSION
1
SOURCE_TYPE = plume
!
POINT_SOURCE
I.
HEIGHT_ABOVE_VENT_(M) = 3000. 6000.
MASS_FLOW_RATE_(KGS) = ESTIMATE-WOODHOUSE
Ţ.
SUZUKI_SOURCE
1
HEIGHT_ABOVE_VENT_(M) = 3000. 6000.
MASS_FLOW_RATE_(KGS) = ESTIMATE-WOODHOUSE
A = 4.
L = 1.
! HAT_SOURCE
T
\text{HEIGHT}_ABOVE\_VENT\_(M) = 3000. 6000.
MASS_FLOW_RATE_(KGS) = ESTIMATE-WOODHOUSE
THICKNESS_(M) = 2000. 2000.
!
PLUME_SOURCE
!
SOLVE_PLUME_FOR = MFR
MFR\_SEARCH\_RANGE = 3.0 7.0
\text{HEIGHT}_ABOVE\_VENT\_(M) = 6000.
MASS_FLOW_RATE_(KGS) = 1d3 1d4
EXIT_VELOCIY_(MS) = 200.
EXIT_TEMPERATURE_(K) = 1073.
EXIT_WATER_FRACTION_(\%) = 1.
!
WIND_COUPLING = YES
AIR_MOISTURE = YES
LATENT_HEAT = NO
REENTRAINMENT = NO
A_S = KAMINSKI-C
A_V = TATE
! RESUSPENSION_SOURCE
!
MAX_RESUSPENSION_SIZE_(MIC) = 100
DEPOSIT_THRESHOLD_(KGM2) = 1
MAX_INJECTION_HEIGHT_(M) = 1000
EMISSION_SCHEME = WESTPHAL
EMISSION_FACTOR = 1.0
```

```
THRESHOLD_UST = 0.3
MOISTURE_CORRECTION = no
1
AGGREGATION
AGGREGATION_MODEL = Cornell
FI_AGGREGATES = 2.
DENSITY_AGGREGATES = 350.
VSET_FACTOR = 1.0
PERCENTAGE_(\%) = 20.
FRACTAL_EXPONENT = 2.99
I.
AEROSOLS
!
SO2 = no
SO2\_PERCENTAGE_(\%) = 1.
1
GRAVITY_CURRENT
!
GRAVITY_CURRENT = no
C_FLOW_RATE = 1d4
LAMBDA_GRAV = 0.2
K_{ENTRAIN} = 0.1
BRUNT_VAISALA = 0.02
1
1
                      _____
! Block read by Fall3d
1
                       _____
      _____
!
FALL3D
!
TERMINAL_VELOCITY_MODEL = ganser
VERTICAL_TURBULENCE_MODEL = CONSTANT
VERTICAL_DIFFUSION_COEFFICIENT_(M2/S) = 500.
HORIZONTAL_TURBULENCE_MODEL = CONSTANT
RAMS_CS = 0.3
HORIZONTAL_DIFFUSION_COEFFICIENT_(M2/S) = 5000.
WET_DEPOSITION = yes
1
OUTPUT
!
POSTPROCESS_TIME_INTERVAL_(HOURS) = 1.
POSTPROCESS_3D_VARIABLES = YES
POSTPROCESS_CLASSES = YES
TRACK_POINTS = YES
!
Т
  ! Blocks read by Postprocess utility program(s)
! ------
                              _____
T
POSTPROCESS
1
CROP_DOMAIN
LONMIN = 14.0
```

```
LONMAX = 16.0
LATMIN = 36.5
LATMAX = 38.5
1
! Meteo
!
MAP_TEMPERATURE = yes
MAP_VELOCITY = yes
Z_CUTS_(M) = 1000 5000
1
! Time independent variables
Т
MAP_TOPOGRAPHY = no
UNITS = M
CONTOUR_LEVELS = 1. 100. 500. 1000. 1500. 2000. 2500.
!
! Deposit variables
!
MAP_TOTAL_LOAD = no
UNITS = KG/M2
CONTOUR_LEVELS = 0.1 0.5 1. 5. 10. 50.
I.
MAP_WET_LOAD = no
UNITS = KG/M2
CONTOUR\_LEVELS = 0.1 \ 0.5 \ 1. \ 5.
1
MAP_CLASS_LOAD = no
UNITS = KG/M2
CONTOUR_LEVELS = 0.1 0.5 1. 5. 10. 50.
!
MAP_CLASS_WET = yes
UNITS = KG/M2
CONTOUR_LEVELS = 0.1 0.5 1. 5.
T
MAP_DEPOSIT_THICKNESS = no
DEPOSIT_DENSITY_(KG/M3) = 1100.0 (Default value 1000.)
UNITS = MM (Possibilities: MM/CM/M)
CONTOUR_LEVELS = 0.1 0.5 1. 5. 10.
1
! Ground level variables
!
MAP_CONCE_GROUND = no
UNITS = G/M3
CONTOUR_LEVELS = 0.001 0.01 0.1 1.
!
MAP_PMxx_GROUND = no
UNITS = G/M3
CONTOUR_LEVELS = 1d-5 1d-4 1d-3
!
! Airborne variables
Т
MAP_COLUMN_MASS = no
UNITS = G/M2
CONTOUR_LEVELS = 1. 10. 100.
```

```
!
MAP_COLUMN_PMxx = no
UNITS = G/M2
CONTOUR_LEVELS = 0.1 1. 10. 100.
!
MAP_FLIGHT_LEVEL = no
UNITS = G/M3
CONTOUR_LEVELS = 0.001 0.01 0.1
!
MAP_AOD = no
UNITS = NONE
CONTOUR_LEVELS = 0.1 0.25 0.5 0.75 1 2
```

## Appendix C. The NetCDF format

NetCDF (network Common Data Form) is a set of software libraries and machine-independent data formats that support the creation, access, and sharing of array-oriented scientific data (http://www.unidata.ucar.edu/software/netcdf/).

FALL3D-7.1 uses the standard NetCDF format for both database input file (name.dbs.nc) and results output file (name.res.nc). There is a good number of open-source codes to view, analyze or manipulate NetCDF files, for example:

- neview and nedump (http://opendap.org/download/nc\_clients.html).
- Panoply (http://www.giss.nasa.gov/tools/panoply/).
- GMT (http://gmt.soest.hawaii.edu/)
- GrADS (http://www.iges.org/grads/).
- NCL, the NCAR Command Language (http://www.ncl.ucar.edu/).
- GRASS (http://grass.osgeo.org/)
- QGIS (http://www.qgis.org)
- R (http://www.r-project.org/)

pcoord pdate itime1 itime2 nz z(1) ux(1) uy(1) T(1) ... z(nz) ux(nz) uy(nz) T(nz) itime3 itime4 ...

Table 9: Format of the meteo data file name.profile.dat for the PROFILE case. Repeat this block for each meteo time increment.

#### Appendix D. Format of the meteo profile file (name.profile)

For the profile option, the utility SetDbs needs an ASCII file containing the definition of the vertical wind and temperature profile and a topography file of the domain in GRD format. In this case wind velocities are assumed constant on all the domain in a terrain-following coordinate system. The remaining variables are assumed with the values of the Standard Atmosphere. The format of the profile file (name.profile) is described in Table 9 and the meaning of the used symbols is the following:

- pcoord: Coordinates where the profile was measured; either as UTM or lon-lat coordinates.
- pdate: Starting time when the profile was measured; the format of the date is yyyymmdd, *i.e.* year, month, day.
- itime1: Initial time in sec after the starting time pdate of validity of the meteo data contained in the following nz layers.
- itime2: Final time in sec after the starting time pdate of validity of the meteo data contained in the following nz layers.
- nz: Number of the database vertical layers.
- z: Vertical coordinate of the layer (in m a.s.l.).
- ux: wind x-velocity (in m/s).
- uy: wind y-velocity (in m/s).
- T: temperature *T*-velocity (in <sup>o</sup>C).

#### Appendix E. The GRD format

The structure of a GRD format file is described in Table 10 and the meaning of the used symbols is the following:

- NX : Number of grid points along x-direction.
- NY : Number of grid points along y-direction.
- X0 : x-coordinate (UTM in m) of the grid bottom left corner point.
- XF : x-coordinate (UTM in m) of the grid top right corner point.
- Y0 : y-coordinate (UTM in m) of the grid bottom left corner point.
- YF : y-coordinate (UTM in m) of the grid top right corner point.
- VAL : Value at each grid point. It consists of an array of  $NX \times NY$  values stored starting from the bottom-left corner and moving towards right then up towards the top-right corner.

NX	NY	
XO	XF	
YO	YF	
MAX(v)	MIN(v)	
VAL(i,1)		 i=1:NX
VAL(i,j)		 i=1:NX
VAL(i,NY)		 i=1:NX

Table 10: Format of a GRD file name.grd.

#### Appendix F. Further reading

The following is a list of publications related to FALL3D:

- Costa, A., Macedonio, G., Folch, A. (2006). A three-dimensional Eulerian model for transport and deposition of volcanic ashes. Earth Planet. Sci. Lett., 241 (3-4), 634-647, doi: 10.1016/j.epsl.2005.11.019.
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- Scaini C., Folch A., Navarro M. (2012). Tephra hazard assessment at Concepción Volcano, Nicaragua, J. Volcanol. Geotherm. Res., Volumes 219-220, 41-51 doi:10.1016/j.jvolgeores.2012.01.007.
- Costa A., Folch A., Macedonio G., Giaccio B., Isaia R., Smith V.C. (2012) Quantifying volcanic ash dispersal and impact from Campanian Ignimbrite super-eruption, Geophys. Res. Lett., 39, doi:10.1029/2012GL051605.
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